

From DFT+DMFT to LQSGW+DMFT and Full GW+EDMFT: application to materials.

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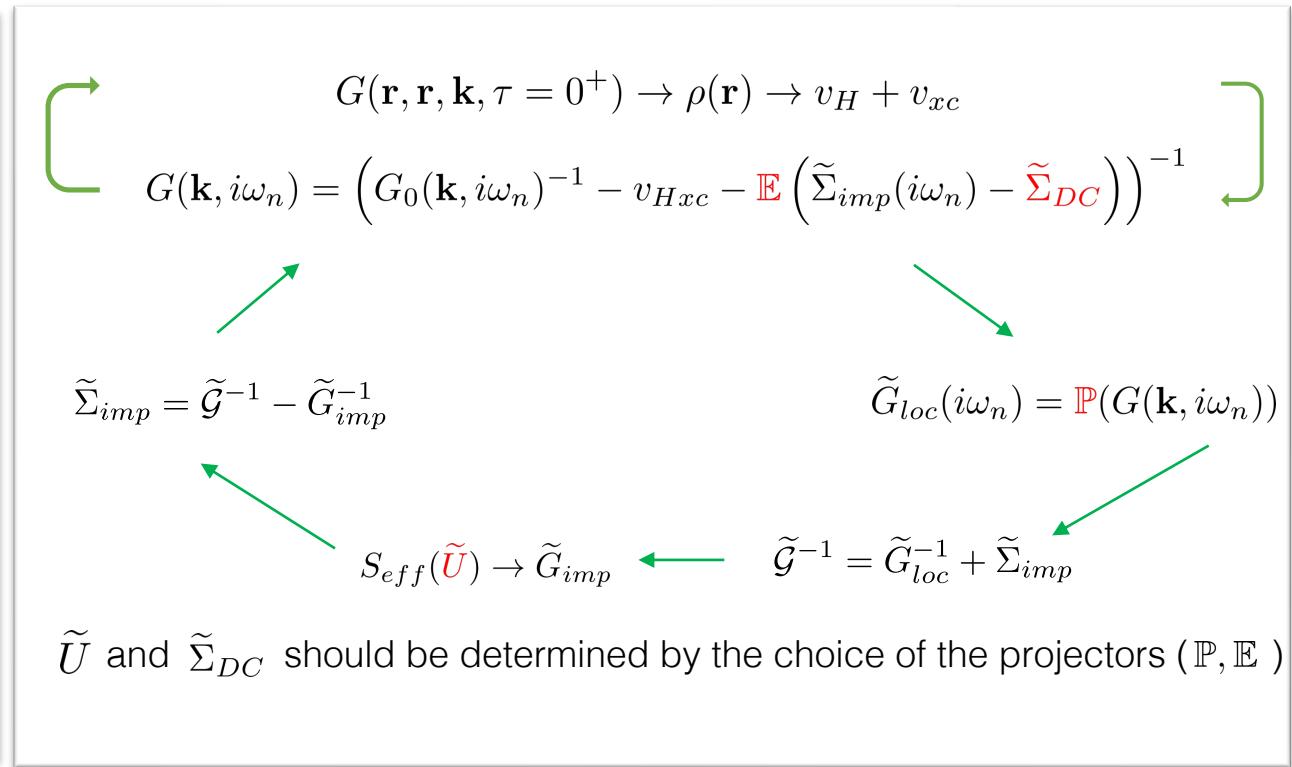
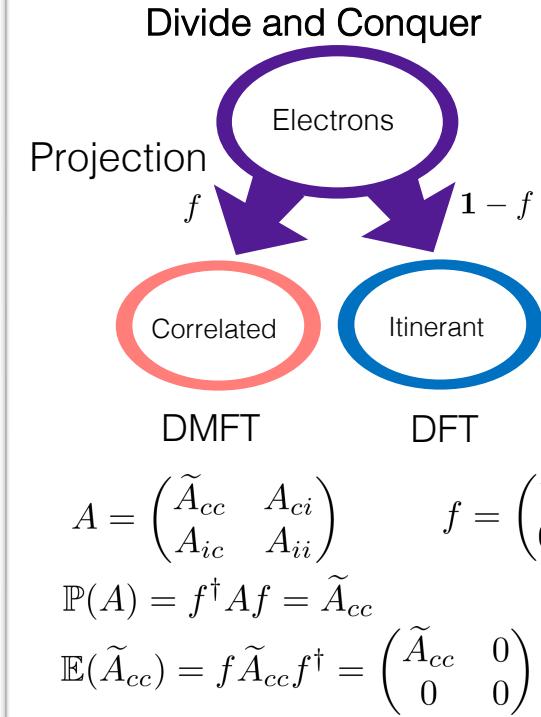
<https://sites.google.com/view/sangkookchoi>

Outline

1. Successes and challenges of DFT+DMFT
2. Introduction of GW+EDMFT
3. Approximation to GW+EDMFT: multitier scheme
4. Approximation to GW+EDMFT: partial self-consistency scheme
5. Full GW+EDMFT
6. Examples: SrVO₃, LaNiO₂
7. Hands-on (LQSGW+DMFT on Na)

1. Successes and challenges of DFT+DMFT

DFT + DMFT is a work horse methodology for correlated quantum materials



- [1] V. I. Anisimov, A. I. Poteryaev, M. A. Korotin, A. O. Anokhin, and G. Kotliar, J. Phys.: Condens. Matter 9, 7359 (1997). [2] A. I. Lichtenstein and M. I. Katsnelson, Phys. Rev. B 57, 6884 (1998). [3] G. Kotliar, S. Y. Savrasov, K. Haule, V. S. Oudovenko, O. Parcollet, and C. A. Marianetti, Rev. Mod. Phys. 78, 865 (2006). [4] G. Kotliar and S. Y. Savrasov, arXiv:Cond-Mat/0208241 (2002). [5] R. M. Martin, L. Reining, and D. M. Ceperley, Interacting Electrons (Cambridge University Press, 2016). [6] R. Chitra and G. Kotliar, Phys. Rev. B 63, 115110 (2001). [7] S. Y. Savrasov and G. Kotliar, Spectral Density Functionals for Electronic Structure Calculations, Phys. Rev. B 69, 245101 (2004).

Numerous implementation (incomplete list)

DFT + embedded DMFT Functional*

Developed by Kristjan Haule at Rutgers University, ©Copyright 2007-2020.

TRIQS/DFTTools: A TRIQS application for *ab initio* calculations of correlated materials ☆

DCore

DCore = Integrated DMFT software for Correlated electrons.

RSPt

RSPt is a code for electronic structure calculations and its acronym stands for Relativistic Spin Polarized toolkit. RSPt offers a robust and flexible set of tools to calculate total energies, magnetic moments, band structures, Fermi surfaces and densities of states for all elements, and combinations thereof, over a wide range of volumes and structures.

DMFTwDFT: An open-source code combining Dynamical Mean Field Theory with various density functional theory packages☆,☆☆

Vijay Singh ^{a,b,*}, Uthpala Herath ^b, Benny Wah ^a, Xingyu Liao ^a, Aldo H. Romero ^b, Hyowon Park ^a

ONETEP + TOSCAM: Uniting Dynamical Mean Field Theory and Linear-Scaling Density Functional Theory

Edward B. Linscott, Daniel J. Cole, Nicholas D. M. Hine, Michael C. Payne, and Cédric Weber*



Cite This: *J. Chem. Theory Comput.* 2020, 16, 4899–4911

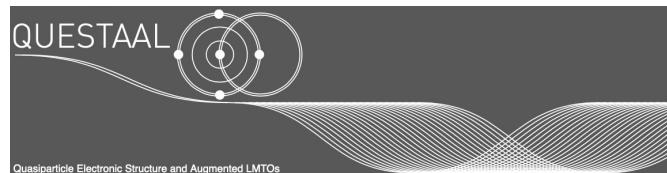


Read Online



1. COMSUITE

A computational materials physics code for simulating correlated quantum materials using Dynamic Mean Field Theory (DMFT) and its extension. It can calculate the electronic structure within three different methods:



AMULET
Advanced Materials simUlation Ekaterinburg's Toolbox

HOME
About AMULET

FEATURES
Why it is special

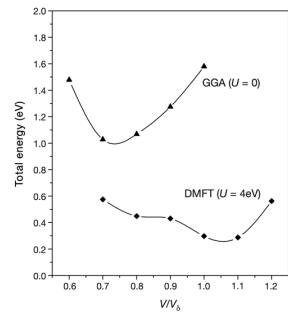
DOCUMENTATION
Inputs and HOWTO

AMULET

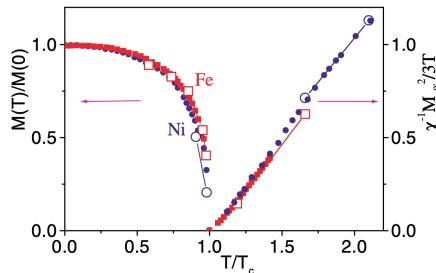
The AMULET is a free software for scientific and/or educational purposes and it is distributed under FreeBSD License. To description of a planned research has to be submitted to **AMULET Developers Team**. In the description one needs to indicate and explain shortly why conventional band structure methods do not work for your problems/compounds and what kind of expecting from DMFT. The source code will be e-mailed to you if it is suitable for your study.

Numerous successes of DFT+DMFT (very incomplete list)

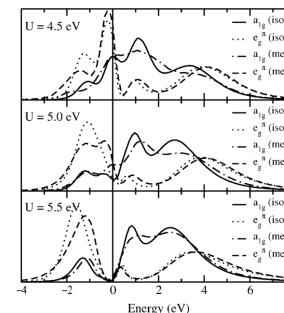
Volume collapse in Pu



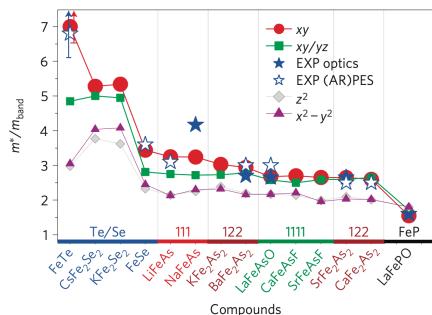
Finite T ferromagnetism



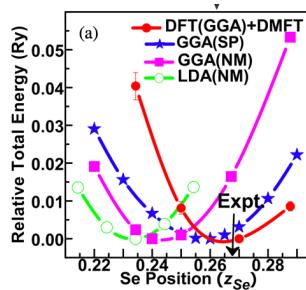
MIT in V2O3



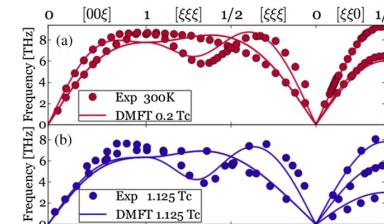
Hund metal physics



Structure prediction (FeSe)



Phonon



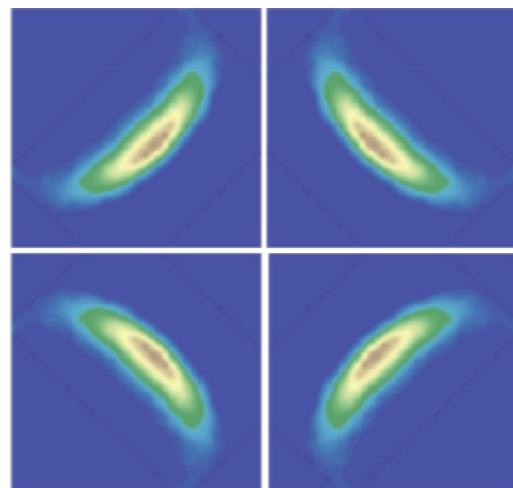
- [1] S. Y. Savrasov, G. Kotliar, and E. Abrahams, Nature 410, 793 (2001). [2] A. I. Lichtenstein, M. I. Katsnelson, and G. Kotliar, Phys. Rev. Lett. 87, 067205 (2001). [3] K. Held, G. Keller, V. Eyert, D. Vollhardt, and V. I. Anisimov, Phys. Rev. Lett. 86, 5345 (2001). [4] Zhiping. P. Yin, K. Haule, and G. Kotliar, Nature Materials 10, 932 (2011). [5] Q. Han, T. Birol, and K. Haule, Phys. Rev. Lett. 120, 187203 (2018). [6] K. Haule, J. Phys. Soc. Jpn. 87, 041005 (2018).

