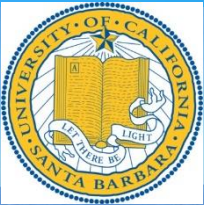


# The collapse of the quantum wave function

Dirk Bouwmeester,

Vitaliy Fedoseev, Wolfgang Löffler, Kier Heeck, Frank Buters, David Newsom  
Hedwig Eerkens, Sven de Man, Fernando Luna, Matthew Weaver

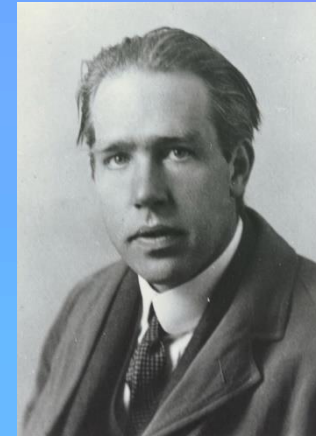


University of California Santa Barbara

Leiden University

UBC Quantum Information: Quo Vadis? 14 November 2019

**Bohr:** The collapse is not a physical process because the wavefunction  $|\Psi\rangle$  is to be regarded as merely referring to our knowledge of the system.

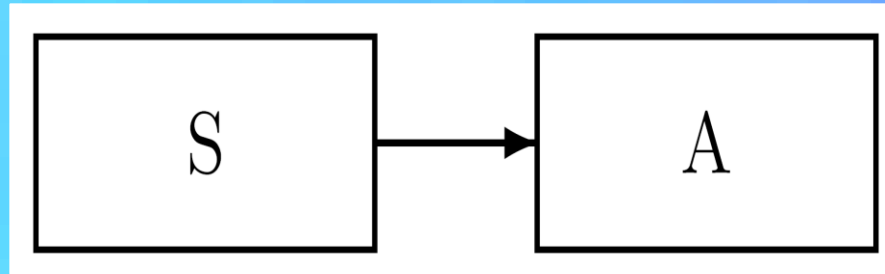


**Everett:** The wavefunction  $|\Psi\rangle$  is a representation of a *real* physical state.  
(Many Worlds)

**Zurek (and others):** The collapse of the wave function (of a given system) follows from standard quantum dynamics



## 1932: John von Neumann: analysis of quantum measurements

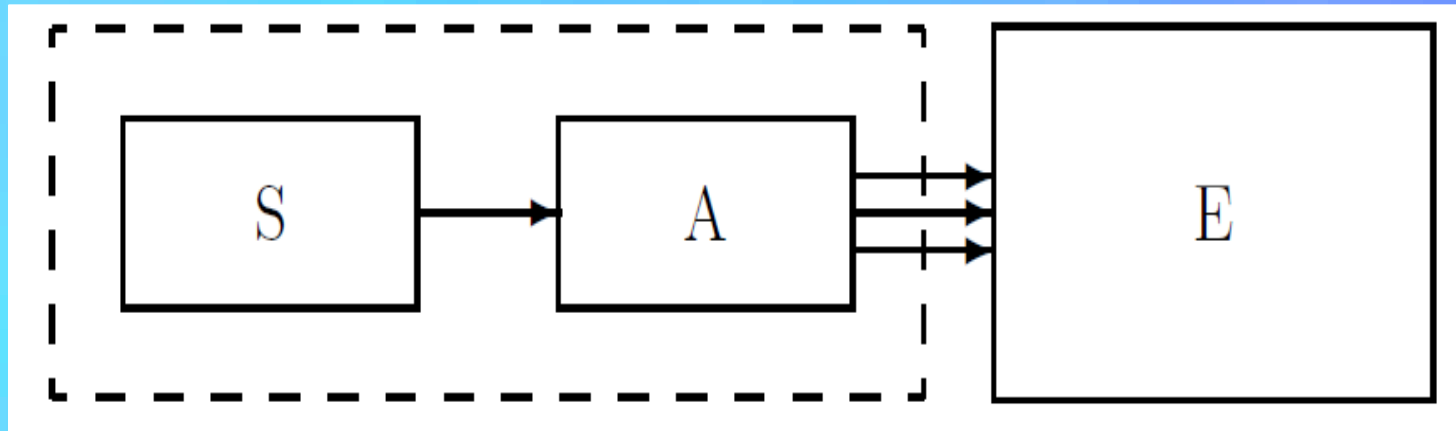


$$H_{int} = \sum_n |n\rangle \langle n| \otimes \hat{A}_n$$

$$\left( \sum_n c_n |n\rangle \right) |\Phi_0\rangle \xrightarrow{t} \sum_n c_n |n\rangle |\Phi_n(t)\rangle$$

Von Neumann postulated dynamical collapse of wave function with outcome probabilities  $|c_n|^2$ . This requires a preferred basis, the basis of pointer states of the measurement apparatus.

## Environment induced decoherence

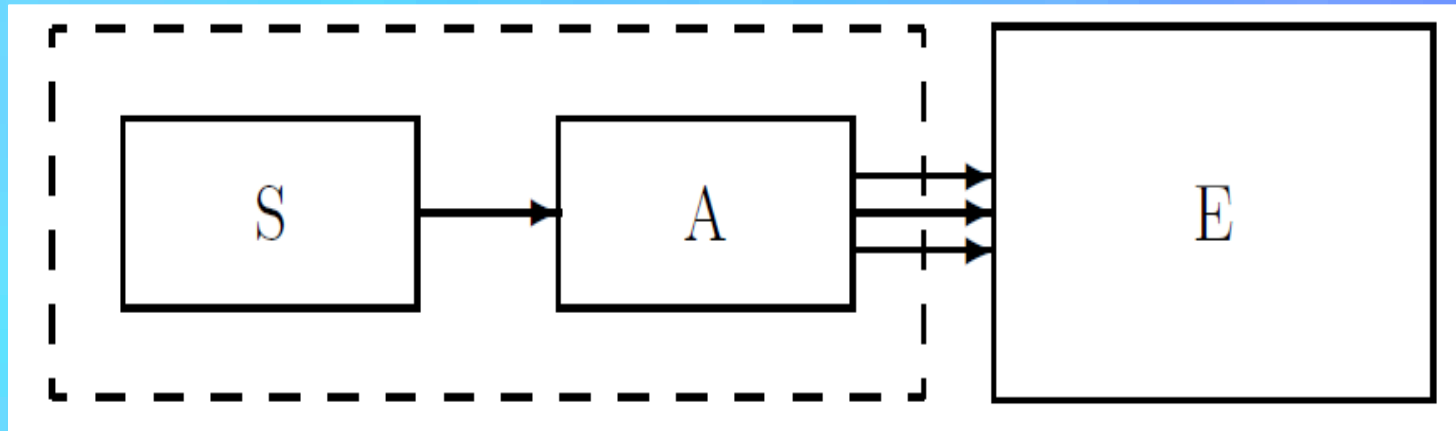


$$\left( \sum_n c_n |n\rangle |\Phi_n\rangle \right) |E_0\rangle \xrightarrow{t} \sum_n c_n |n\rangle |\Phi_n\rangle |E_n\rangle$$

Assuming that  $\langle E_n | E_m \rangle = \delta_{nm}$  and trace out the degrees of freedom of the environment leads to the reduced density matrix:

$$\tilde{\rho}_{SA} \approx \sum_n |c_n|^2 |n\rangle \langle n| \otimes |\Phi_n\rangle \langle \Phi_n|$$

## Environment induced decoherence

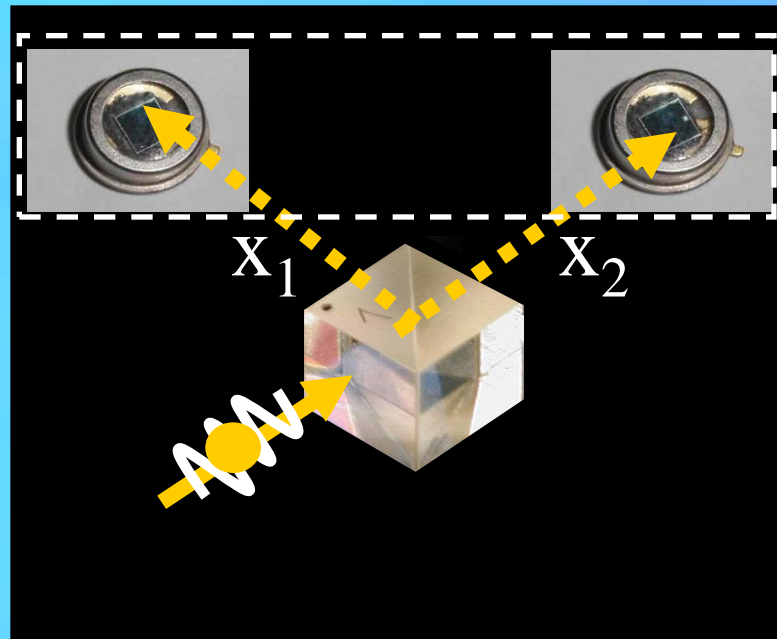


$$\left( \sum_n c_n |n\rangle |\Phi_n\rangle \right) |E_0\rangle \xrightarrow{t} \sum_n c_n |n\rangle |\Phi_n\rangle |E_n\rangle$$

