DYNAMICAL CRITICAL SCALING IN QUANTUM PHASE TRANSITIONS

Gerardo Ortiz Department of Physics - Indiana University

Shusa Deng: Dartmouth College



Lorenza Viola: Dartmouth College

Quantum Coherent Properties of Spins III UCF (Orlando), December 2010



DYNAMICAL CRITICAL SCALING **QUANTUM PHASE TRANSITIONS Gerardo Ortiz Department of Physics - Indiana University** NON-EQUILIBRIUM QUANTUM CRITICAL PHYSICS **Shusa Deng:** Dartmouth College Lorenza Viola: Dartmouth College Quantum Coherent Properties of Spins III UCF (Orlando), December 2010

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Topological defects such as cosmic strings may have been formed in early-universe phase transitions

Questions: How many defects would be formed in the phase transition? How would they evolve as the Universe expands?

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Exciting developments in condensed matter physics----in particular, classical dynamical critical phenomena (superfluids, superconductors, BEC.....)

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Quantum Version

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Kibble-Zurek Scaling (KZS)

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Linear sweep of control parameter with constant speed \mathcal{T}

Adiabatic Impulse Adiabatic

$$-\hat{t} t_c=0 \hat{t}$$



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MOTIVATION

Tunable quantum systems:

--- Ultracold Atom systems (quantum simulators)

Adiabatic quantum computation: (quantum annealing)



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Increasing dimensions can be more efficient

KIBBLE-ZUREK (AND OTHERS) INTUITION ---- W. H. Zurek, et al. PRL 95, 105701 (2005)

Near a QCP there is a vanishing energy scale: $\Delta \sim |\lambda(t) - \lambda_c|^{\nu z}$

Linear sweep of control parameter with constant speed \mathcal{T}

$$\lambda(t) - \lambda_c = \frac{t - t_c}{\tau} , \ t_c = 0, \ \tau > 0$$

Adiabatic Impulse Adiabatic
$$-\hat{t} \ t_c = 0 \ \hat{t}$$

Relaxation time:

$$\tau_r(t) \sim \Delta^{-1} \sim |\lambda(t) - \lambda_c|^{-\nu z}$$

 $T(t) = \left| \frac{\lambda(t)}{\dot{\lambda}(t)} \right|$



Time scale of adiabaticity loss: \hat{t}

$$T(\hat{t}) = \tau_r(\hat{t})$$

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For a linear quench:



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A MAIN QUESTION





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To what extent universal quantum scaling persist out-of-equilibrium and encode information about the equilibrium phase diagram?





A MAIN QUESTION

To what extent universal quantum scaling persist out-of-equilibrium and encode information about the equilibrium phase diagram?

How universal?









One needs in general path-dependent (non-static) exponents



1769

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1769

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Important:

Time-dependent excitation pattern Details about initial and final phases Appropriate Landau-Zener analysis when applicable is OK



ULTIMATE GOAL

Develop a theory and understanding of non-equilibrium scaling for quenches across quantum (multi)critical points and regions

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This talk:

Elementary integrable (Lie algebraic) toy model Surprises emerge: Departure from KZS

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Isolated QCP
Isolated Multicritical QCP
Search for understanding

REFERENCES

Critical regions + Adiabatic renormalization Europhys. Lett. 84, 67008 (2008)

Multicritical QCP + Anomalous path-dependent exponents PRB 80, 241109(R) (2009)

Initial excited and thermal states

arXiv:1011.0781





$$\begin{array}{c} \textbf{MODEL SYSTEM} \\ h, \delta \in [-\infty, \infty] \\ \gamma \in \mathbb{R} \\ 1 \\ 2 \\ 1 \\ 2 \\ 3 \\ 4 \\ N \end{array} \stackrel{\frown}{\bigoplus} B = h \pm \delta \\ H = -\sum_{i=1}^{N} \Big\{ \frac{1+\gamma}{2} \sigma_{x}^{i} \sigma_{x}^{i+1} + \frac{1-\gamma}{2} \sigma_{y}^{i} \sigma_{y}^{i+1} - [h - (-)^{i} \delta] \sigma_{z}^{i} \Big\} \end{array}$$

$$H = \sum_{k \in K_+} A_k^{\dagger} H_k A_k$$

 $H_k = 2 \begin{pmatrix} (h+\delta)\sigma_z & -\cos k \,\sigma_z + \gamma \sin k \,\sigma_y \\ -\cos k \,\sigma_z + \gamma \sin k \,\sigma_y & (h-\delta)\sigma_z \end{pmatrix}$