What if nonlocal correlation is essential?

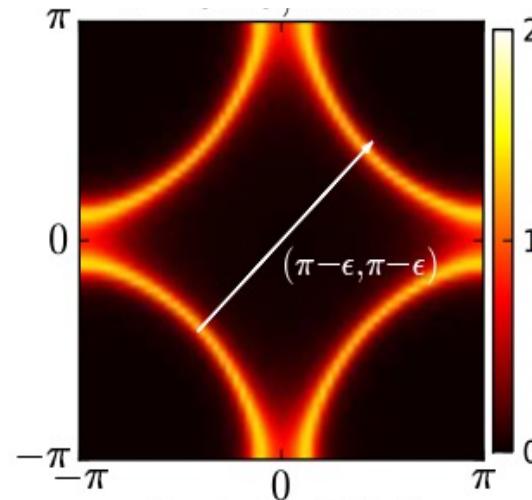
DFT + single-site DMFT: local (no \mathbf{k} dependence) and dynamical (frequency-dependent) self-energy

$$\tilde{\Sigma}(\mathbf{k}, i\omega_n) \simeq \tilde{\Sigma}(i\omega_n)$$

Pseudo-gap in Cuprates



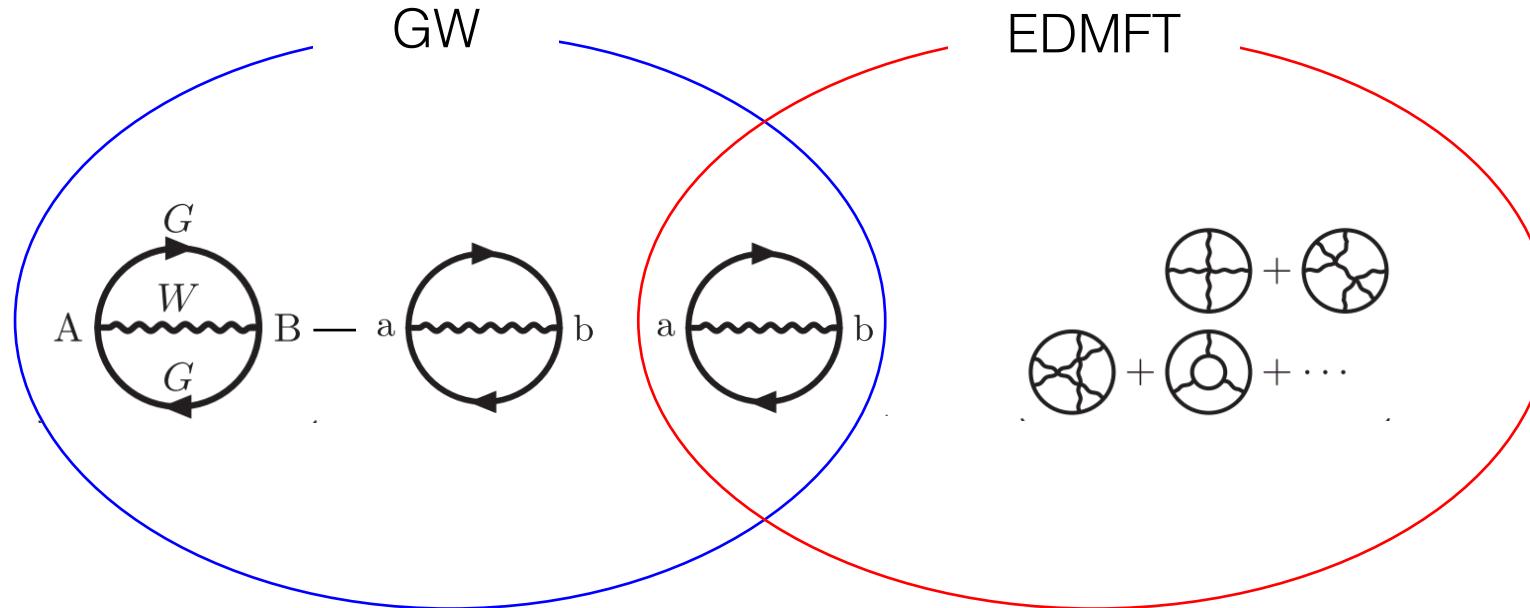
Single-site DMFT, Hubbard model



For the system where non-local (\mathbf{k} -dependent) correlation is important, we need a tool beyond DFT + single site DMFT

2. Introduction of GW+EDMFT

GW+EDMFT, a diagrammatic extension of DMFT



- local correlation within EDMFT and non-local correlation within GW
- itinerant states within GW
- correlated states within GW+EDMFT

[1] P. Sun and G. Kotliar, Phys. Rev. B 66, 085120 (2002). [2] S. Biermann, F. Aryasetiawan, and A. Georges, Phys. Rev. Lett. 90, 086402 (2003) [3] F. Nilsson, L. Boehnke, P. Werner, and F. Aryasetiawan, Phys. Rev. Materials 1, 043803 (2017).

Functional Approach to GW+EDMFT

- Free energy Functional

$$G_0 = (i\omega_n - T - V_n)^{-1}$$

$$\Gamma_{GW+EDMFT}[G(\mathbf{r}, \mathbf{r}', i\omega_n), \Sigma(\mathbf{r}, \mathbf{r}', i\omega_n), W(\mathbf{r}, \mathbf{r}', i\nu_n), P(\mathbf{r}, \mathbf{r}', i\nu_n)]$$

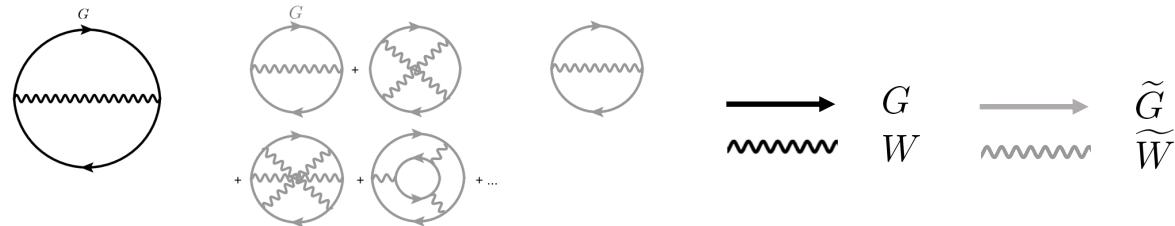
$$= -\mathfrak{T}\mathfrak{r} [\log(-G_0^{-1})] + \mathfrak{T}\mathfrak{r} [\log(1 - G_0\Sigma)] - \underline{\mathfrak{T}\mathfrak{r} [G\Sigma]} + \Phi_H[G] + \frac{1}{2}\mathfrak{T}\mathfrak{r} [\log(1 - vP)] + \frac{1}{2}\mathfrak{T}\mathfrak{r} [PW] + \Psi_{GW+EDMFT}[G, W]$$

Free energy functional due to G

Contribution
to the first two terms
due to e-e interaction

e-e interaction

$$\Psi_{GW+EDMFT}[G, W] = -\frac{1}{2}\mathfrak{T}\mathfrak{r} [GWG] + \Psi_{EDMFT}[\tilde{G}, \tilde{W}] + \frac{1}{2}\mathfrak{T}\mathfrak{r} [\tilde{G}\tilde{W}\tilde{G}]$$



GW+EDMFT loop

Stationary condition

$$\frac{\delta \Gamma_{GW+EDMFT}}{\delta G} = 0 \rightarrow \Sigma_{xc} = -GW + \frac{\delta \Psi_{EDMFT}}{\delta G} + \mathbb{E}(\tilde{G}\tilde{W}) \quad \frac{\delta \Gamma_{GW+EDMFT}}{\delta \Sigma} = 0 \rightarrow G^{-1} = G_0^{-1} - \Sigma_H - \Sigma_{xc}$$

$$\frac{\delta \Gamma_{GW+EDMFT}}{\delta W} = 0 \rightarrow P = 2GG + \frac{\delta \Psi_{EDMFT}}{\delta W} - 2\mathbb{E}(\tilde{G}\tilde{G}) \quad \frac{\delta \Gamma_{GW+EDMFT}}{\delta P} = 0 \rightarrow W^{-1} = v^{-1} - P$$

$$G^{-1}(\mathbf{k}, i\omega_n) = G_0^{-1}(\mathbf{k}, i\omega_n) - \Sigma_H - \Sigma_{GW}(\mathbf{k}, i\omega_n) - \mathbb{E} \left(\tilde{\Sigma}_{imp}(i\omega_n) - \tilde{\Sigma}_{DC}(i\omega_n) \right)$$

$$W^{-1}(\mathbf{k}, i\nu_n) = V^{-1}(\mathbf{k}) - P_{GW}(\mathbf{k}, i\omega_n) - \mathbb{E} \left(\tilde{P}_{imp}(i\omega_n) - \tilde{P}_{DC}(i\omega_n) \right)$$

$$\tilde{\Sigma}_{imp} = \tilde{\mathcal{G}}^{-1} - \tilde{G}_{imp}^{-1}$$

$$\tilde{W}_{imp} = \tilde{\mathcal{U}} - \tilde{\mathcal{U}} \tilde{\chi}_{imp} \tilde{\mathcal{U}}$$

$$\tilde{P}_{imp} = \tilde{\mathcal{U}}^{-1} - \tilde{W}_{imp}^{-1}$$

$$S_{eff}(\tilde{\mathcal{G}}, \tilde{\mathcal{U}}) \rightarrow \tilde{G}_{imp}, \tilde{\chi}_{imp}$$

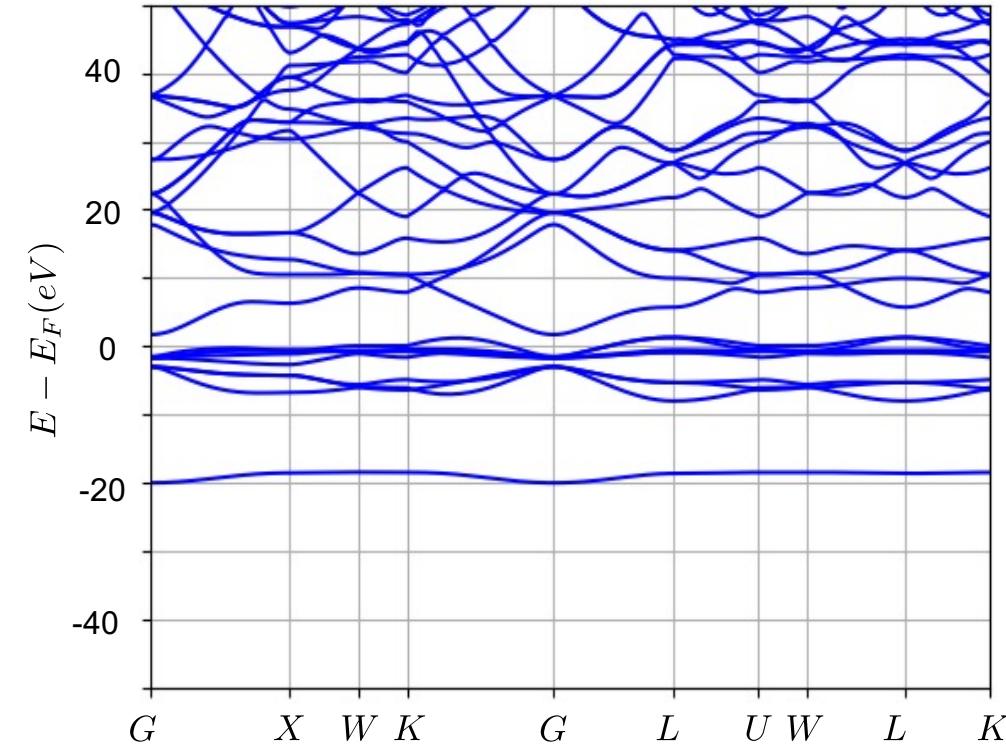
$$\tilde{G}_{loc} = \mathbb{P}(G)$$

$$\tilde{W}_{loc} = \mathbb{P}(W)$$

$$\begin{aligned} \tilde{\mathcal{G}}^{-1} &= \tilde{G}_{loc}^{-1} + \tilde{\Sigma}_{imp} \\ \tilde{\mathcal{U}}^{-1} &= \tilde{W}_{loc}^{-1} + \tilde{P}_{imp} \end{aligned}$$