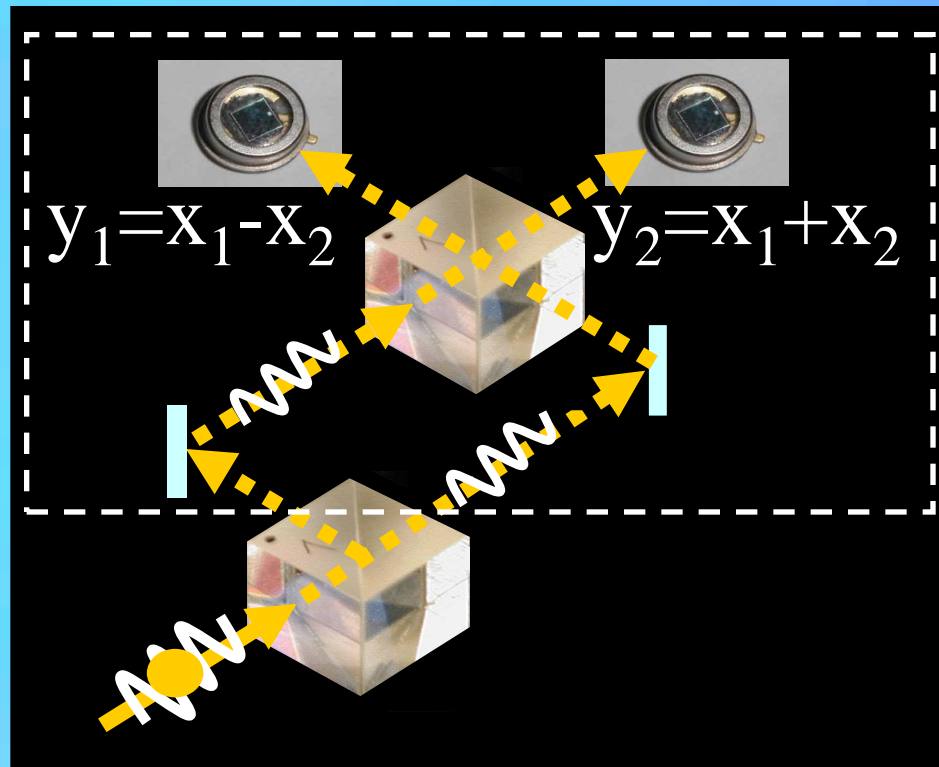
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$$H_{Int} = \sum_{i=1,2} x_i A_i$$



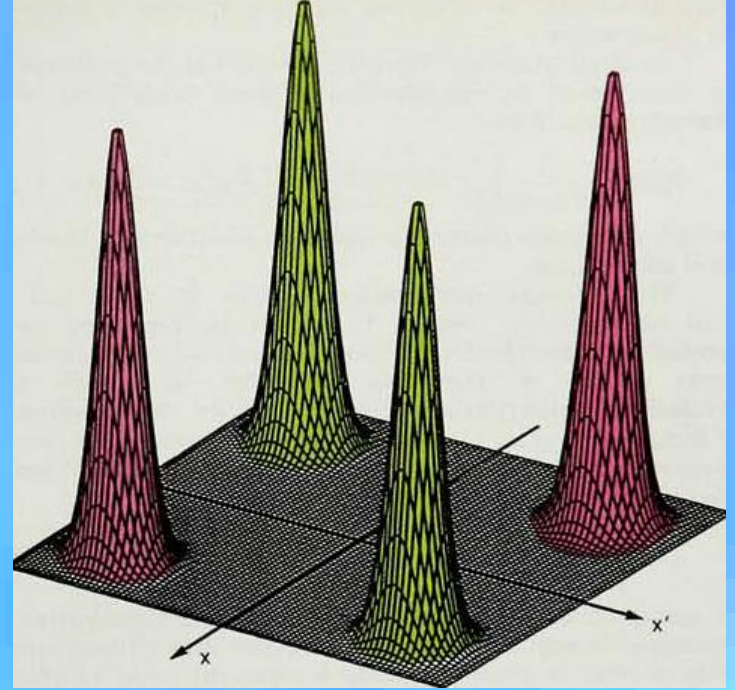
$$H_{Int} = \sum_{i=1,2} y_i A_i$$





Consider the following model  
(Zurek and Unruh, PRD, **40** 1071 (1989)).

Initial pure density matrix  $\rho(x, x')$   
 $\rho(x, x') = \chi(x)\chi^*(x')$   
with  $\chi(x) \sim \chi^+(x) + \chi^-(x)$  (sum of two  
gaussian wavepackets)



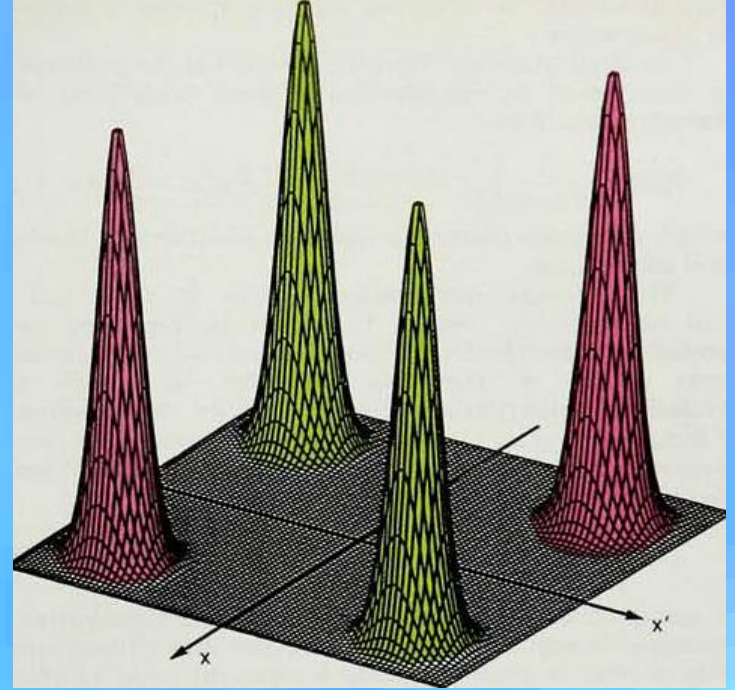
From: Zurek, Physics Today **61**, 69 (2008)



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$$H_{int} = \epsilon x d\varphi/dt$$
  
with a scalar field  $\varphi(q, t)$   
propagating in direction  $q$ .



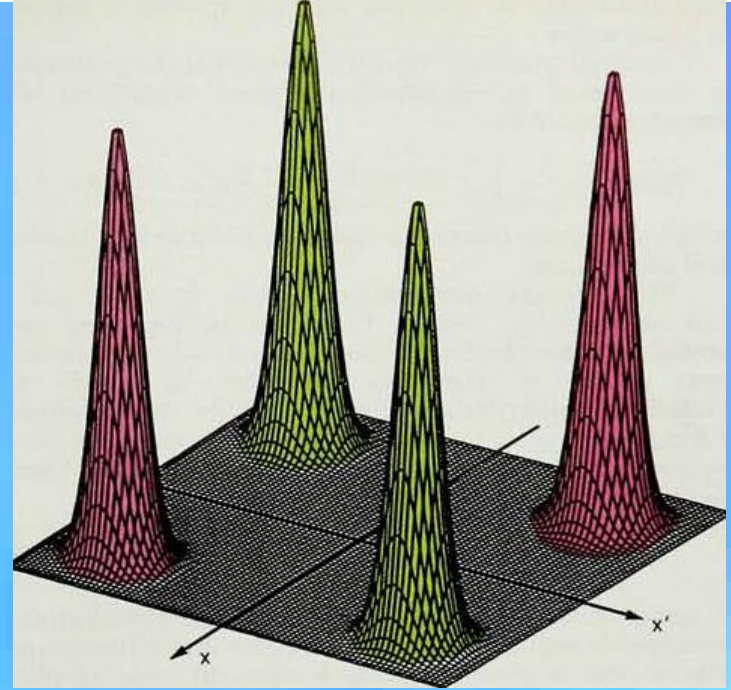
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From: Zurek, Physics Today **61**, 69 (2008)

$$\frac{d\rho}{dt} = -\frac{i}{\hbar} [H, \rho] - \gamma(x - x') \left( \frac{d\rho}{dx} - \frac{d\rho}{dx'} \right) - \frac{2m\gamma k_B T}{\hbar^2} (x - x')^2 \rho$$

relaxation rate  $\gamma = \varepsilon^2 / 4m$

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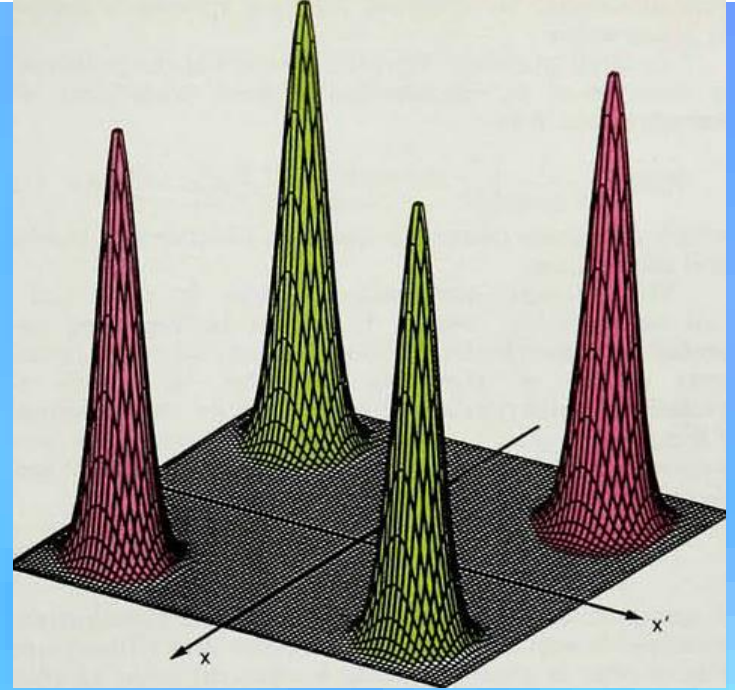
$$H_{int} = \epsilon x d\phi/dt$$

with a scalar field  $\phi(q, t)$   
 propagating in direction  $q$ .