 $A_k^{\dagger} = (a_k^{\dagger}, a_{-k}, b_k^{\dagger}, b_{-k}) \qquad K_+ = \{\frac{\pi}{N}, \frac{3\pi}{N}, \dots, \frac{\pi}{2} - \frac{\pi}{N}\}$

MODEL SYSTEM

When $\gamma = 0 \Rightarrow U(1)$ Symmetry Multicritical QCP

$$H = -\sum_{i=1}^{N} \left\{ \frac{1}{2} \sigma_x^i \sigma_x^{i+1} + \frac{1}{2} \sigma_y^i \sigma_y^{i+1} - [h - (-)^i \delta] \sigma_z^i \right\}$$

$$\hat{H}_{\pm k} = W_{\pm k}^{\dagger} H'_{\pm k} W_{\pm k}$$

$$W_k^{\dagger} = (a_k^{\dagger}, b_k^{\dagger}) \qquad \qquad W_{-k}^{\dagger} = (a_{-k}, b_{-k})$$

$$H'_{\pm k} = \pm 2h\mathbb{I}_2 + \begin{pmatrix} \pm 2\delta & \mp 2\cos k \\ \mp 2\cos k & \mp 2\delta \end{pmatrix}$$

Appropriate for a Landau-Zener analysis



$$\begin{array}{c} \textbf{MODEL SYSTEM} \\ h, \delta \in [-\infty, \infty] \\ \gamma \in [0, 1] \\ \hline 1 \\ p \in [0, 1] \\ \hline 1 \\ \hline 1 \\ p \in [0, 1] \\ \hline 1 \\ \hline 1$$

QUANTUM PHASE DIAGRAM





Phase boundaries:



$$h^2 = \delta^2 + 1 \qquad \qquad \delta^2 = h^2 + \gamma^2$$

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Four universality classes:

$$\gamma \neq 0 \begin{cases}
\nu = 1, z = 1 \\
\nu = 2, z = 1
\end{cases}$$

$$\gamma = 0 \begin{cases}
\nu = 1/2, z = 2 \\
\nu = 1, z = 2 \\
\nu = 1, z = 1 (O)
\end{cases}$$

$$U(1) \text{ Symmetry}$$



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Lifshitz universality class

U(1) Symmetry

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Lifshitz universality class

QUENCH DYNAMICS:ADIABATIC
AND SUDDEN QUENCHES $H(t) = H_c + [\lambda(t) - \lambda_c]H_1$ H_c :quantum-critical in the TL



QUENCH DYNAMICS:ADIABATIC
AND SUDDEN QUENCHES $H(t) = H_c + [\lambda(t) - \lambda_c]H_1$ H_c :quantum-critical in the TL

 $i\hbar\partial_t |\psi(t)\rangle = H(t)|\psi(t)\rangle \qquad t \in [t_i, t_f]$





PURE (GROUND AND EXCITED STATES) AND MIXED

 $H(t)|\psi_m(t)\rangle = E_m(t)|\psi_m(t)\rangle$

snapshot



PURE (GROUND AND EXCITED STATES) AND MIXED $H(t)|\psi_m(t)\rangle = E_m(t)|\psi_m(t)\rangle$ snapshot One may consider different classes of initial states: $t = t_i$ $|\psi(t_i)\rangle = |\psi_{GS}(t_i)\rangle = |\psi_0(t_i)\rangle$ Ground state: $|\psi(t_i)\rangle = |\psi_m(t_i)\rangle$ Excited (eigen)state: $|\psi(t_i)\rangle = \sum a_m |\psi_m(t_i)\rangle$ **Excited** state: $\rho(t_i) = e^{-\beta H(t_i)}$ Thermal state:

ISOLATED NON-MC QCP (ADIABATIC QUENCHES)



Alternating universality class



$$n_{ex}(t) = \tau^{-\nu/(\nu z+1)} F_n\left(\frac{t-t_c}{\hat{t}}\right)$$

Scaling function

For a general observable:

 $\Delta \mathcal{O}(t) \equiv \langle \psi(t) | \mathcal{O} | \psi(t) \rangle - \langle \psi_{GS}(t) | \mathcal{O} | \psi_{GS}(t) \rangle = \tau^{(-\nu+\beta)/(\nu z+1)} F_{\mathcal{O}}\left(\frac{t-t_c}{\hat{t}}\right)$



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Examples:

$$M_{z} = \left(\sum_{i=1}^{N} \sigma_{z}^{i}\right)/N \longrightarrow \Delta M_{z}(t) = \tau^{(-\nu-\nu z+1)/(\nu z+1)} G((t-t_{c})/\hat{t})$$
$$XX = \left(\sum_{i=1}^{N} \sigma^{i} \sigma^{i+1}\right)/N \longrightarrow \Delta XX(t) = \tau^{-\nu/(\nu z+1)} W((t-t_{c})/\hat{t})$$



ISOLATED MULTICRITICAL QCP



Adiabatic Control Paths



Quench Scheme Path **Dynamical Scaling** ν Ζ $n_{ex} \sim \tau^{-1/3} = \tau^{-\nu/(\nu z+1)}$ 1 2 1 $\gamma(t) = \delta(t) = t/\tau, h = 1$ $n_{ex} \sim \tau^{-1/3} = \tau^{-\nu/(\nu z+1)}$ 2 $\gamma(t) = t/\tau, h = \delta = 1$ 2 1 2 $\gamma(t) = \delta(t) - 1 = t/\tau, h = 1$ $n_{ex} \sim \tau^{-1/6} \neq \tau^{-v/(vz+1)}$ 1/2 3 2 $\gamma(t) = h(t) - 1 = t/\tau, \delta = 0$ $n_{ex} \sim \tau^{-1/6} \neq \tau^{-v/(vz+1)}$ 1/2 4

Important Observations

• Paths 1 and 2 start and end in the same phase. The excitation spectrum is symmetric under $\lambda \rightarrow -\lambda$ KZS

Along Paths 3 and 4 the MCPs A and B belong to the Lifshitz universality class

non-KZS



Path 2-3



$$n_{ex} \sim \tau^{-1/3}$$

 $n_{ex} \sim \tau^{-1/6}$

non-KZS



LANDAU-ZENER ANALYSIS

This analysis is sharp but limited to two-level systems

(paths 4 and 5)

 $H(t) = \begin{pmatrix} E + \dot{E}t & V \\ V & E \end{pmatrix}$

Transition probability:

$$p = e^{-\frac{2\pi V}{\hbar |\dot{E}|}}$$
$$\frac{2V^2}{\hbar |\dot{E}|} \gg 1$$

Adiabaticity condition:





 $E_+(t)$

 $E_{-}(t)$

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 $p = e^{-\frac{2\pi V^2}{\hbar |\dot{E}|}}$ $\frac{2V^2}{\hbar |\dot{E}|} \gg 1 \text{ (asymptotic } t_f \to \infty \text{)}$



 $E_+(t)$

 $E_{-}(t)$



Landau-Zener Analysis of Path 4



$$P_{k} = e^{-2\pi\tau (1-\cos k)^{2} \sin^{2} 2q_{k}/(\cos 2q_{k}-\sin k \sin 2q_{k})} \approx e^{-\pi\tau k^{6}/2} \qquad k_{c} = 0$$

$$n_{ex} = \frac{1}{\pi} \int_{0}^{\pi} P_{k} dk \approx \frac{1}{\pi} \int_{0}^{\pi} e^{-\pi\tau k^{6}/2} dk \sim \tau^{-1/6} \qquad k^{6} = k^{2z_{2}}, z_{2} = 3$$