3. Approximation to GW+EDMFT: multitier scheme

Simplification I: Multitier scheme GW+EDMFT in the intermediate space



C — F

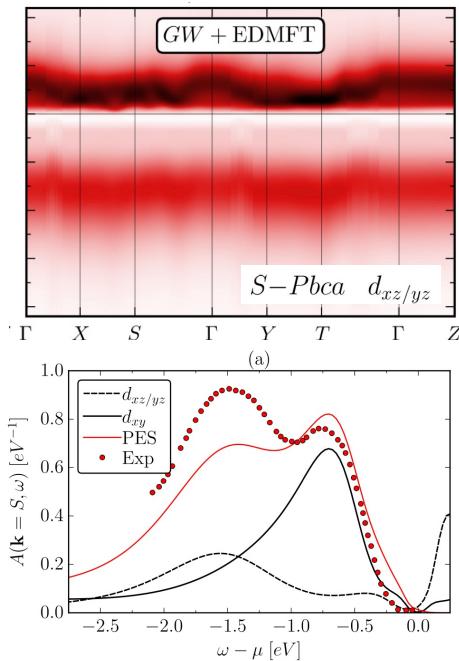
C: correlated subspace
I: intermediate subspace (5-8 orbital)
F: full space

C=Tier-I
I=Tier-I \oplus Tier-II
F= Tier-I \oplus Tier-II \oplus Tier-III

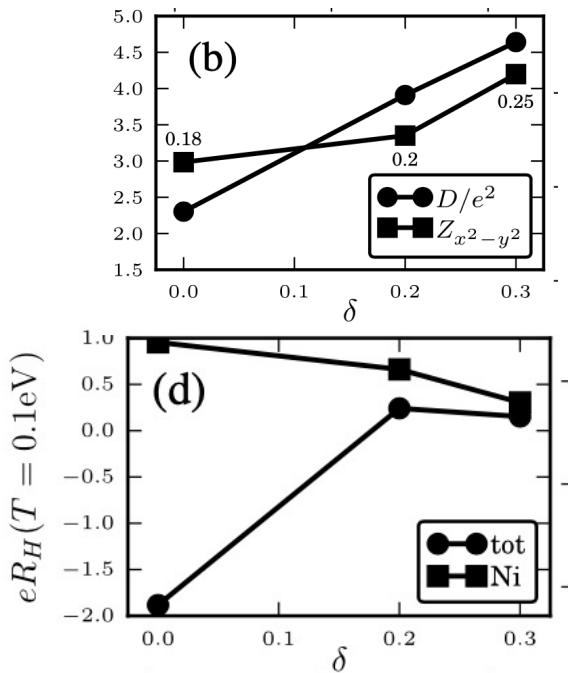
Tier-I: GW+EDMFT
Tier-II: GW
Tier-III: one-shot GW, not GW

Application of multitier GW+EDMFT to real materials

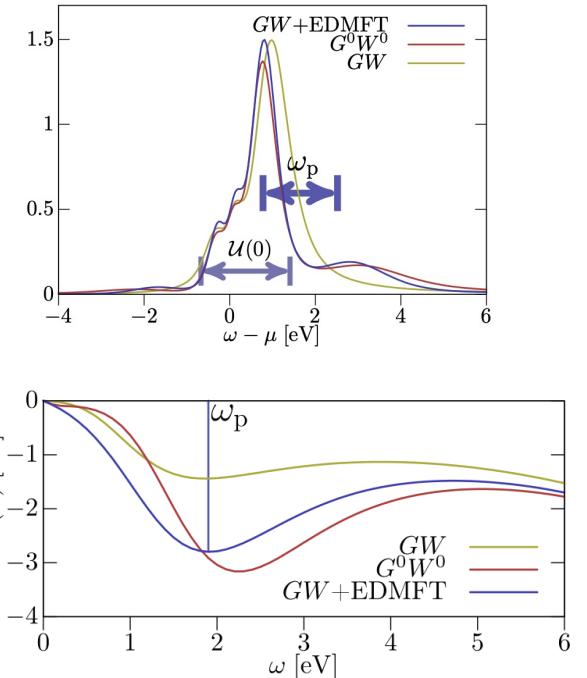
MIT in Ca_2RuO_4



Multiorbital nature of infinite-layer nickelates



Plasmon satellites in SrVO_3



- [1] F. Petocchi, V. Christiansson, and P. Werner, Phys. Rev. B 104, 195146 (2021). [2] F. Petocchi, V. Christiansson, F. Nilsson, F. Aryasetiawan, and P. Werner, Phys. Rev. X 10, 041047 (2020). [3] F. Petocchi, F. Nilsson, F. Aryasetiawan, and P. Werner, Phys. Rev. Research 2, 013191 (2020). [4] L. Boehnke, F. Nilsson, F. Aryasetiawan, and P. Werner, Phys. Rev. B 94, 201106 (2016).

4. Approximation to GW+EDMFT: partial self-consistency scheme

Important ansatz for simplification II: separable self-energy

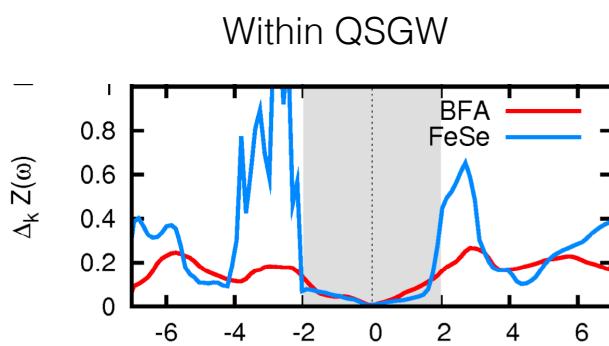
$$\tilde{\Sigma}(\mathbf{k}, i\omega_n) \simeq \tilde{\Sigma}^{non-local}(\mathbf{k}) + \tilde{\Sigma}^{dyn}(i\omega_n) \rightarrow G^{-1}(\mathbf{k}, i\omega_n) = i\omega_n - \left(H_0 + \mathbb{E}(\tilde{\Sigma}^{non-local}(\mathbf{k})) + \mathbb{E}(\tilde{\Sigma}^{dyn}(i\omega_n)) \right) \\ = H^{non-local}(\mathbf{k})$$

Validation on Fe-based superconductors quasiparticle bands

- Up to linear term in frequency

$$\tilde{\Sigma}(\mathbf{k}, i\omega_n) \simeq \tilde{\Sigma}(k, 0) + \left(1 - \tilde{Z}^{-1}(\mathbf{k}) \right) i\omega_n \quad \tilde{Z}^{-1}(\mathbf{k}) = 1 - \frac{\partial \tilde{\Sigma}(\mathbf{k}, i\omega_n)}{\partial(i\omega_n)} \Big|_{i\omega_n=0}$$

- If $\tilde{Z}(\mathbf{k})$ is k-independent, self-energy is separable



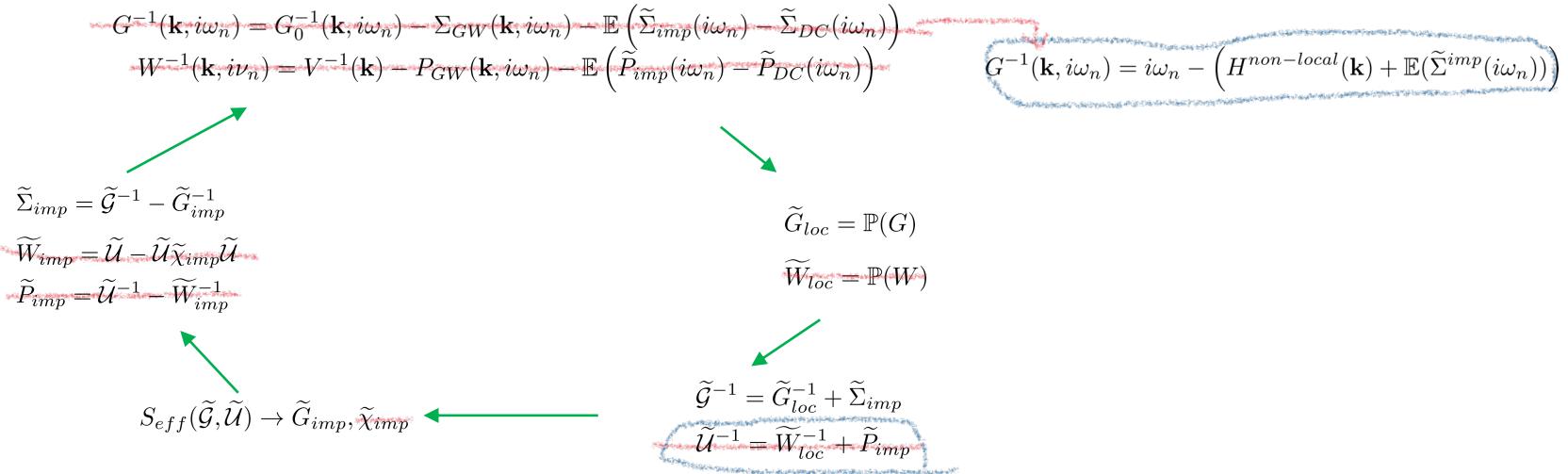
- 1) $H^{non-local}(\mathbf{k}) = H^{LQSGW}(\mathbf{k})$
- 2) Determine $Z(\mathbf{k})$ by fitting ARPES spectra of LiFeAs

	$Z_m(\Gamma)$	$Z_m(M)$
xy	0.21 ± 0.01	0.18 ± 0.01
xz/yz	0.38 ± 0.01	0.30 ± 0.04

[1] J. M. Tomczak, M. van Schilfgaarde, and G. Kotliar, Phys. Rev. Lett. 109, 237010 (2012). [2] J. M. Tomczak, J. Phys.: Conf. Ser. 592, 012055 (2015).

[3] M. Kim, H. Miao, S. Choi, M. Zingl, A. Georges, and G. Kotliar, Phys. Rev. B 103, 155107 (2021).

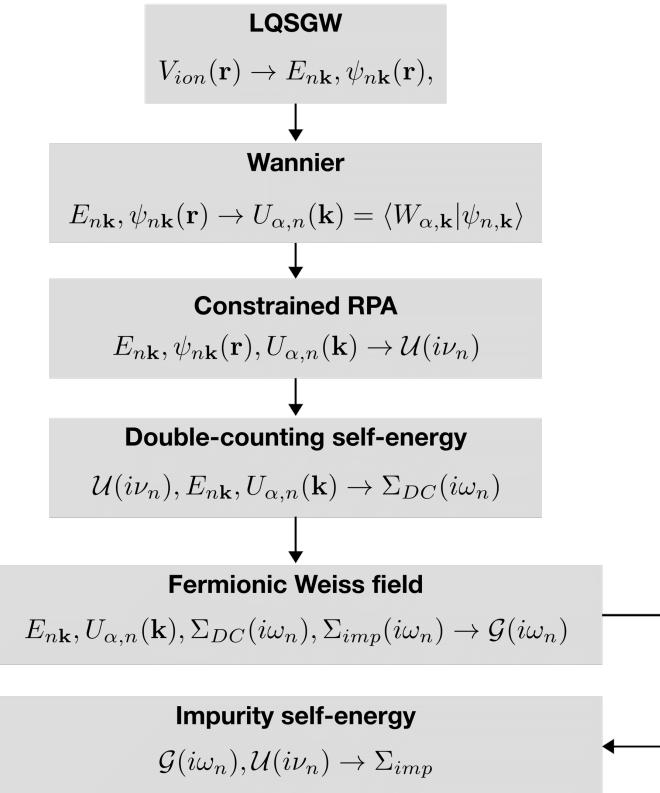
Simplification II: partial self-consistency scheme



- Fixing Bosonic quantities at the GW level
- U from constrained random phase approximation (cRPA) [1] and its extension [2] or constrained DFT(cDFT)
- One-shot DMFT approach: $H^{non-local}$ is fixed
- For $H^{non-local}$: one-shot GW [7,8], Screened Exchange [3], QSGW [4,5] and LQSGW [2], non-local QSGW and LQSGW [6]
- One example is LQSGW+DMFT

[1] F. Aryasetiawan et al., Phys. Rev. B 70, 195104 (2004). [2] S. Choi, et al., Npj Quantum Materials 1, 16001 (2016). [3] A. van Roekeghem, et al., Phys. Rev. Lett. 113, 266403 (2014). [4] L. Sponzaert al., Phys. Rev. B 95, 041112 (2017). [5] D. Pashov et al., Computer Physics Communications 249, 107065 (2020). [6] J. M. Tomczak, J. Phys.: Conf. Ser. 592, 012055 (2015). [7] J. M. Tomczak et al., Phys. Rev. B 90, 165138 (2014). [8] C. Taranto et al., Phys. Rev. B 88, 165119 (2013). [9] F. Nilsson et al., Phys. Rev. Materials 1, 043803 (2017).