$$\frac{d\rho}{dt} = -\frac{i}{\hbar} [H, \rho] - \gamma(x - x') \left( \frac{d\rho}{dx} - \frac{d\rho}{dx'} \right)$$

$$- \frac{2m\gamma k_B T}{\hbar^2} (x - x')^2 \rho$$

acts mainly on off-diagonal elements



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$$\text{relaxation rate } \gamma = \epsilon^2 / 4m$$

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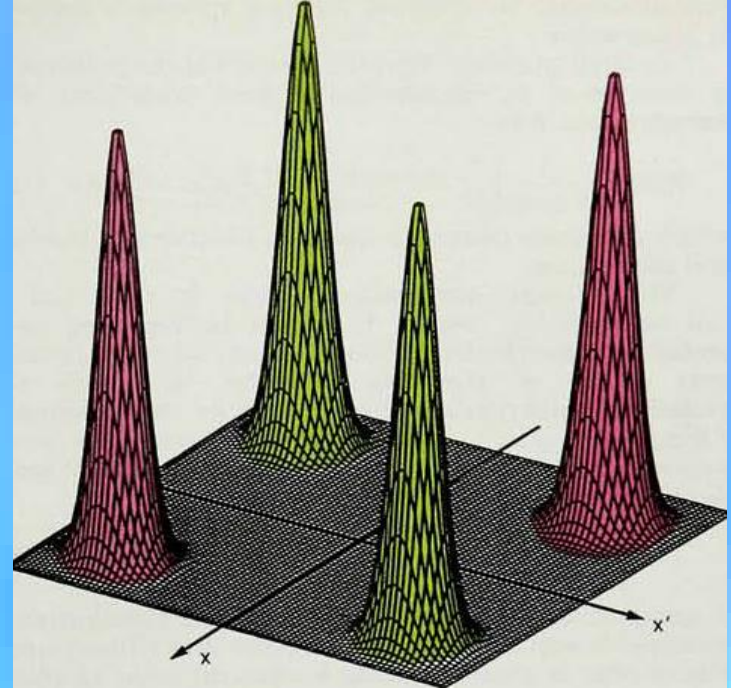
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$$H_{int} = \epsilon x d\varphi/dt$$

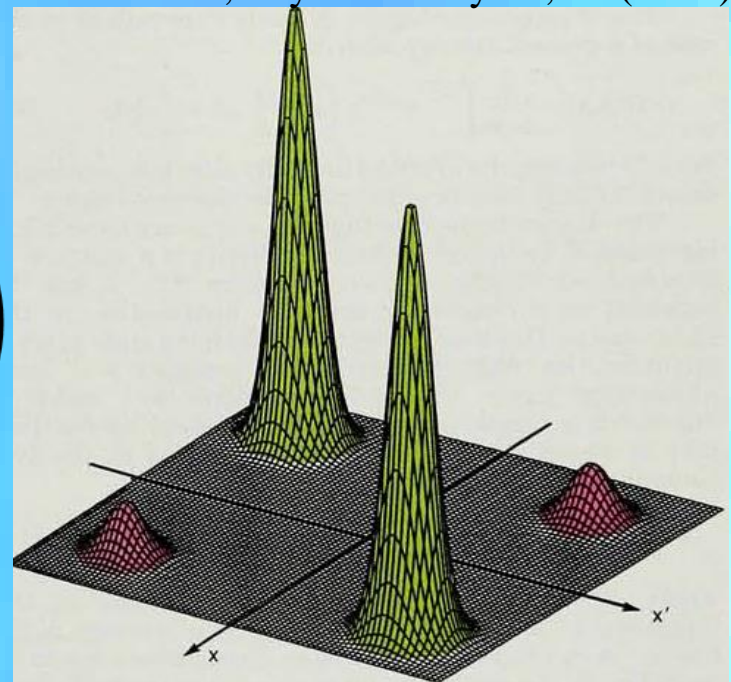
with a scalar field  $\varphi(q, t)$   
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$$\frac{d\rho}{dt} = -\frac{i}{\hbar} [H, \rho] - \gamma(x - x') \left( \frac{d\rho}{dx} - \frac{d\rho}{dx'} \right)$$

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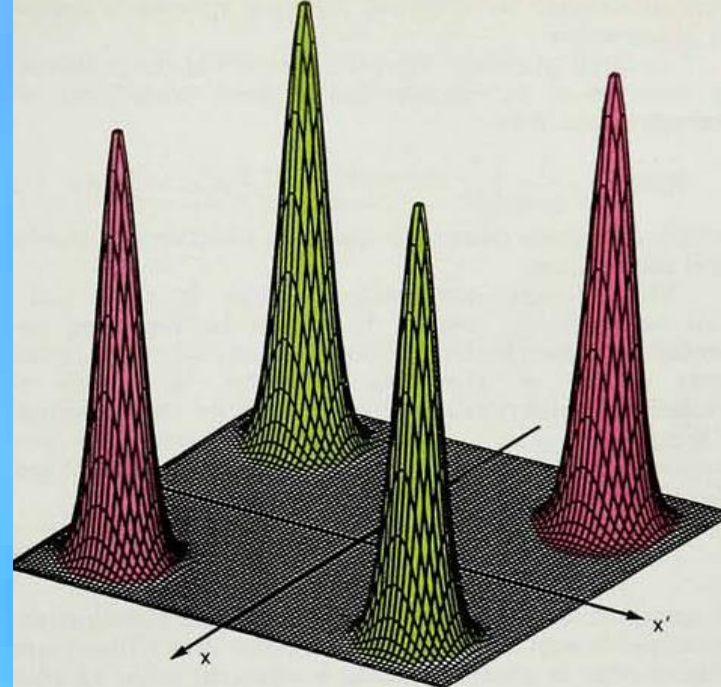


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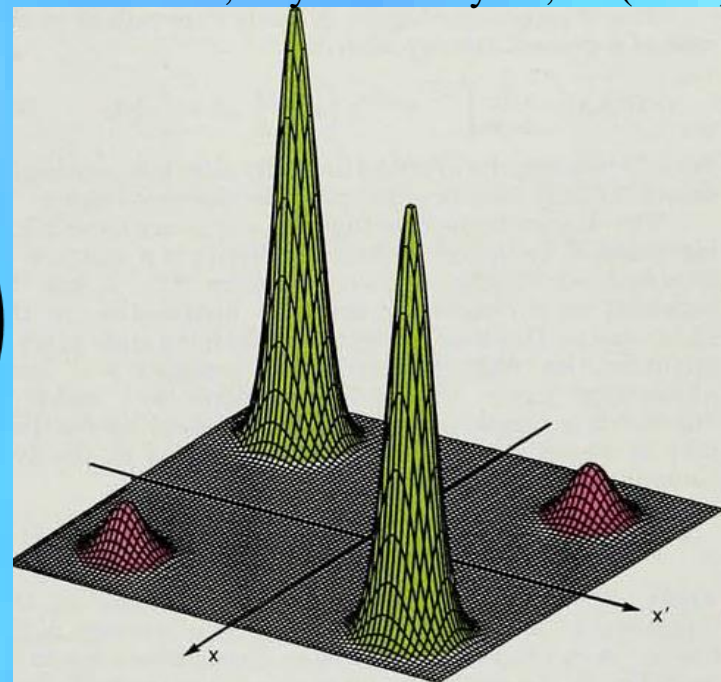
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$$\tau_D^{-1} \cong \frac{2m\gamma k_B T \Delta X^2}{\hbar^2}$$

$$\frac{d\rho}{dt} = -\frac{i}{\hbar} [H, \rho] - \gamma(x - x') \left( \frac{d\rho}{dx} - \frac{d\rho}{dx'} \right) - \frac{2m\gamma k_B T}{\hbar^2} (x - x')^2 \rho$$



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Example 1:  $T=300\text{K}$ ,  $m=1\text{gram}$ ,  
 $\Delta x = 1\text{cm}$ . If we define the  
relaxation time  $\tau_r = \gamma^{-1}$  the ratio  
of  $\tau_D/\tau_r \approx 10^{-40}!!$

Example 2:  $T=300\text{K}$ , electron:  
 $m=10^{-27}$  on atomic scales  
 $\Delta x \approx 10^{-10}\text{m}$ :  $\tau_D/\tau_r \approx 10^4$