Path 5





Path 5

$$\begin{array}{ll} n_{ex} \sim \tau^{-3/4} \\ \textbf{non-KZS} \end{array}$$
Path v z Quench Scheme Dynamical Scaling
$$5 \quad 1/2 \quad 2 \quad h(t) = 1 + |\gamma(t)| = 1 + |t/\tau|, \\ \delta = 0 \quad n_{ex} \sim \tau^{-3/4} \neq \tau^{-v/(vz+1)}, \\ \tau^{-1/6} \end{array}$$



Path 5



Finite Time Landau-Zener Analysis of Half-Path 5

$$P_{k}(t_{f}) = e^{-\pi\omega^{2}/4} \left| D_{k\sigma^{2}/2}(T_{f}\sqrt{2}e^{i3\pi/4})\cos\theta(T_{f}) - \frac{\omega}{\sqrt{2}}e^{-i\pi/4}D_{k\sigma^{2}/2-1}(T_{f}\sqrt{2}e^{i3\pi/4})\sin\theta(T_{f}) \right|^{2}$$
---- N. V. Vitanov (1999)

$$\omega = (1 - \cos k)\sin 2q_{k}\sqrt{\tau} / \sqrt{\cos 2q_{k}} + \sin 2q_{k}\sin k} \sim k^{3}\sqrt{\tau}$$

$$T_{f} = -\omega/\sin k \sim -k^{2}\sqrt{\tau},$$

$$\theta(T_{f}) = 1/2\arctan(\omega/T_{f}) + \pi/2$$
Taylor expanding parabolic cylinder function around $T_{f} = 0$

$$(\omega \ll |T_{f}| \ll 1)$$

$$P_{k}(t_{f}) \approx (1 - e^{-\pi\omega^{2}/2})/2 + \cos^{2}\theta(T_{f})e^{-\pi\omega^{2}/2} - \sin 2\theta(T_{f})/2\sin\chi_{k}\sqrt{(1 - e^{-\pi\omega^{2}})}$$

$$\sim \cos^{2}\theta(T_{f})e^{-\pi\omega^{2}/2} \sim k^{2} \rightarrow (k - k_{c})^{d_{2}} d_{2} = 2$$

$$\prod_{nex}^{k_{max}} P_{k}(t_{f})dk \sim \int_{0}^{\tau^{-1/4}} k^{2}dk \sim \tau^{-3/4}$$

$$k_{c} \text{ is not excited}$$

Physical Understanding

 $n_{ex} \sim \int d^d k \sim \tau^{-v z/[(v z+1)z_2]}$



Minimum gap along the path:

$$\partial \Delta_k (\gamma, 1 + \gamma, 0) / \partial \gamma = 0$$

$$\tilde{\gamma} = -(1 - \cos k) / (1 + \sin^2 k)$$

$$\Delta_k (\tilde{\gamma}) \equiv \tilde{\Delta}_k \sim (k - k_c)^3$$

and 4): More Generally: $\hat{\Delta} \sim \tau^{-vz/(vz+1)} = \text{energy scale } \hat{\Delta} \sim \tau^{-vz/(vz+1)}$ $h \tilde{\Delta}_{k} \sim k^{z_{2}} = \text{along the path } \tilde{\Delta}_{k} \sim k^{z_{2}}$ $h \tilde{\Delta}_{k} \sim k^{z_{2}} = \text{along the path } \tilde{\Delta}_{k} \sim k^{z_{2}}$ $\hat{\Delta} \sim k^{z_{2}}_{\max} = \int_{0}^{k_{\max}} determine k_{\max} = \int_{0}^{k_{\max}} k^{d_{2}} d^{d} k \sim \tau^{-(d+d_{2})vz/[(vz+1)z_{2}]}$ $n_{ex} = \int_{0}^{k_{\max}} P_{k}(t_{f}) d^{d} k$

Monday, January 3, 2011

 $n_{ex} \sim \int d^d k \sim \tau^{-dv/(vz+1)}$

Typical gap: $\hat{\Delta}$

WHAT HAVE WE LEARNED?