Ab initio LQSGW+DMFT



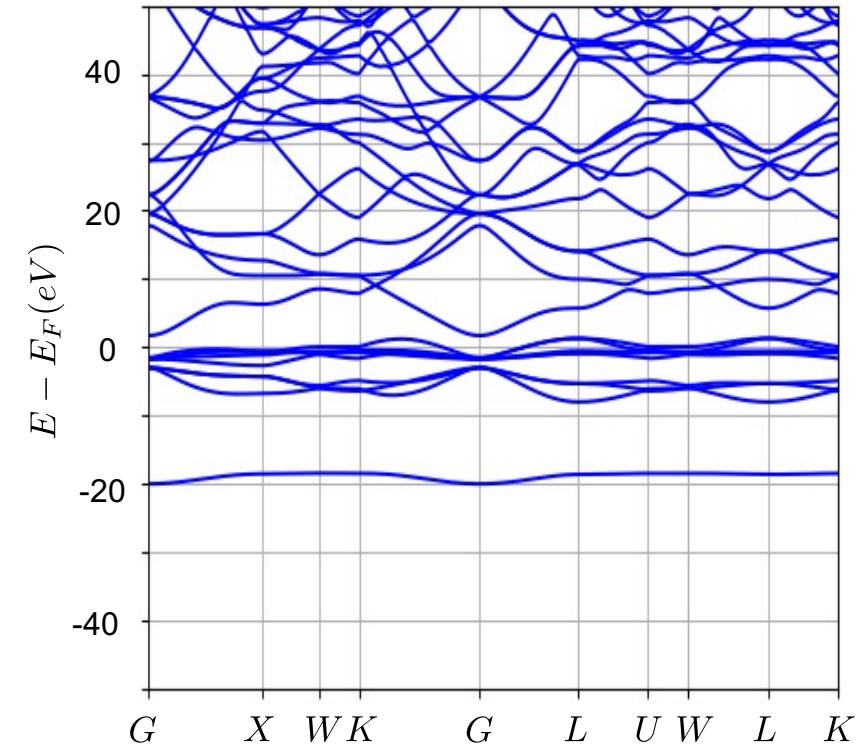
- A simplification of full GW+EDMFT
- One-shot DMFT correction to ab initio LQSGW
- Interaction tensor and double-counting energy are calculated (within cRPA and local-GW)
- a parameter-free method
- Validation on charge transfer insulators, Fe-based superconductors, and narrow-gap correlated insulators

[1] J. M. Tomczak, J. Phys.: Conf. Ser. 592, 012055 (2015).

[2] **S. Choi**, A. Kuteepov, K. Haule, M. van Schilfgaarde, and G. Kotliar, npj Quantum Materials 1, 16001 (2016).

[3] **S. Choi**, P. Semon, B. Kang, A. Kuteepov, and G. Kotliar, Computer Physics Communications 244, 277 (2019).

Hilbert space and its subspaces for LQSGW+DMFT



C L F

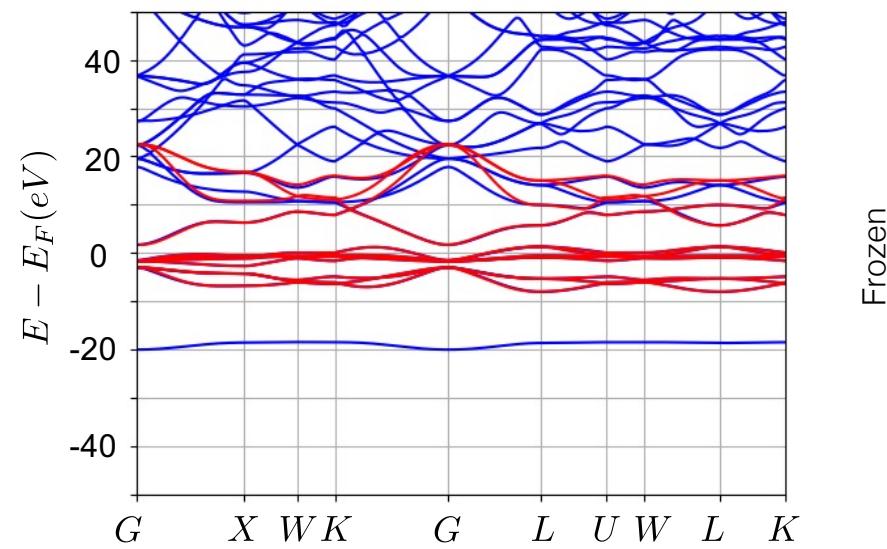
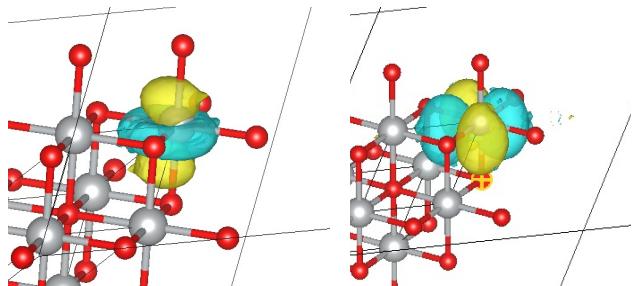
- F: full space
 - $F = \text{Tier-I} \oplus \text{Tier-II} \oplus \text{Tier-III}$
 - notation: $A(r, r')$
- L: Low-energy subspace defined by Wannier functions spanning an energy window ($E_F \pm 10\text{eV}$)
 - $L = \text{Tier-I} \oplus \text{Tier-II}$
 - notation: \bar{A}_{ij} , $i, j \Rightarrow$ Wannier functions
- C: correlated subspace
 - $C = \text{Tier-I}$
 - notation: \tilde{A}_{ij} , $i, j \Rightarrow$ Wannier functions

Tier-I: one-shot DMFT correction to LQSGW

Tier-II: LQSGW

Tier-III: LQSGW

Basis set in the low-energy space



$$|n\mathbf{k}\rangle = \frac{1}{\sqrt{N_{\mathbf{k}}}} \sum_{\mathbf{R},\tau} U_{n\tau}(\mathbf{k}) e^{i\mathbf{k}\cdot\mathbf{R}} |\tau\mathbf{R}\rangle$$

$$|\tau\mathbf{R}\rangle = \frac{1}{\sqrt{N_{\mathbf{k}}}} \sum_{\mathbf{k},n} U_{n\tau}^*(\mathbf{k}) e^{-i\mathbf{k}\cdot\mathbf{R}} |n\mathbf{k}\rangle$$

- One way to construct orthonormal basis set of $|\tau\mathbf{R}\rangle$ from $|n\mathbf{k}\rangle$, or to determine $U_{n\tau}(\mathbf{k})$
→ by minimizing total spread

$$\Omega = \sum_{\tau\mathbf{R}} \langle \mathbf{r}^2 - \langle \mathbf{r} \rangle_{\tau\mathbf{R}}^2 \rangle_{\tau\mathbf{R}}, \text{ where } \langle A \rangle_{\tau\mathbf{R}} = \langle \mathbf{R}\tau | A | \mathbf{R}\tau \rangle$$

→ Under the constraint that it preserves band eigenvalues in the inner (frozen) window $E_{n\mathbf{k}}$

- Our default choice of inner (frozen) window: $E_F \pm 10\text{eV}$
- then a projector to correlated orbitals

$$f_k = \langle \mathbf{r} | \tau\mathbf{k} \rangle = \sum_n U_{n\tau}^*(\mathbf{k}) \langle \mathbf{r} | n\mathbf{k} \rangle$$

[1] N. Marzari, A. A. Mostofi, J. R. Yates, I. Souza, and D. Vanderbilt, Maximally Localized Wannier Functions: Theory and Applications, Rev. Mod. Phys. 84, 1419 (2012).

Nonlocal Hamiltonian 1: Linearized quasiparticle self-consistent GW (LQSGW)

$$Z^{-1}(\mathbf{k}^c) = 1 - \frac{\partial \Sigma(\mathbf{k}^c, i\omega_n)}{\partial(i\omega_n)} \Big|_{i\omega_n=0}$$
$$H^{QP}(\mathbf{k}^c) = \sqrt{Z(\mathbf{k}^c)} (H_0(\mathbf{k}^c) + \Sigma(\mathbf{k}^c, \omega = 0)) \sqrt{Z(\mathbf{k}^c)}$$
$$G^{QP}(\mathbf{k}^c, i\omega_n^c) = (i\omega_n^c - H^{QP}(\mathbf{k}^c))^{-1}$$
$$W_{GW}(\mathbf{k}^c, i\omega_n^c) = (V^{-1}(\mathbf{k}^c) - P_{GW}(\mathbf{k}^c, i\omega_n^c))^{-1}$$
$$P_{GW}(\mathbf{k}^c, i\nu_n^c) = - \sum_{\mathbf{R}^c} \int_0^{\beta^c} d\tau G^{QP}(\mathbf{R}^c, \tau) \circ G^{QP}(-\mathbf{R}^c, -\tau) e^{-i(\mathbf{k}^c \cdot \mathbf{R}^c - \nu_n^c \tau)}$$
$$\Sigma_{GW}(\mathbf{k}^c, i\omega_n^c) = - \sum_{\mathbf{R}^c} \int_0^{\beta^c} d\tau G^{QP}(\mathbf{R}^c, \tau) \circ W(-\mathbf{R}^c, -\tau) e^{-i(\mathbf{k}^c \cdot \mathbf{R}^c - \omega_n^c \tau)}$$

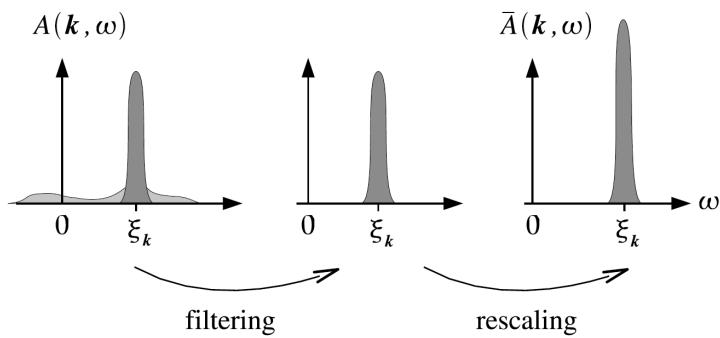
- The developer: Andrey Kutepon
- LAPW basis set
- space-time methods (to avoid convolution)
- calculation in a coarse Matsubara frequency grid (typical simulation temperature $\sim 1000\text{K}$)
- calculation in a coarse momentum space grid (e.g. NiO: $6 \times 6 \times 6$)

[1] A. L. Kutepon, V. S. Oudovenko, and G. Kotliar, Computer Physics Communications 219, 407 (2017).

[2] A. Kutepon, S. Y. Savrasov, and G. Kotliar, Phys. Rev. B 80, 041103 (2009).

[3] A. Kutepon, K. Haule, S. Y. Savrasov, and G. Kotliar, Phys. Rev. B 85, 155129 (2012).