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## Two heroic experiments



©CNRS Photothèque/Christophe Lebedinsky

2012 NOBEL PRIZE IN PHYSICS  
Serge Haroche & David J. Wineland

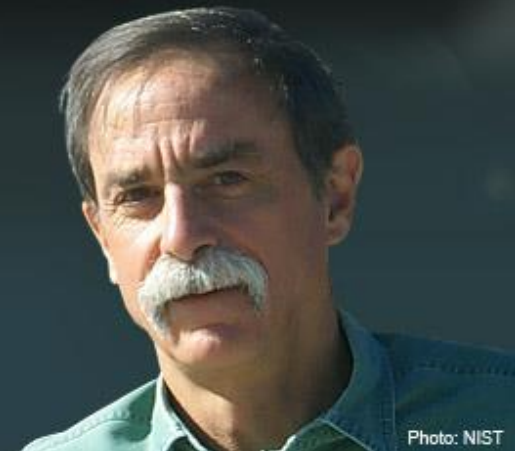


Photo: NIST

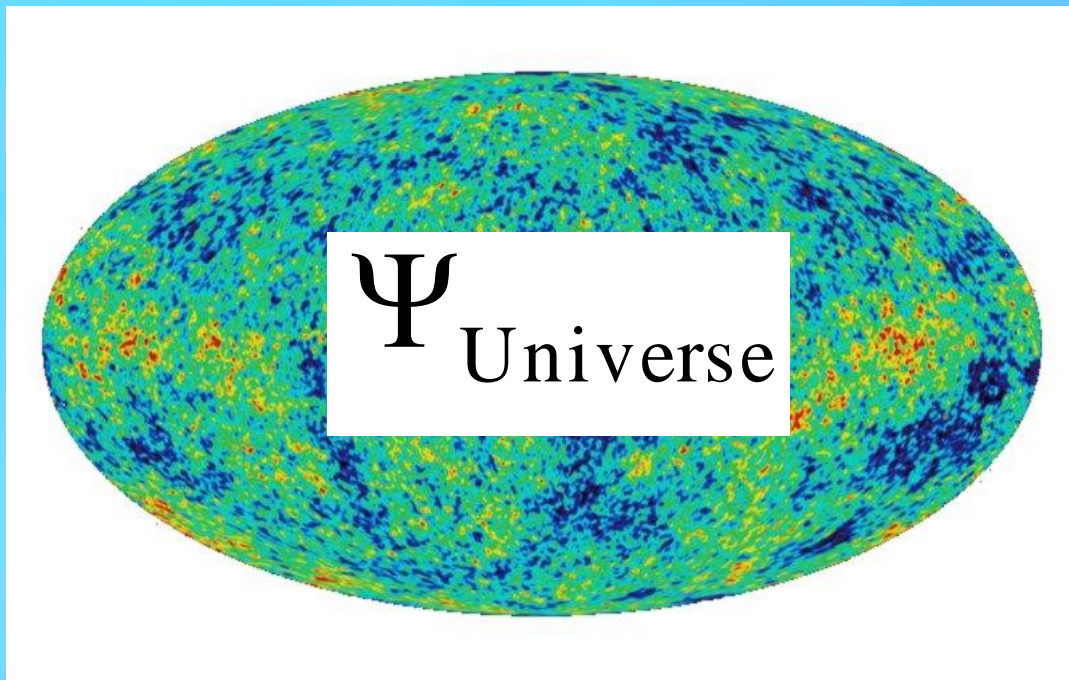


## **Conclusion: The collapse is real (for the sub system).**

The collapse is the result of unitary quantum evolution of the entire system and leads to a reduced density matrix that mathematically has the same form as a classical probability distribution.

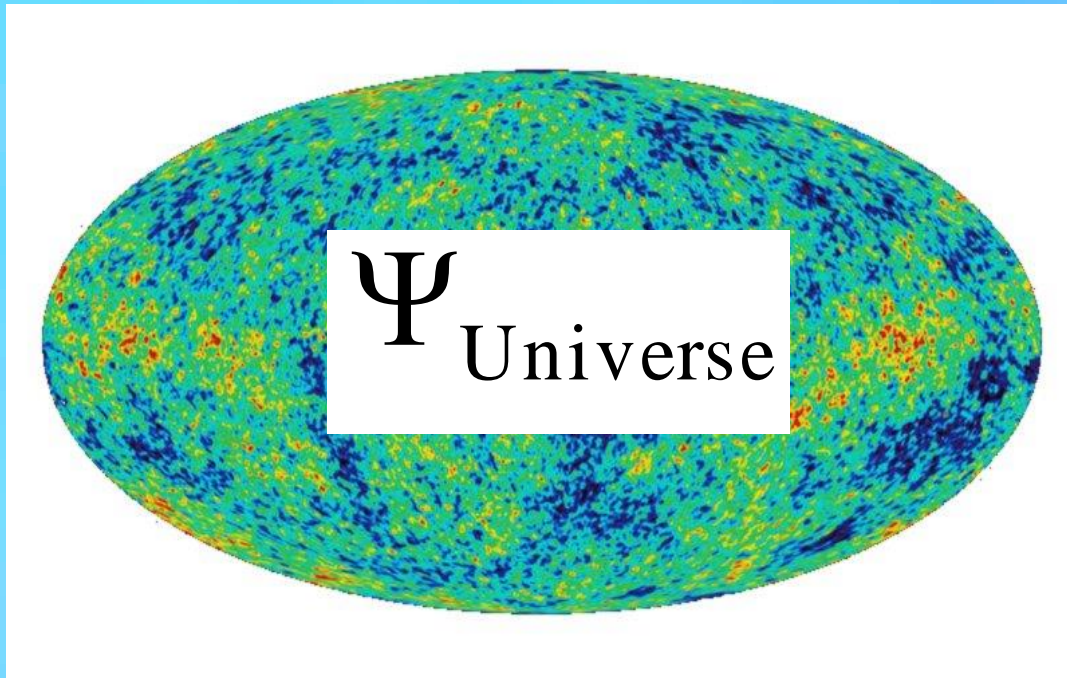
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The collapse is the result of unitary quantum evolution of the entire system and leads to a reduced density matrix that mathematically has the same form as a classical probability distribution.



Many worlds!

# Vaidman's watch



Photon



Yes

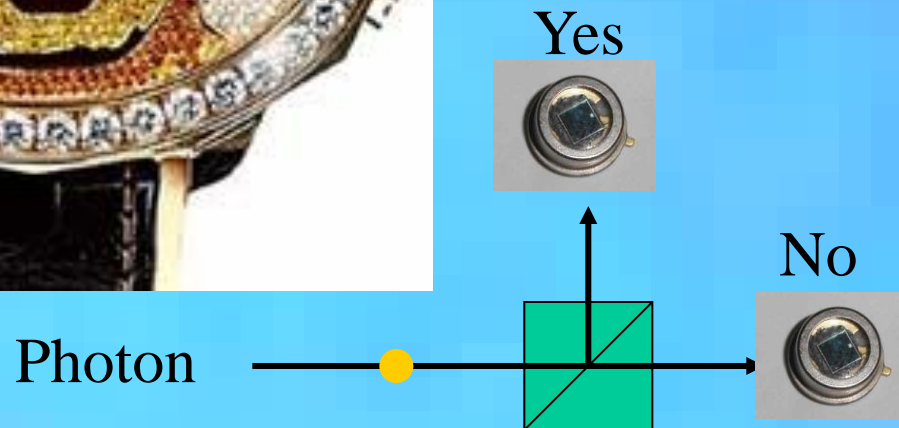


No



Many worlds!

# Vaidman's watch



Many worlds!

Any objections?



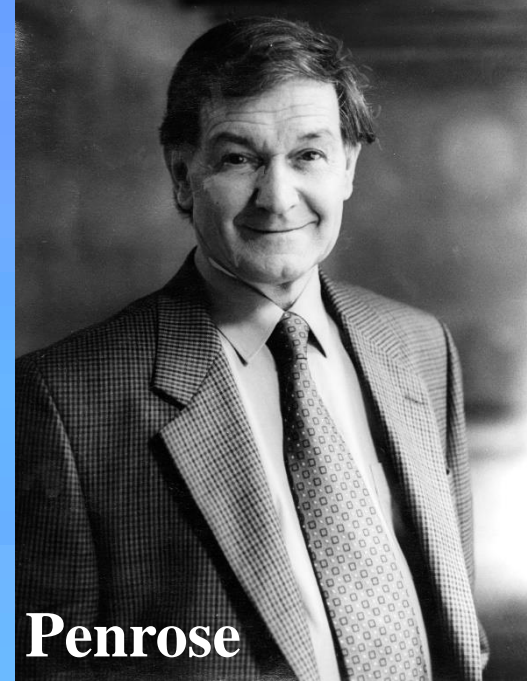
Two alternative locations of a massive object will each have stationary states, and have wavefunctions  $|\Psi\rangle$  and  $|\Phi\rangle$ , that are eigenstates of the  $\partial/\partial t$  operator with eigenvalues related to the energy.

$$\frac{\partial}{\partial t} |\Psi\rangle = -i\hbar E_{\Psi} |\Psi\rangle$$

$$\frac{\partial}{\partial t} |\Phi\rangle = -i\hbar E_{\Phi} |\Phi\rangle$$

But how to deal with superpositions

$$\frac{\partial}{\partial t} (\alpha |\Psi\rangle + \beta |\Phi\rangle) = ???$$



**Penrose**

R. Penrose, **Wavefunction Collapse as a Real Gravitational Effect**  
General Relativity and Gravitation 28, 581  
(1996).

Consider an equal superposition  $\frac{1}{\sqrt{2}}(|\Psi\rangle + |\Phi\rangle)$

$\mathbf{f}$  and  $\mathbf{f}'$  are the acceleration 3-vectors of the free-fall motion in the two space-times ( $\mathbf{f}$  and  $\mathbf{f}'$  are gravitational forces per unit test mass).

*Penrose postulate:* at each point the scalar  $(|\mathbf{f}-\mathbf{f}'|)^2$  is a measure of incompatibility of the identification. The total measure of incompatibility (or “uncertainty”)  $\Delta$  at time  $t$  is:

$$\begin{aligned}\Delta &= \frac{1}{4\pi G} \int (\mathbf{f}-\mathbf{f}')^2 d^3x \\ &\equiv E_G\end{aligned}$$

This is the gravitational self energy associated to the superposition

**Prediction: The superposition state is unstable and has a lifetime of the order of  $\frac{\hbar}{E_G}$**

(see also GRW, Diosi, others)



## Towards Quantum Superpositions of a Mirror

William Marshall,<sup>1,2</sup> Christoph Simon,<sup>1</sup> Roger Penrose,<sup>3,4</sup> and Dik Bouwmeester<sup>1,2</sup>



$$E_{i,j} = -G \int \int d\vec{r}_1 d\vec{r}_2 \frac{\rho_i(\vec{r}_1) \rho_j(\vec{r}_2)}{|\vec{r}_1 - \vec{r}_2|},$$

$$\Delta E = 2E_{1,2} - E_{1,1} - E_{2,2},$$

$$\Delta E = 2Gmm_1 \left( \frac{6}{5a} - \frac{1}{\Delta x} \right), \quad (\text{given : } \Delta x \geq 2a)$$