Only relevant modes matter in a dynamical process Non-critical energy modes may dominate scaling Anomalous (non-static) critical exponents may emerge

Path-dependent minimum gap determines z_2 Effective dimensionality exponent $d_2 \neq 0$





SEARCH FOR UNDERSTANDING

Is it possible to develop some general framework for dynamical critical scaling?





ADIABATIC RENORMALIZATI $H(t) = H_c + [\lambda(t) - \lambda_c]H_1 = H_c + (t - t_c)/\tau H_1$ iteration $H(t)|\psi_m(t)\rangle = E_m(t)|\psi_m(t)\rangle$ $|\psi^0(t)
angle$ $|\psi_m^j(t)\rangle = U_j(t)|\psi_m^0(t_i)\rangle$ $i\hbar\partial_t |\psi^j(t)\rangle = H^j(t)|\psi^j(t)\rangle$ U_0^{\dagger} U_0 $H^{j}(t) = U_{j-1}^{\dagger} H^{j} U_{j-1} - i\hbar U_{j-1}^{\dagger} \dot{U}_{j-1}$ $|\psi^{j}(t)\rangle = U_{j-1}^{\dagger}(t)\cdots U_{1}^{\dagger}(t)U_{0}^{\dagger}(t)|\psi^{0}(t)\rangle$ $|\psi_m^1(t)
angle$ U_1 $|\psi_m^0(t_i)\rangle$ $|\psi^{1}(t)
angle$ Hope is: time-dependence $|\psi^2(t)|$ disappears

ADIABATIC RENORMALIZATION

 $H(t) = H_c + [\lambda(t) - \lambda_c]H_1 = H_c + (t - t_c)/\tau H_1$

 $H(t)|\psi_m(t)\rangle = E_m(t)|\psi_m(t)\rangle$

Non-adiabatic correction:

 $\begin{aligned} |\psi(t)\rangle &= c_0(t)|\psi_0(t)\rangle + \sum_{m \neq 0} c_m(t)|\psi_m(t)\rangle \\ c_0^{(1)}(t) &= e^{-i\Gamma_0(t)} \\ c_m^{(1)}(t) &= e^{-i\Gamma_m(t)} \int_{t_{\rm in}}^t dt' \dot{\lambda}(t') \frac{\langle \psi_m(t')|H_1|\psi_0(t')\rangle}{E_m(t') - E_0(t')} e^{i\int_{t_{\rm in}}^{t'} ds \Delta_m(s)} \end{aligned}$

$$\Delta_m(t) = E_m(t) - E_0(t)$$

ij

--- A. Polkovnikov, PRB, 72 (2005) 161201

Main scaling assumptions:

$$E_m(t) - E_0(t) = \delta\lambda(t)^{\nu z} f_m(\Delta_m(t_{min})/\delta\lambda(t)^{\nu z})$$
$$\langle\psi_m(t)|H_1|\psi_0(t)\rangle = \delta\lambda(t)^{\nu z-1} g_m(\Delta_m(t_{min})^{1+\frac{d_2}{2z_2}}/\delta\lambda(t)^{\nu z})$$

 $\Delta_m(t_{min})$: minimum gap of mode m at t_{min}

 $\rho(E) \sim E^{d/\mathbf{z_2}-1}$

 $f_m(x), g_m(x)(x \to 0) \to \text{constant}$ $f_m(x), g_m(x)(x \to \infty) \to x$



CONCLUSIONS

Dynamical Asymmetry of the Impulse region (non-KZ)

AdiabaticImpulseAdiabatic $-\hat{t}_1$ $t_c = 0$ \hat{t}_2

Relevant modes versus critical mode: minimum gap

Dynamical critical exponents not determined from equilibrium

•Knowledge about the path-dependent excitation process may be crucial and non-equilibrium exponents cannot be fully predicted from equilibrium ones

Quench across critical regions:

--- Dominant critical point

--- Cancellation mechanism



For quenches involving isolated QCPs,non-ergodic dynamical scaling is fully captured by first-order adiabatic renormalization with appropriate scaling assumptions

Role of Initial State

What happens away from integrability?