Linearized self-energy and quasiparticle Hamiltonian



$$\begin{aligned}
 G(i\omega_n) &= \frac{1}{i\omega_n - \varepsilon_0 - \Sigma(i\omega_n)} && \text{filtering} \\
 &\simeq \frac{1}{i\omega_n - \varepsilon_0 - \Sigma(0) - i\omega_n \frac{\partial \Sigma(i\omega_n)}{\partial(i\omega_n)} \Big|_{\omega=0}} \\
 &= \frac{1}{i\omega_n \left(1 - \frac{\partial \Sigma(i\omega_n)}{\partial(i\omega_n)} \Big|_{\omega=0}\right) - \varepsilon_0 - \Sigma(0)} \\
 &= \frac{Z}{i\omega_n - \sqrt{Z} (\varepsilon_0 + \Sigma(0)) \sqrt{Z}} && \text{rescaling} \\
 &\simeq \frac{1}{i\omega_n - \sqrt{Z} (\varepsilon_0 + \Sigma(0)) \sqrt{Z}}
 \end{aligned}$$

Quasiparticle weight: $Z = \frac{1}{1 - \frac{\partial \Sigma(i\omega_n)}{\partial(i\omega_n)} \Big|_{\omega=0}}$

Quasiparticle Hamiltonian: $H_{QP} = \sqrt{Z} (\varepsilon_0 + \Sigma(0)) \sqrt{Z}$

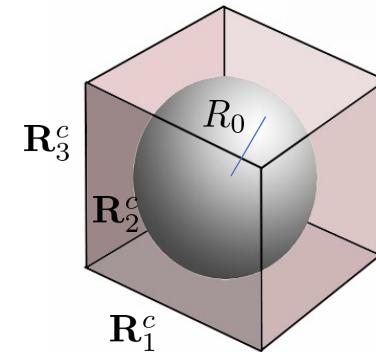
Nonlocal Hamiltonian II: Wannier-interpolation of \mathbf{H}^{QP}

- The more localized orthonormal basis set → the sparser H matrix in the R space
- With localized basis set, hopping energy are essentially 0 beyond a few neighbours.
- If the supercell defined by k-grid is larger than the hopping range (R_0), we can interpolate the bands at an arbitrary k point

$$H_{\tau,\tau'}(\mathbf{R}^c) = \frac{1}{N_{\mathbf{k}}} \sum_{k^c} H_{\tau,\tau'}(\mathbf{k}^c) e^{-i\mathbf{k}^c \cdot \mathbf{R}^c}$$

$$\begin{aligned} H_{\tau,\tau'}(\mathbf{k}^f) &= \sum_{\mathbf{R}^f} H_{\tau,\tau'}(\mathbf{R}^f) e^{i\mathbf{k}^f \cdot \mathbf{R}^f} \\ &= \sum_{|\mathbf{R}^f| \leq R_0} H_{\tau,\tau'}(\mathbf{R}^f) e^{i\mathbf{k}^f \cdot \mathbf{R}^f} + \sum_{|\mathbf{R}^f| > R_0} H_{\tau,\tau'}(\mathbf{R}^f) e^{i\mathbf{k}^f \cdot \mathbf{R}^f} \\ &= \sum_{|\mathbf{R}^c| \leq R_0} H_{\tau,\tau'}(\mathbf{R}^c) e^{i\mathbf{k}^f \cdot \mathbf{R}^c} \end{aligned}$$

↗ R_0



Nonlocal Hamiltonian III: Nonlocal LQSGW

$$\tilde{\Sigma}(\mathbf{k}, i\omega_n) \simeq \tilde{\Sigma}^{non-local}(\mathbf{k}) + \tilde{\Sigma}^{dyn}(i\omega_n) \rightarrow G^{-1}(\mathbf{k}, i\omega_n) = i\omega_n - \left(H_0 + \mathbb{E}(\tilde{\Sigma}^{non-local}(\mathbf{k})) + \mathbb{E}(\tilde{\Sigma}^{dyn}(i\omega_n)) \right) \\ = H^{non-local}(\mathbf{k})$$

We choose nonlocal Hamiltonian by requiring that G is G_{LQSGW} when $\Sigma^{dyn} = \Sigma^{imp} = \Sigma^{DC}$

$$G \simeq \frac{1}{i\omega_n - \left(H^{non-local}(\mathbf{k}) + \mathbb{E}(\Sigma^{DC}(\omega = 0)) + \mathbb{E}((1 - \tilde{Z}_{DC}^{-1})i\omega_n) \right)} \quad \tilde{Z}_{DC}^{-1} = 1 - \frac{\partial \tilde{\Sigma}_{DC}(i\omega_n)}{\partial(i\omega_n)} \Big|_{i\omega_n=0} \\ = \frac{1}{Z_{DC}^{-1}i\omega_n - (H^{non-local}(\mathbf{k}) + \mathbb{E}(\Sigma^{DC}(\omega = 0)))} \quad Z_{DC}^{-1} = \mathbb{E}(\tilde{Z}_{DC}^{-1}) + (1 - \mathbb{E}(\tilde{1})) \\ = \frac{1}{i\omega_n - \sqrt{Z_{DC}} (H^{non-local}(\mathbf{k}) + \mathbb{E}(\Sigma^{DC}(\omega = 0))) \sqrt{Z_{DC}}} \\ \simeq \frac{1}{i\omega_n - \sqrt{Z_{DC}} (H^{non-local}(\mathbf{k}) + \mathbb{E}(\Sigma^{DC}(\omega = 0))) \sqrt{Z_{DC}}} \\ = \frac{1}{i\omega_n - H^{LQSGW}(\mathbf{k})} \\ H^{non-local}(\mathbf{k}) = Z_{DC}^{-1/2} H^{LQSGW}(\mathbf{k}) Z_{DC}^{-1/2} - \mathbb{E}(\Sigma^{DC}(\omega = 0))$$

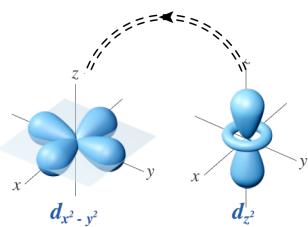
Bosonic Weiss Field: constrained random phase approximation

$$\begin{aligned}
 G^{-1}(\mathbf{k}, i\omega_n) &= G_0^{-1}(\mathbf{k}, i\omega_n) - \Sigma_{GW}(\mathbf{k}, i\omega_n) - \mathbb{E}\left(\tilde{\Sigma}_{imp}(i\omega_n) - \tilde{\Sigma}_{DC}(i\omega_n)\right) \\
 W^{-1}(\mathbf{k}, i\nu_n) &= V^{-1}(\mathbf{k}) - P_{GW}(\mathbf{k}, i\omega_n) - \mathbb{E}\left(\tilde{P}_{imp}(i\omega_n) - \tilde{P}_{DC}(i\omega_n)\right) \\
 G^{-1}(\mathbf{k}, i\omega_n) &= i\omega_n - (H^{non-local}(\mathbf{k}) + \mathbb{E}(\Sigma^{loc}(i\omega_n)))
 \end{aligned}$$

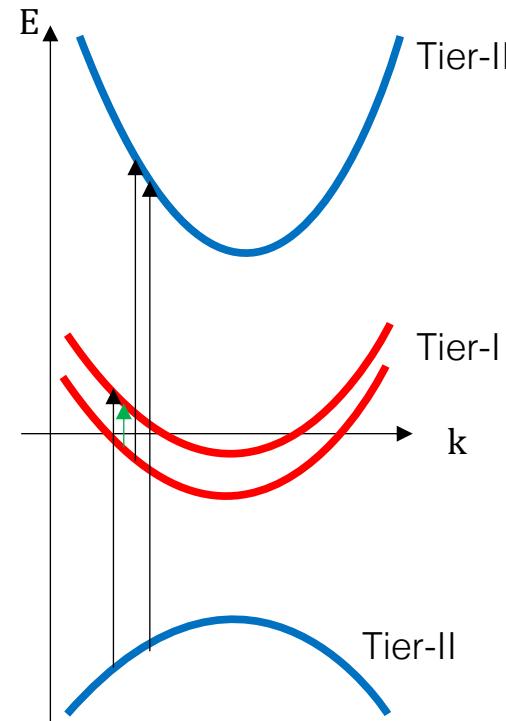
$\tilde{\Sigma}_{imp} = \tilde{g}^{-1} - \tilde{G}_{imp}^{-1}$
 $\tilde{W}_{imp} = \tilde{U} - \tilde{U}\tilde{\Sigma}_{imp}\tilde{U}$
 $\tilde{P}_{imp} = \tilde{U}^{-1} - \tilde{W}_{imp}^{-1}$
 $\tilde{G}_{loc} = \mathbb{P}(G)$
 $\tilde{W}_{loc} = \mathbb{P}(W)$
 $\tilde{g}^{-1} = \tilde{G}_{loc}^{-1} + \tilde{\Sigma}_{imp}$
 $\tilde{U}^{-1} = \tilde{W}_{loc}^{-1} + \tilde{P}_{imp}$

$S_{eff}(\tilde{g}, \tilde{U}) \rightarrow \tilde{G}_{imp}, \tilde{\chi}_{imp}$

- U: effective Coulomb interaction (bare with respect to the correlated orbitals but renormalized with respect to the rest)
- How can we calculate U within GW?



Bosonic Weiss Field: constrained random phase approximation



Partially screened Coulomb interaction by neglecting transition
between correlated bands

$$P_{QP} = P_{QP}^{low} + P_{QP}^{high}$$

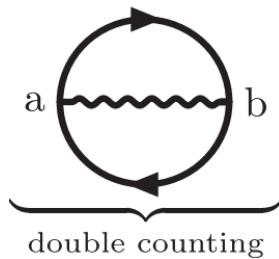
$$P_{QP}^{low}(\mathbf{r}, \mathbf{r}', \mathbf{k}^c, i\omega_n^c) = -N_s \sum_{\mathbf{k}^g} \sum_n^{\text{unocc}} \sum_m^{\text{occ}}$$

$$\psi_{n\mathbf{k}'}(\mathbf{r}) \psi_{m\mathbf{k}' + \mathbf{k}^c}^*(\mathbf{r}) \psi_{n\mathbf{k}'}^*(\mathbf{r}') \psi_{m\mathbf{k}^c + \mathbf{k}}(\mathbf{r}') \frac{2(E_{n\mathbf{k}'}^c - E_{n\mathbf{k}^c + \mathbf{k}}^c)}{\omega_n^2 - (E_{n\mathbf{k}'} - E_{n\mathbf{k}^c + \mathbf{k}})^2},$$

$$W_r^{-1}(\mathbf{k}^c, i\omega_n^c) = W^{-1}(\mathbf{k}^c, i\omega_n^c) + P_{QP}^{low}(\mathbf{k}^c, i\omega_n^c)$$

$$\begin{aligned} \tilde{\mathcal{U}}_{ijkl}(i\omega_n^c) &= \int d\mathbf{r} d\mathbf{r}' W_{\mathbf{R}^c=0,i}^*(\mathbf{r}) W_{\mathbf{R}^c=0,j}^*(\mathbf{r}') W_r(\mathbf{r}, \mathbf{r}', \mathbf{R}^c = 0, i\omega_n^c) W_{\mathbf{R}^c=0,k}(\mathbf{r}') W_{\mathbf{R}^c=0,l}(\mathbf{r}) \\ \tilde{\mathcal{U}}_{ijkl}(i\omega_n^c) &\rightarrow \tilde{\mathcal{U}}_{ijkl}(i\omega_n^f) \end{aligned}$$

Double counting self-energy



$$\begin{aligned}\tilde{\Sigma}_{i,j}^{DC}(i\omega_n) = & - \sum_{k,l} 2\tilde{G}_{k,l}(\tau = -\delta)\tilde{U}_{i,j,k,l}(i\nu_n = 0) \\ & - \sum_{k,l} \int d\tau \tilde{G}_{k,l}(\tau) \tilde{W}_{loc,i,k,l,j}(\tau) e^{i\omega_n \tau},\end{aligned}$$

$$\tilde{W}_{i,j,k,l}(i\nu_n) = \tilde{U}_{i,j,k,l}(i\nu_n) + \sum_{m,n,p,q} \tilde{U}_{i,j,m,n}(i\nu_n) \tilde{P}_{m,n,p,q}(i\omega_n) \tilde{W}_{p,q,k,l}(i\omega_n)$$

$$\tilde{P}_{i,j,k,l}(i\omega_n) = 2 \int d\tau \tilde{G}_{i,l}(\tau) \tilde{G}_{j,k}(-\tau) e^{i\omega \tau}$$

LQSGW+DMFT self-consistent equation

$$G^{-1}(\mathbf{k}, i\omega_n) = i\omega_n - \left(H^{non-local}(\mathbf{k}) + \mathbb{E}(\tilde{\Sigma}^{imp}(i\omega_n)) \right)$$

$$H^{non-local}(\mathbf{k}) = Z_{DC}^{-1/2} H^{LQSGW}(\mathbf{k}) Z_{DC}^{-1/2} - \mathbb{E}(\tilde{\Sigma}^{DC}(\omega = 0))$$

$$\tilde{Z}_{DC}^{-1} = 1 - \frac{\partial \tilde{\Sigma}_{DC}(i\omega_n)}{\partial(i\omega_n)} \Big|_{i\omega_n=0} \qquad \longrightarrow \qquad \tilde{G}_{loc} = \mathbb{P}(G)$$

$$Z_{DC}^{-1} = \mathbb{E}(\tilde{Z}_{DC}^{-1}) + \left(1 - \mathbb{E}(\tilde{1}) \right)$$