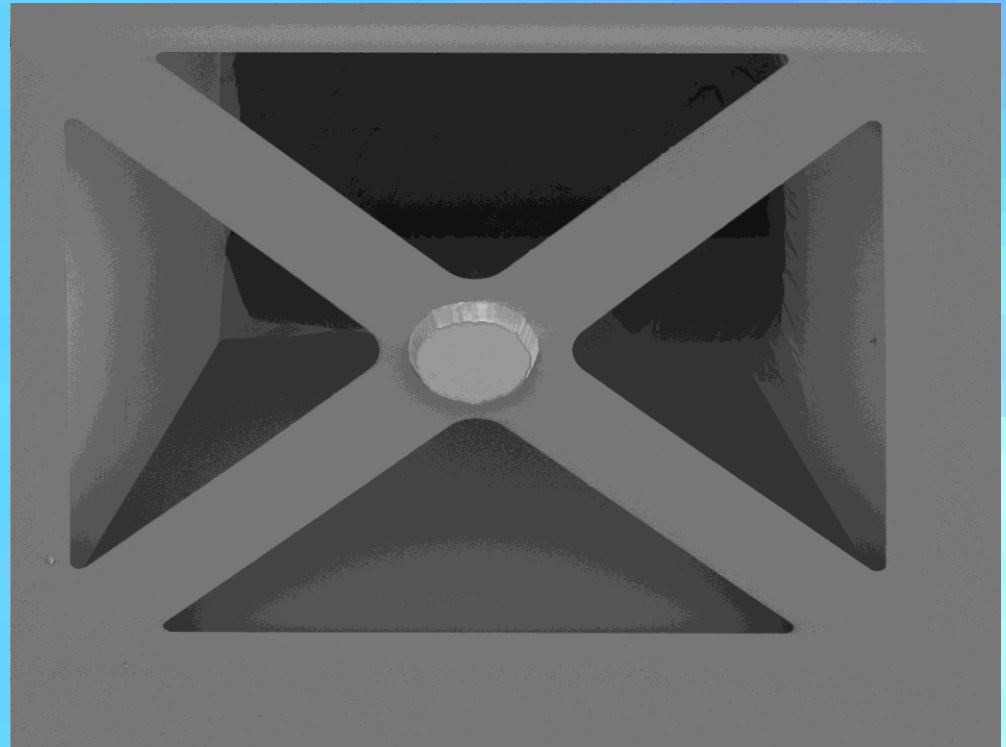
$$m \sim 10^{-12} \text{kg},$$

$$\omega_c \sim 1-10 \text{kHz}$$

$$\kappa \sim 1$$

$$m_1 = 4.7 \times 10^{-26} \text{kg}$$

(Silicon nuclear mass)



$$E_{i,j} = -G \int \int d\vec{r}_1 d\vec{r}_2 \frac{\rho_i(\vec{r}_1) \rho_j(\vec{r}_2)}{|\vec{r}_1 - \vec{r}_2|},$$

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Small problem: what is mass and what is the mass distribution of a piece of material?

$$E_{i,j} = -G \int \int d\vec{r}_1 d\vec{r}_2 \frac{\rho_i(\vec{r}_1) \rho_j(\vec{r}_2)}{|\vec{r}_1 - \vec{r}_2|},$$

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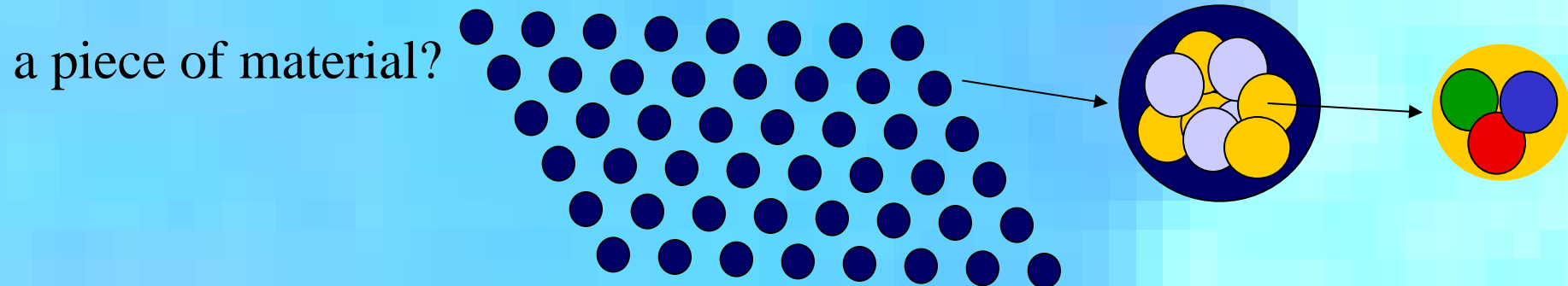
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$$m \sim 10^{-12} \text{kg},$$

$$\omega_m \sim 1-10 \text{kHz}$$

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$$m_1 = 4.7 \times 10^{-26} \text{kg} \text{ (Silicon nuclear mass)}$$

Take,  $a \sim 10^{-15} \text{m}$  size of nucleus, or

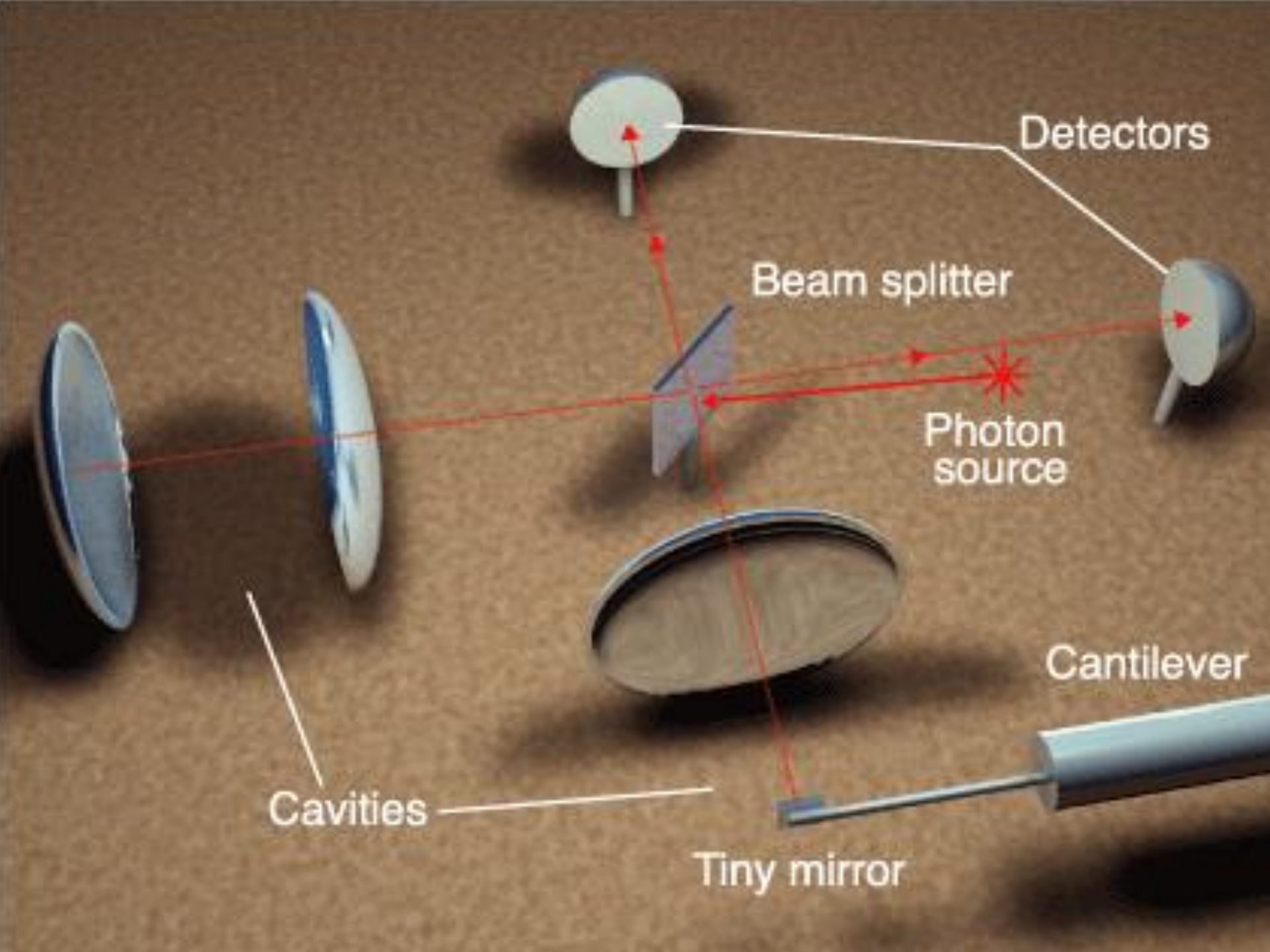
take  $a \sim 10^{-13} \text{m}$  size of ground-state wave function

Decoherence time  $\sim 1 \text{ ms}$ ,

$\sim 0.1-1 \text{ s}$

Compare: For  $C_{60}$  experiments (Penrose) decoherence time is  $10^{10} \text{s}$





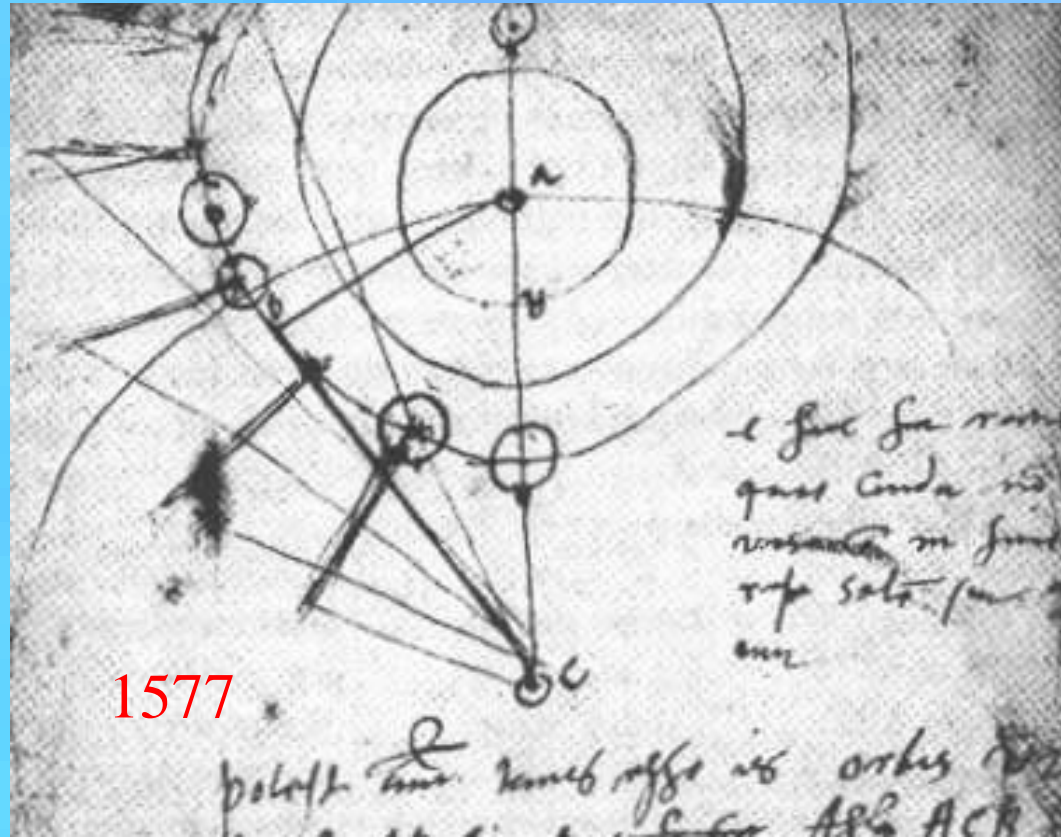
## Basic opto-mechanics

$$\hat{H} = \hbar\omega_c(\hat{a}^\dagger\hat{a}) + \hbar\omega_m(\hat{b}^\dagger\hat{b}) - \kappa\hbar\omega_m\hat{a}^\dagger\hat{a}(\hat{b} + \hat{b}^\dagger)$$

$$\kappa = \frac{\omega_c}{\omega_m} \frac{1}{L} \sqrt{\frac{\hbar}{2m\omega_m}}$$

$$\frac{L}{L+x} \approx 1 - \frac{x}{L}$$

$$x \rightarrow \hat{x} = \sqrt{\hbar/2m\omega_m}(\hat{b} + \hat{b}^\dagger)$$





## Thermal mirror state, dissipation to thermal (bosonic) bath

$$\frac{d\hat{\rho}}{dt} = -\frac{i}{\hbar} [\hat{H}, \hat{\rho}] + \frac{\gamma}{2} \left\{ (\bar{N} + 1) (2\hat{b}\hat{\rho}\hat{b}^\dagger - \hat{b}^\dagger\hat{b}\hat{\rho} - \hat{\rho}\hat{b}^\dagger\hat{b}) + \bar{N} (2\hat{b}^\dagger\hat{\rho}\hat{b} - \hat{b}\hat{b}^\dagger\hat{\rho} - \hat{\rho}\hat{b}\hat{b}^\dagger) \right\}$$

$\bar{N}$  is mean thermal phonon number, at resonance with the mechanical resonator at  $T_{\text{bath}}$

....

$$1/\tau_{\text{dec}} = \frac{2\gamma m k_B T_B (\Delta x)^2}{\hbar^2}$$

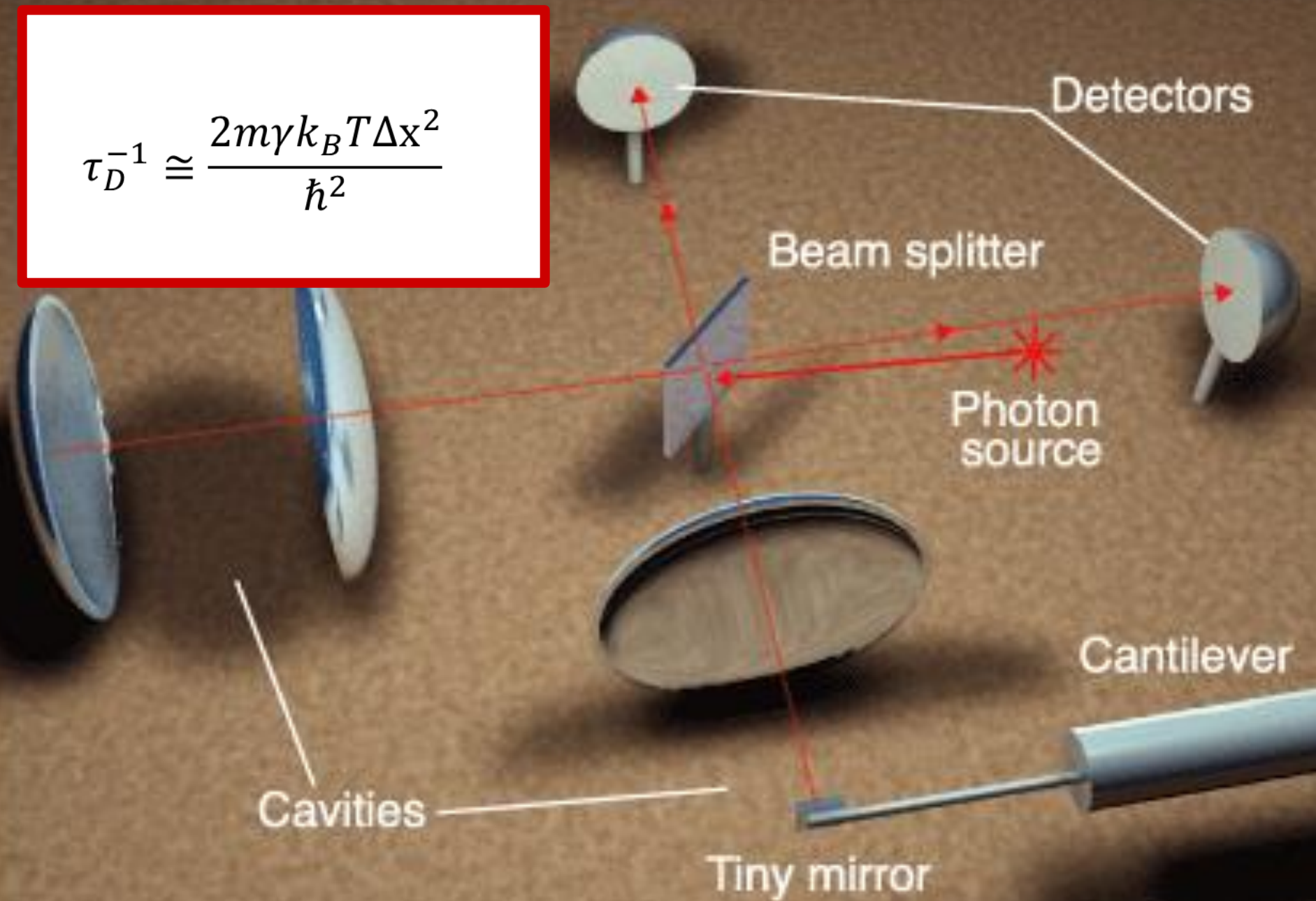
**Qmechanical**

Final conclusion for  $\kappa \sim 1/\sqrt{2}$ ,  $Q \sim 100,000$ ,  $m = 10^{-12} \text{kg}$ ,  $T_{\text{bath}} = 1 \text{mK}$ ,  
the decoherence time is 0.1ms.

Q's up to 1,000,000 for small mechanical resonators are possible,

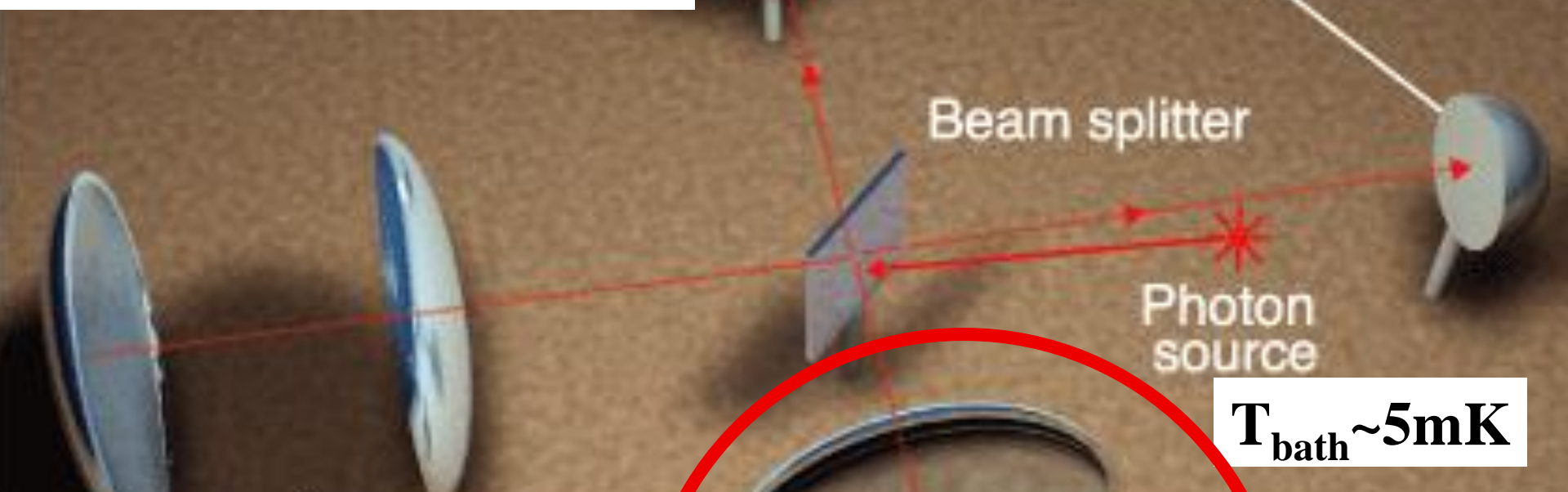
$T_{\text{bath}} \sim 10 \text{mK}$  acceptable.