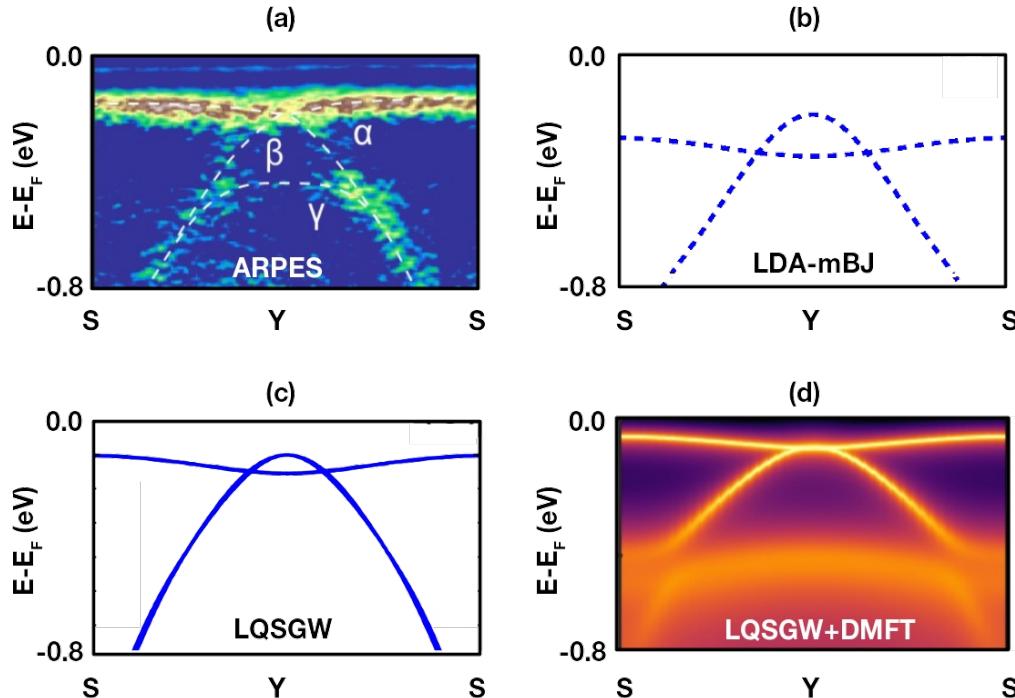


$$S_{eff}(\tilde{\mathcal{G}}, \tilde{\mathcal{U}}) \rightarrow \tilde{\Sigma}_{imp}$$



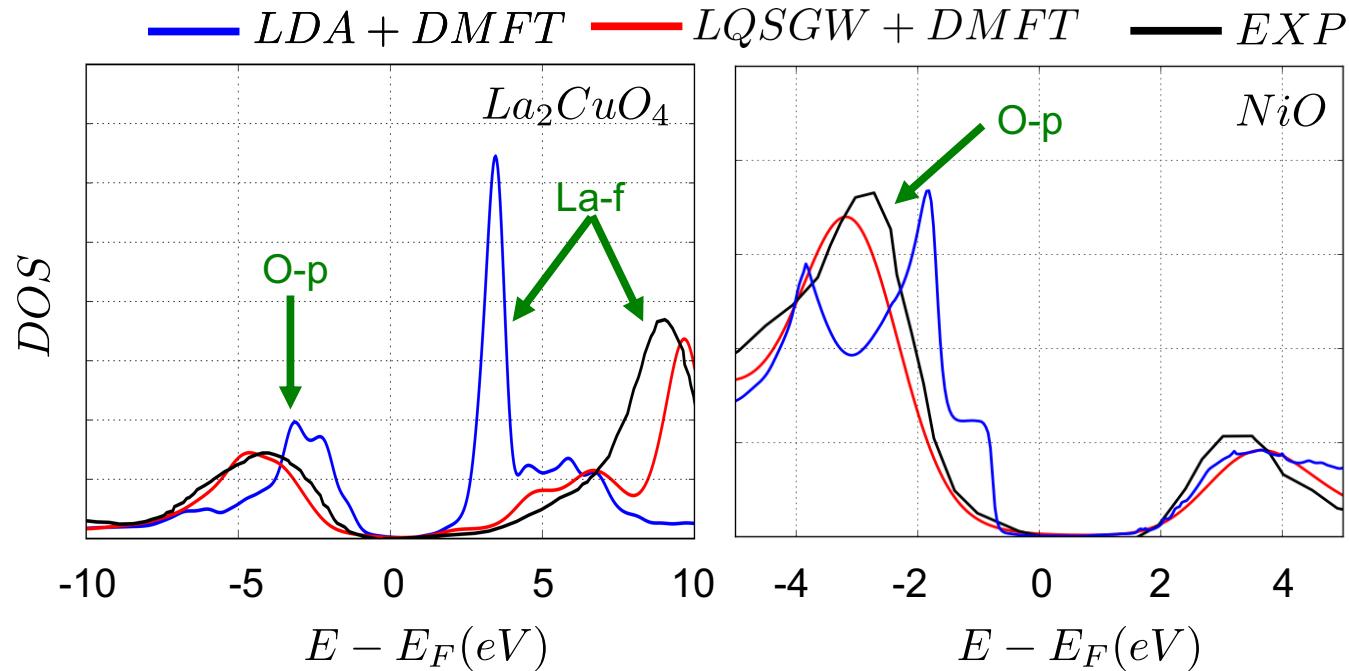
$$\tilde{\mathcal{G}}^{-1} = \tilde{G}_{loc}^{-1} + \tilde{\Sigma}_{imp}$$

Validation: FeSb₂ bandstructure



- FeSb₂: narrow-gap correlated semiconductor
- Colossal thermopower up to 45mV/K at 10K and a record-high thermoelectric power factor of 2300 μ W/K²cm

Validation: La_2CuO_4 and NiO



[1] N. Nucker, et.al., Z. Physik B – Condensed Matter 67, 9 (1987).

[2] R. Zimmermann, et.al., J. Phys.: Condens. Matter 11, 1657 (1999).

[3] S. Choi, et al., npj Quantum Materials 1, 16001 (2016).

20 minutes break

5. Full GW+EDMFT

What about full GW+EDMFT? Will full GW+EDMFT make a difference?

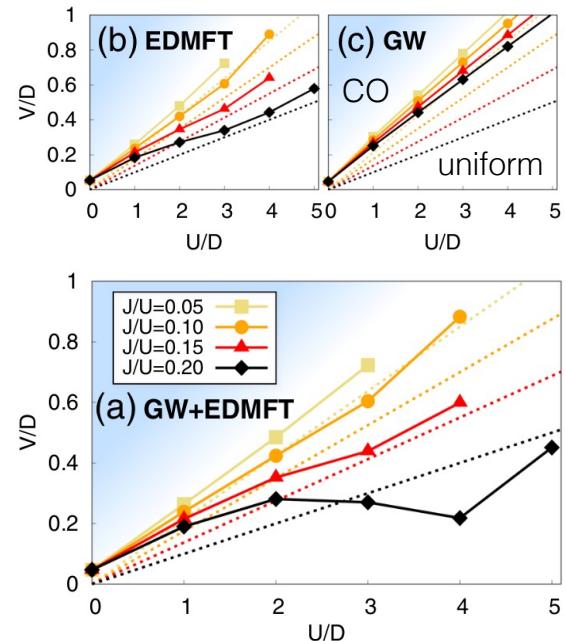
- Three orbital extended Hubbard model

$$\mathcal{H} = -t \sum_{\langle ij \rangle, \gamma, \sigma} (c_{i\gamma\sigma}^\dagger c_{j\gamma\sigma} + \text{H.c.}) - \mu \sum_{i, \gamma, \sigma} n_{i\gamma\sigma} + H_{\text{loc}} + H_{\text{nonloc}},$$

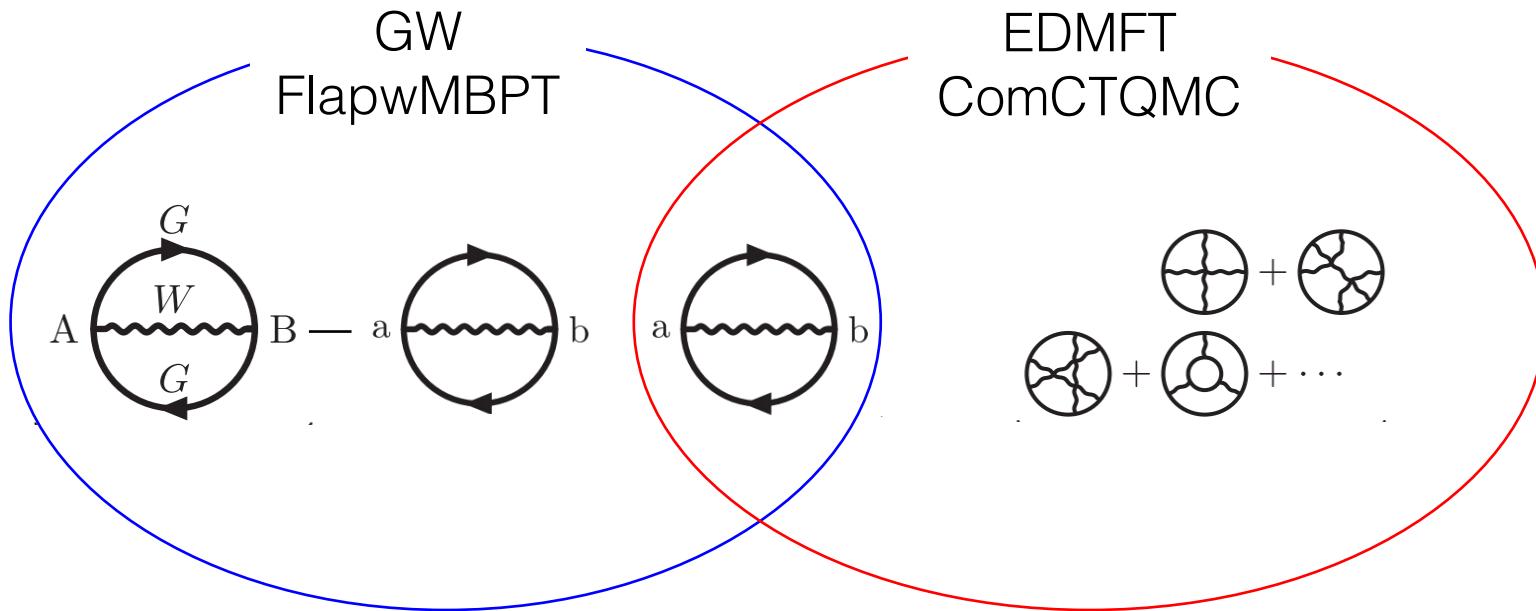
$$H_{\text{loc}} = U \sum_{i, \gamma, \sigma} n_{i\gamma\uparrow} n_{i\gamma\downarrow} + (U - 2J) \sum_{i, \gamma, \gamma'} n_{i\gamma\uparrow} n_{i\gamma'\downarrow} + (U - 3J) \sum_{i, \gamma, \gamma', \sigma} n_{i\gamma\sigma} n_{i\gamma'\sigma} - J \sum_{i, \gamma, \gamma'} (c_{i\gamma\uparrow}^\dagger c_{i\gamma\downarrow} c_{i\gamma'\downarrow}^\dagger c_{i\gamma'\uparrow} + c_{i\gamma\uparrow}^\dagger c_{i\gamma\downarrow}^\dagger c_{i\gamma'\uparrow} c_{i\gamma'\downarrow}).$$