$$\tau_D^{-1} \cong \frac{2m\gamma k_B T \Delta x^2}{\hbar^2}$$



**Single photon sources  
and detectors, stable  
lasers locked to cavity**

**Stability  $10^{-14}$ m (over ms)**



**$T_{\text{bath}} \sim 5\text{mK}$**

**10kHz**

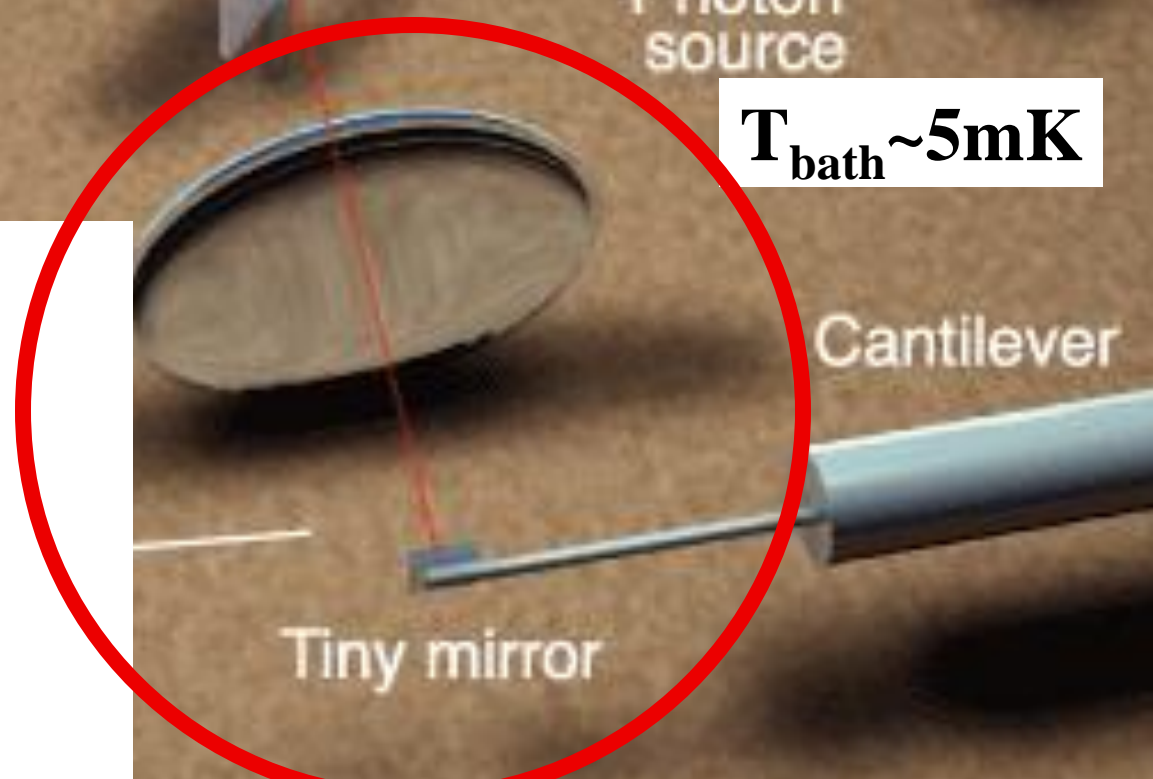
**$T_{\text{cantilever}} \sim 1\mu\text{K}$**

**$Q \sim 200,000$**

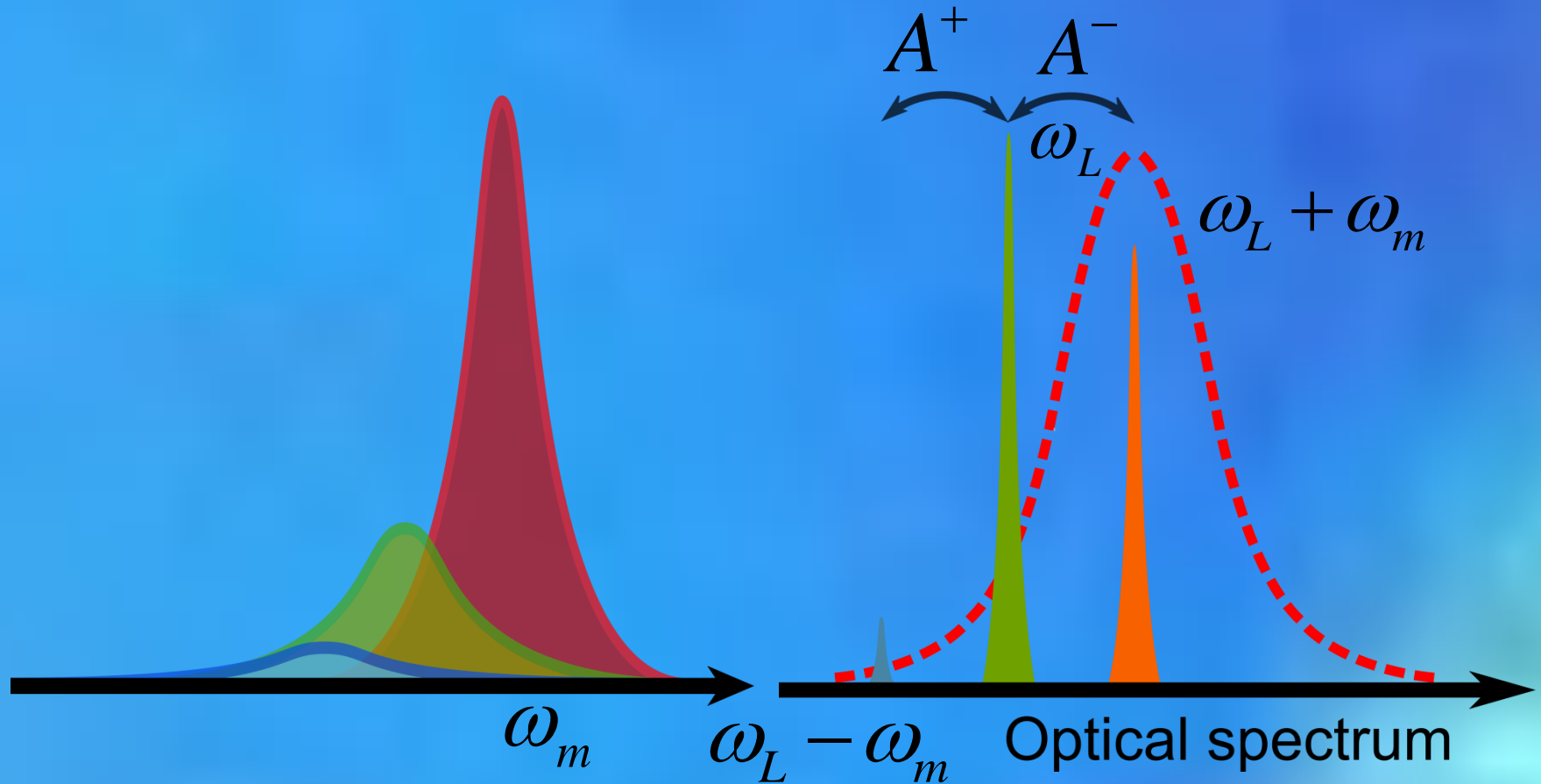
**$L \sim 5\text{cm}$**

**Finesse  $\sim 200,000$**

**Mass  $\sim 10^{-12}\text{kg}$**



# Passive optical cooling of the mechanical mode



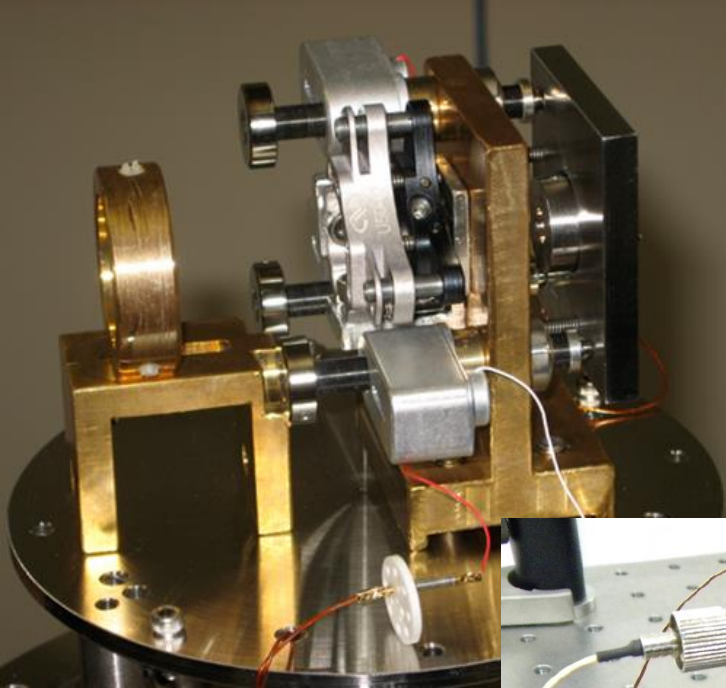
Needed for ground state cooling:

Work in side band resolved regime:  $\omega_m > \gamma_{\text{optical}}$ , Finesse  $> 20,000$