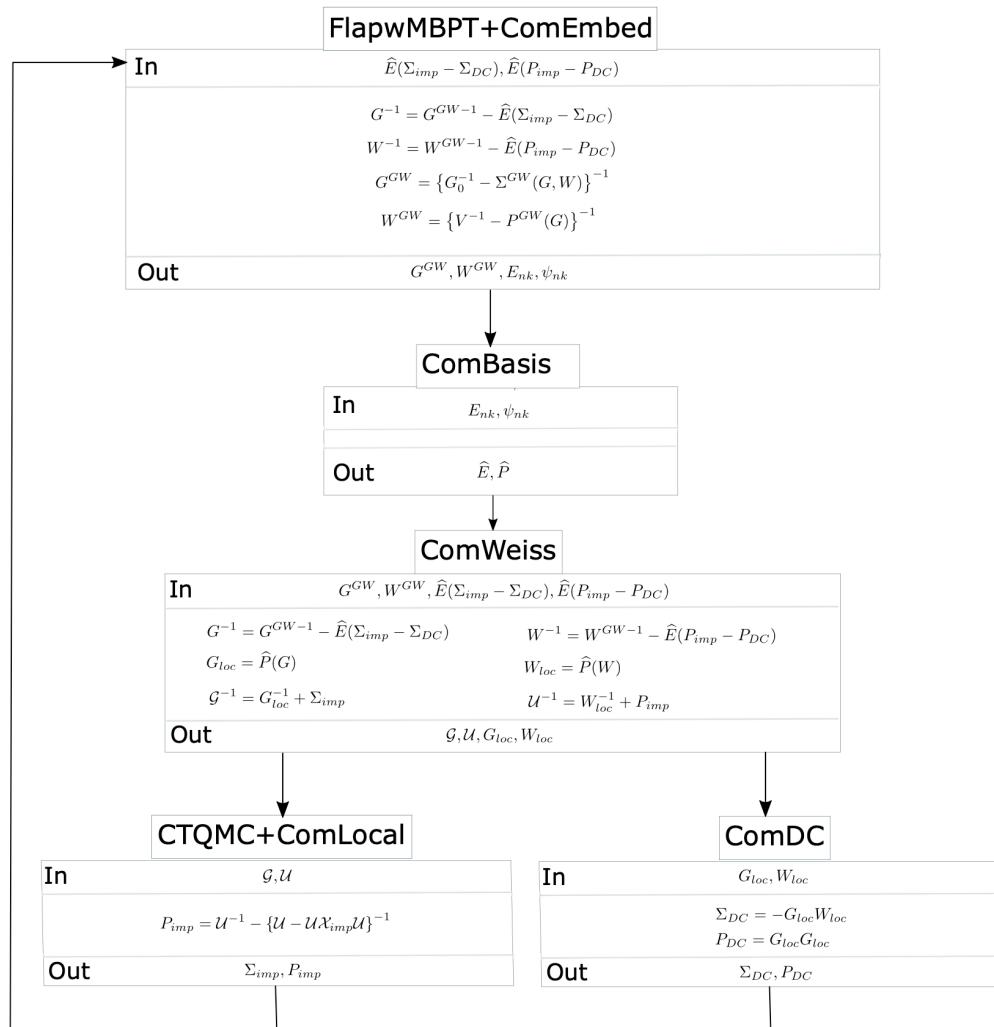
$$H_{\text{nonloc}} = \sum_{\langle ij \rangle} \sum_{\gamma, \gamma', \sigma, \sigma'} V n_{i\gamma\sigma} n_{j\gamma'\sigma'}$$

- Hund's coupling induced valence-skipping (negative U) charge order instability enhancement
- Only visible within GW+EDMFT

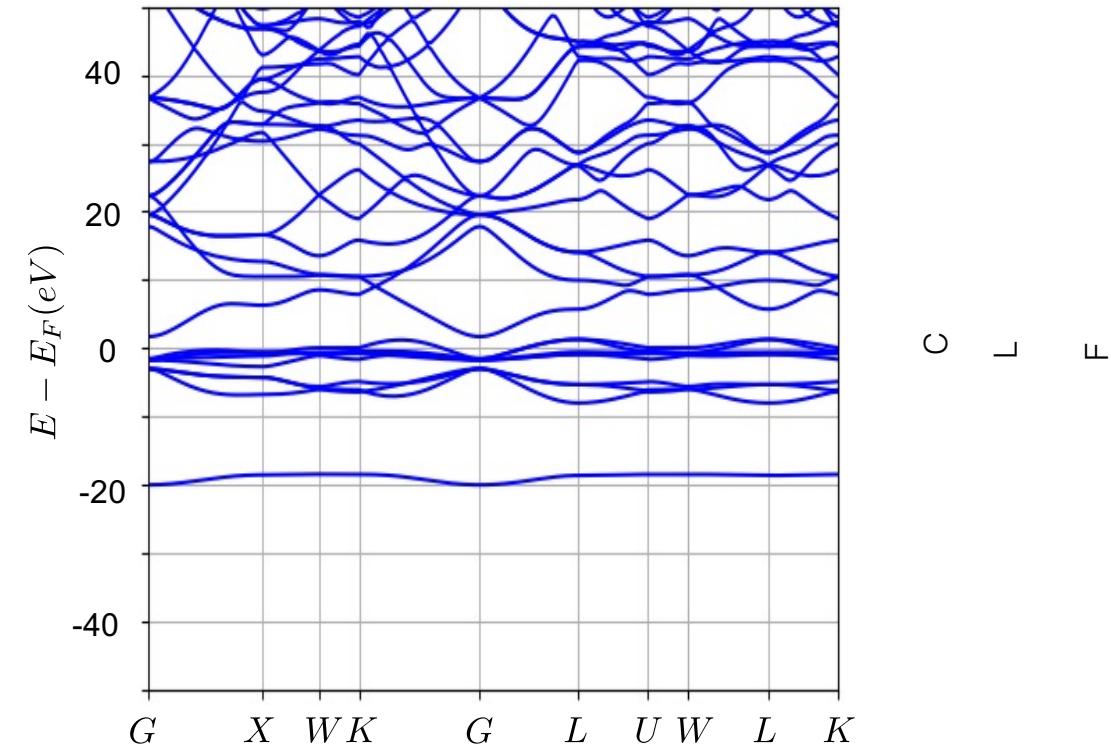


New features: full GW+EDMFT (experimental, coming soon)





Hilbert space and its subspaces for Full GW+EDMFT



- F: full space
 - $F = \text{Tier-I} \oplus \text{Tier-II} \oplus \text{Tier-III}$
 - notation: $A(r, r')$
- L: Low-energy subspace defined by Wannier functions spanning an energy window ($E_F \pm 10\text{eV}$)
 - $L = \text{Tier-I} \oplus \text{Tier-II}$
 - notation: \bar{A}_{ij} , $i, j \Rightarrow$ Wannier functions
- C: correlated subspace
 - $C = \text{Tier-I}$
 - notation: \tilde{A}_{ij} , $i, j \Rightarrow$ Wannier functions

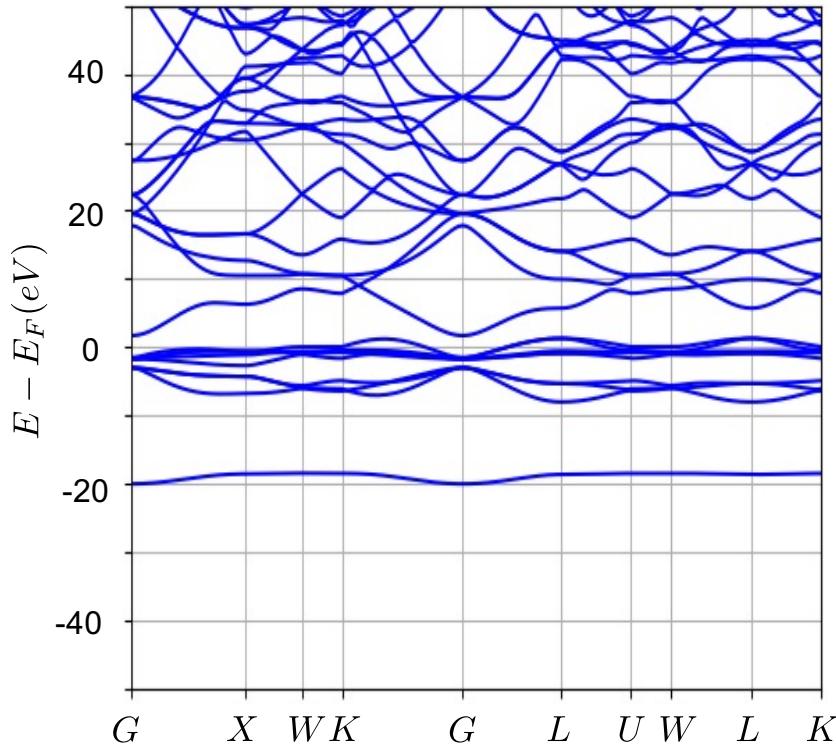
Tier-I: GW+EDMFT

Tier-II: GW

Tier-III: GW

Frequency interpolation

- To study materials properties at temperature <1000K, we use two different simulation temperatures



C L F

- Calculation in Tier-I and Tier-II will be done at temperature <1000K
- Calculation in Tier-III will be done at temperature \sim 1000K

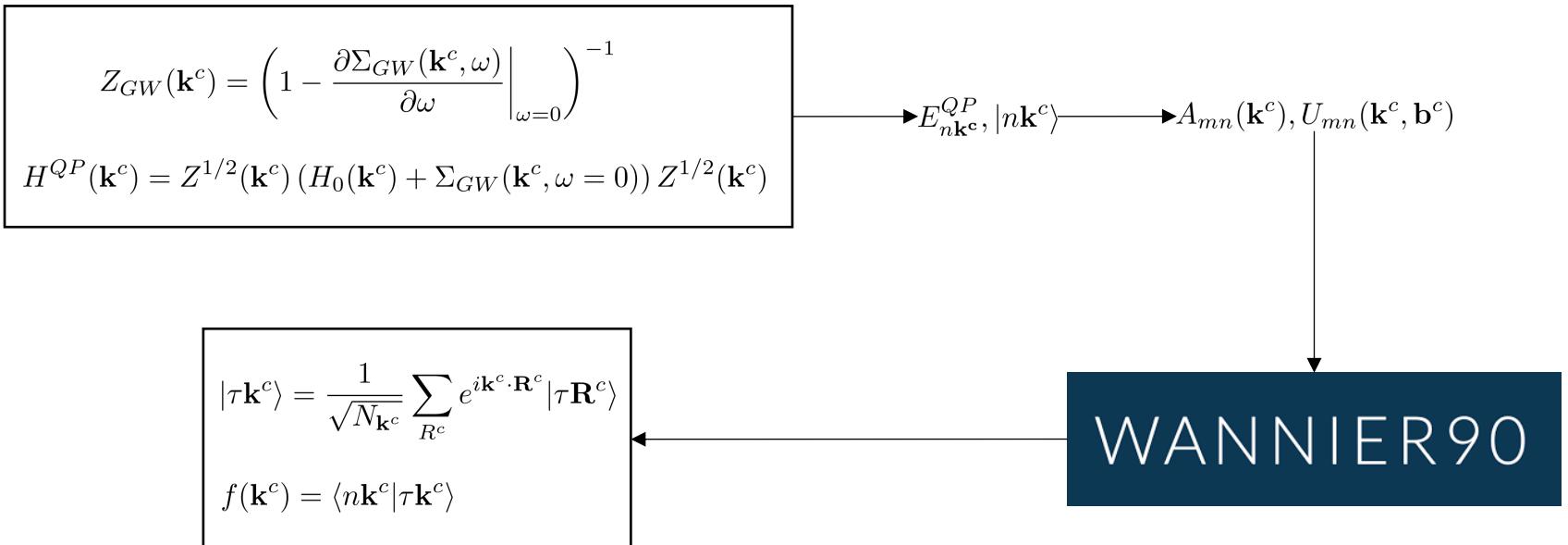
GW Green's functions-I: coarse k-grid

$$\begin{aligned}
 G(\mathbf{k}^c, i\omega_n^c) &= \left(G_0^{-1}(\mathbf{k}^c, i\omega_n^c) - \Sigma(\mathbf{k}^c, i\omega_n^c) \right)^{-1} \\
 G_{GW}(\mathbf{k}^c, i\omega_n^c) &= \left(G_0^{-1}(\mathbf{k}^c, i\omega_n^c) - \Sigma_{GW}(\mathbf{k}^c, i\omega_n^c) \right)^{-1} \\
 \Sigma_{GW}(\mathbf{k}^c, i\omega_n^c) &= - \sum_{\mathbf{R}^c} \int_0^{\beta^c} d\tau G(\mathbf{R}^c, \tau) \circ W(-\mathbf{R}^c, -\tau) e^{-i(\mathbf{k}^c \cdot \mathbf{R}^c - \omega_n^c \tau)} \\
 \Sigma(\mathbf{k}^c, i\omega_n^c) &= \Sigma_{GW}(\mathbf{k}^c, i\omega_n^c) + \mathbb{E} \left(\tilde{\Sigma}_{imp}(i\omega_n^c) - \tilde{\Sigma}_{DC}(i\omega_n^c) \right) \\
 P(\mathbf{k}^c, i\nu_n^c) &= P_{GW}(\mathbf{k}^c, i\nu_n^c) + \mathbb{E} \left(\tilde{P}_{imp}(i\nu_n^c) - \tilde{P}_{DC}(i\nu_n^c) \right) \\
 P_{GW}(\mathbf{k}^c, i\nu_n^c) &= - \sum_{\mathbf{R}^c} \int_0^{\beta^c} d\tau G(\mathbf{R}^c, \tau) \circ G(-\mathbf{R}^c, -\tau) e^{-i(\mathbf{k}^c \cdot \mathbf{R}^c - \nu_n^c \tau)} \\
 W_{GW}(\mathbf{k}^c, i\omega_n^c) &= (V^{-1}(\mathbf{k}^c) - P_{GW}(\mathbf{k}^c, i\omega_n^c))^{-1} \\
 W(\mathbf{k}^c, i\omega_n^c) &= (V^{-1}(\mathbf{k}^c) - P(\mathbf{k}^c, i\omega_n^c))^{-1}
 \end{aligned}$$

- The developer: Andrey Kuteov
- LAPW basis set
- space-time methods (to avoid convolution)
- calculation in a coarse Matsubara frequency grid (typical simulation temperature $\sim 1000\text{K}$)
- calculation in a coarse momentum space grid (e.g. NiO: $6 \times 6 \times 6$)

Fermionic projection operator

- The formulation within Wannier90 package is based on one-particle picture
- We construct quasiparticle Hamiltonian by linearizing GW self-energy



Bosonic projection operator

- With products of Wannier functions for correlated orbitals

$$D_{\tau,\tau'}(\mathbf{r}) = W_{R^c=0,\tau}(\mathbf{r})W_{R^c=0,\tau'}^*(\mathbf{r}) \quad W_{R^c,\tau}(\mathbf{r}) = \langle \mathbf{r} | \tau \mathbf{R}^c \rangle$$

- Orthonormalized product basis can be represented as a linear combination of the product C

$$\langle \mathbf{r} | B_I \rangle = \sum_{\tau,\tau'} X_{\tau,\tau';I} D_{\tau,\tau'}(\mathbf{r})$$

- The coefficient X can be calculated by diagonalizing the overlap matrix of C

$$O_{\tau_1,\tau_2;\tau_3,\tau_4} = \langle D_{\tau_1,\tau_2} | D_{\tau_3,\tau_4} \rangle$$

$$\sum_{\tau_3,\tau_4} O_{\tau_1,\tau_2;\tau_3,\tau_4} V_{\tau_3,\tau_4;I} = F_I V_{\tau_1,\tau_2;I}$$

$$X_{\tau_1,\tau_2;I} = \frac{1}{\sqrt{F_I}} V_{\tau_1,\tau_2;I}$$

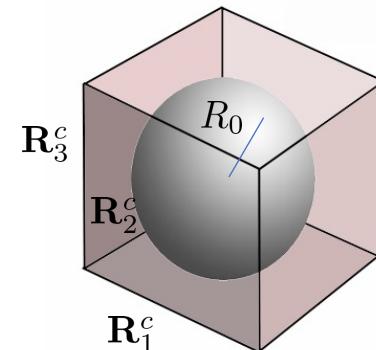
GW Green's functions-II: fine k-grid

- To improve the momentum space resolution, we interpolate G_{GW} and W_{GW} obtained from FlapwMBPT
- With localized basis set, hopping energy and screened Coulomb interaction are essentially 0 beyond a few neighbors.

$$G_{\tau,\tau'}^{-1}(\mathbf{R}^c, i\omega_n^c) = \frac{1}{N_{\mathbf{k}}} \sum_{k^c} G_{\tau,\tau'}^{-1}(\mathbf{k}^c, i\omega_n^c) e^{-i\mathbf{k}^c \cdot \mathbf{R}^c}$$

$$\begin{aligned} & G_{\tau,\tau'}^{-1}(\mathbf{k}^f, i\omega_n^c) \\ &= \sum_{\mathbf{R}^f} G_{\tau,\tau'}^{-1}(\mathbf{R}^f, i\omega_n^c) e^{i\mathbf{k}^f \cdot \mathbf{R}^f} \\ &= \sum_{|\mathbf{R}^f| \leq R_0} G_{\tau,\tau'}^{-1}(\mathbf{R}^f, i\omega_n^c) e^{i\mathbf{k}^f \cdot \mathbf{R}^f} + \sum_{|\mathbf{R}^f| > R_0} G_{\tau,\tau'}^{-1}(\mathbf{R}^f, i\omega_n^c) e^{i\mathbf{k}^f \cdot \mathbf{R}^f} \\ &= \sum_{|\mathbf{R}^c| \leq R_0} G_{\tau,\tau'}^{-1}(\mathbf{R}^c, i\omega_n^c) e^{i\mathbf{k}^f \cdot \mathbf{R}^c} \end{aligned}$$

↗



[1] N. Marzari, A. A. Mostofi, J. R. Yates, I. Souza, and D. Vanderbilt, Maximally Localized Wannier Functions: Theory and Applications, Rev. Mod. Phys. 84, 1419 (2012).

Quantum Embedding

For Green's function

$$\overline{G}_{GW}^{-1}(\mathbf{k}^c, i\omega_n^c) = \overline{f}^\dagger(\mathbf{k}^c) G_{GW}^{-1}(\mathbf{k}^c, i\omega_n^c) \overline{f}(\mathbf{k}^c)$$

$$\overline{G}_{GW}^{-1}(\mathbf{k}^c, i\omega_n^c) \rightarrow \overline{G}_{GW}^{-1}(\mathbf{k}^f, i\omega_n^f)$$

$$\overline{G}(\mathbf{k}^f, i\omega_n^f) = \left(\overline{G}_{GW}^{-1}(\mathbf{k}^f, i\omega_n^f) + \tilde{f}(\mathbf{k}^c) \left(\tilde{\Sigma}_{imp}(i\omega_n^f) - \tilde{\Sigma}_{DC}(i\omega_n^f) \right) \tilde{f}^\dagger(\mathbf{k}^c) \right)^{-1}$$

$$\tilde{G}_{loc}(i\omega_n^f) = \frac{1}{N_{\mathbf{k}^f}} \sum_{\mathbf{k}^f} \tilde{f}^\dagger(\mathbf{k}^f) \overline{G}(\mathbf{k}^f, i\omega_n^f) \tilde{f}(\mathbf{k}^f)$$

For screened
Coulomb interaction

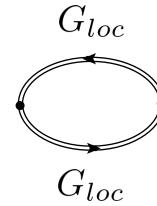
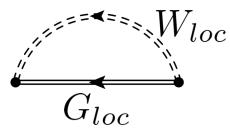
$$\overline{W}_{GW}(\mathbf{k}^c, i\nu_n^c) = \overline{b}^\dagger(\mathbf{k}^c) W_{GW}(\mathbf{k}^c, i\nu_n^c) \overline{b}(\mathbf{k}^c)$$

$$\overline{W}_{GW}(\mathbf{k}^c, i\nu_n^c) \rightarrow \overline{W}_{GW}(\mathbf{k}^f, i\nu_n^f)$$

$$\overline{W}(\mathbf{k}^f, i\nu_n^f) = \left(\overline{W}_{GW}^{-1}(\mathbf{k}^f, i\nu_n^f) + \tilde{b}(\mathbf{k}^c) \left(\tilde{P}_{imp}(i\nu_n^f) - \tilde{P}_{DC}(i\nu_n^f) \right) \tilde{b}^\dagger(\mathbf{k}^c) \right)^{-1}$$

$$\widetilde{W}_{loc}(i\omega_n^f) = \frac{1}{N_{\mathbf{k}^f}} \sum_{\mathbf{k}^f} \widetilde{b}^\dagger(\mathbf{k}^f) \overline{W}(\mathbf{k}^f, i\omega_n^f) \widetilde{b}(\mathbf{k}^f)$$

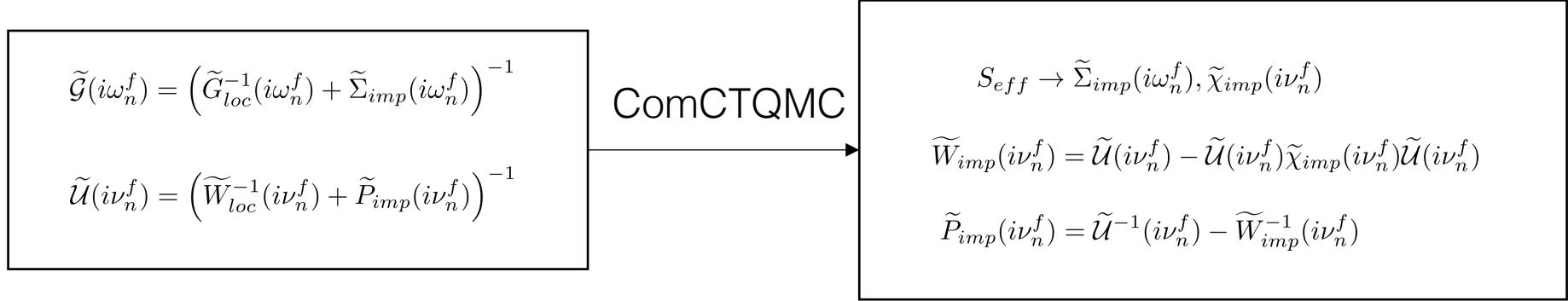
Double Counting



$$\tilde{\Sigma}_{DC}(i\omega_n^f) = - \int_0^{\beta^f} d\tau \tilde{G}_{loc}(\tau) \circ \widetilde{W}_{loc}(-\tau) e^{i\omega_n^f \tau}$$

$$\tilde{P}_{DC}(i\omega_n^f) = - \int_0^{\beta^f} d\tau \tilde{G}_{loc}(\tau) \circ \widetilde{G}_{loc}(-\tau) e^{i\nu_n^f \tau}$$

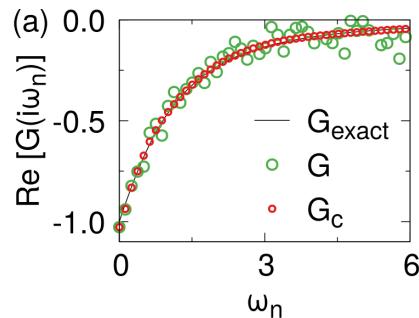
DMFT effective action



- The lead developer: Corey Melnick
- The first developer: Patrick Semon
- continuous-time Monte Carlo solver (hybridization-expansion)
- GPU-accelerated

Causal Optimization of Bosonic Quantities

Statistical noise



Local self-energy assumption breakdown

$$\mathcal{U}^{-1}(i\nu_n) = [\langle W \rangle^{-1} + \langle \Pi \rangle] + \tilde{\mathcal{U}}_{\text{cor}}^{-1},$$

Causal Bosonic functions

$$G(i\omega_n) = \int \frac{B(x)x}{i\omega_n - x} dx, \quad B(x) \geq 0, \quad B(x) = B(-x)$$

$$G(\tau) = - \int B(x) x n_B(x) e^{(\beta-\tau)x} dx, \quad B(x) \geq 0,$$

$$G^{(2k)}(\tau) \leq 0 \text{ for } k = 0, 1, 2, \dots \quad G(\tau) = G(\beta - \tau)$$

For a given non-causal $G(i\omega_n)$, search causal $G_c(i\omega_n)$ which minimize the distance defined as

$$d = \frac{1}{\beta} \int_0^\beta [G(\tau) - G_c(\tau)]^2 d\tau$$

- [1] M. Han and H. J. Choi, Phys. Rev. B 104, 115112 (2021). [2] J. Chen, F. Petocchi, and P. Werner, Phys. Rev. B 105, 085102 (2022).
[3] S. Backes, J.-H. Sim, and S. Biermann, arXiv:2011.05311v1

Feedback to GW Green's functions