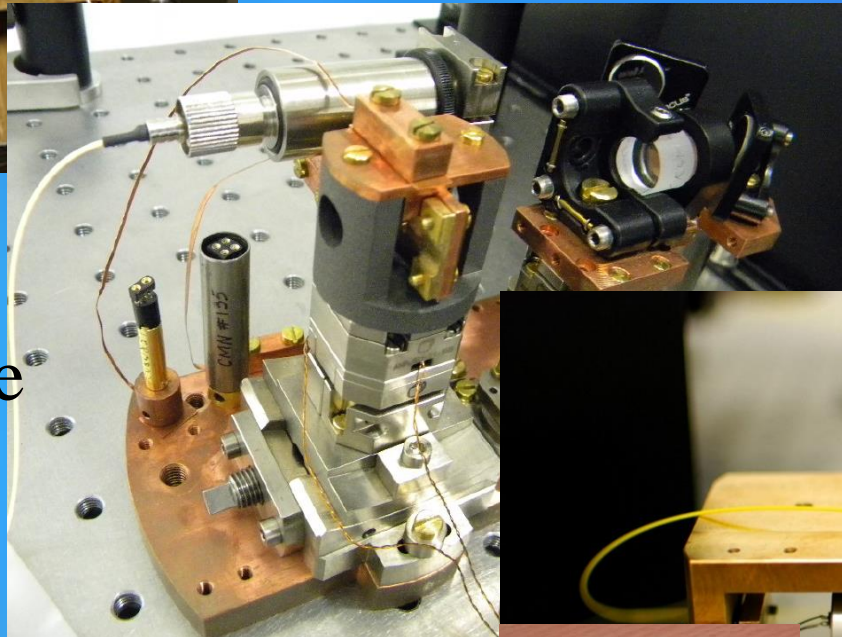
$T_{\text{bath}} \sim 100\text{mK}$  (compatible with previous requirements  $F > 10^5$ ,  $T_{\text{bath}} \sim 5\text{mK}$ )



Generation 1  
Room temperature  
vacuum  
2007

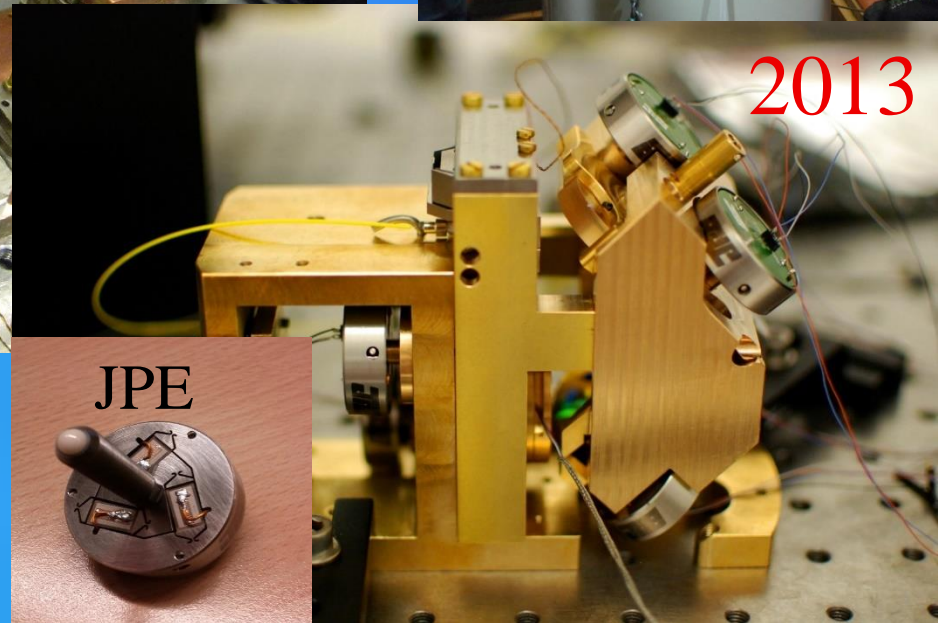


Generation 2  
Low temperature  
vacuum

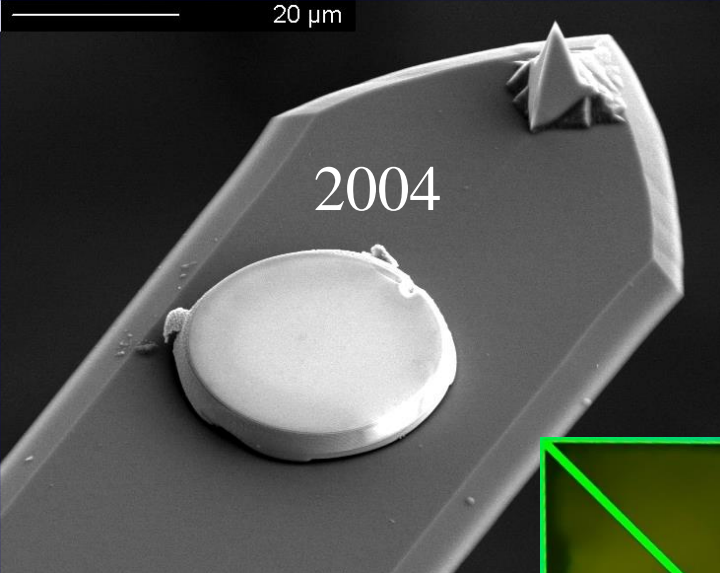


2013

Generation 3  
Low temperature vacuum  
& stable



20  $\mu\text{m}$



2004

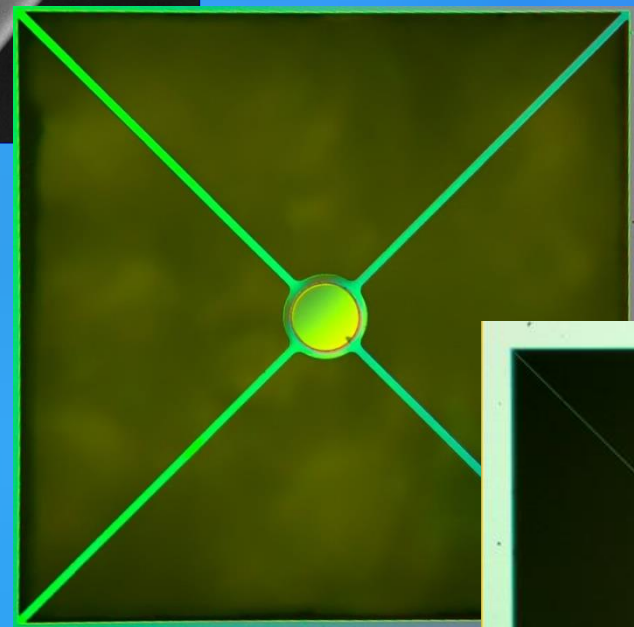
Generation 1

UCSB

Finesse: 2100

Mechanical Q: 130.000

**10-100kHz**



Generation 2

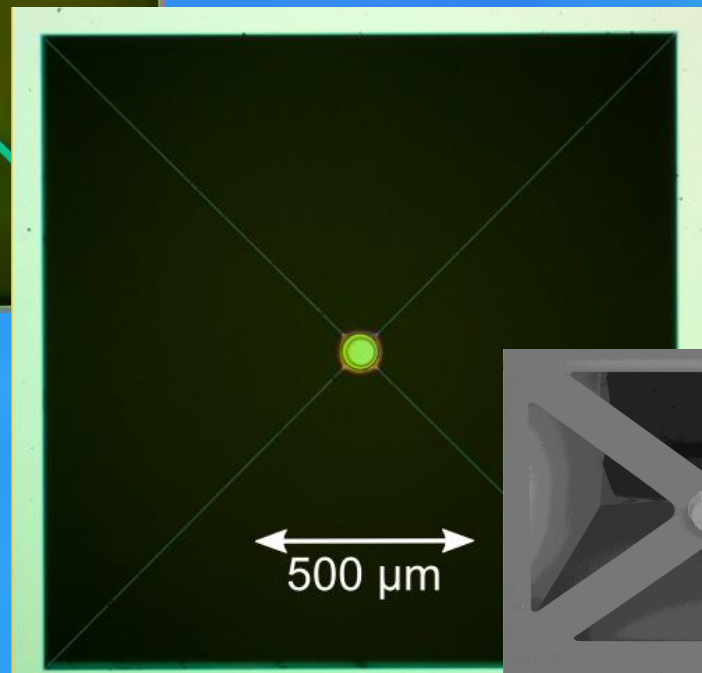
Finesse: 3000

Q: 400.000

Generation 5

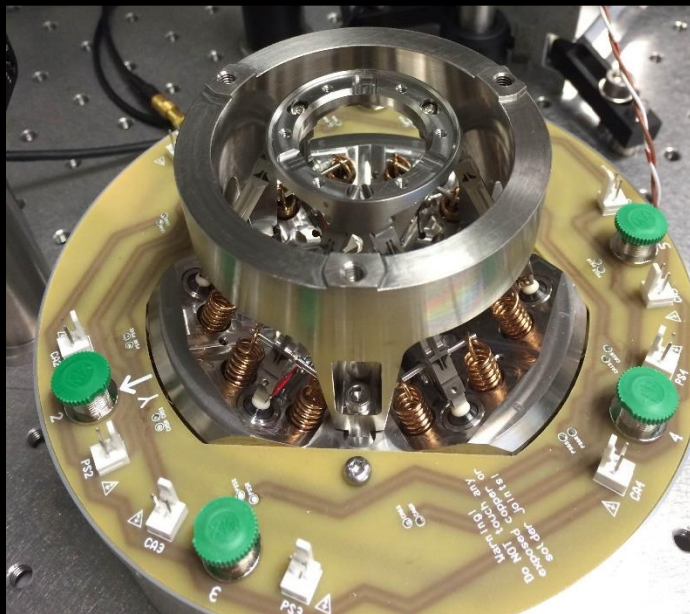
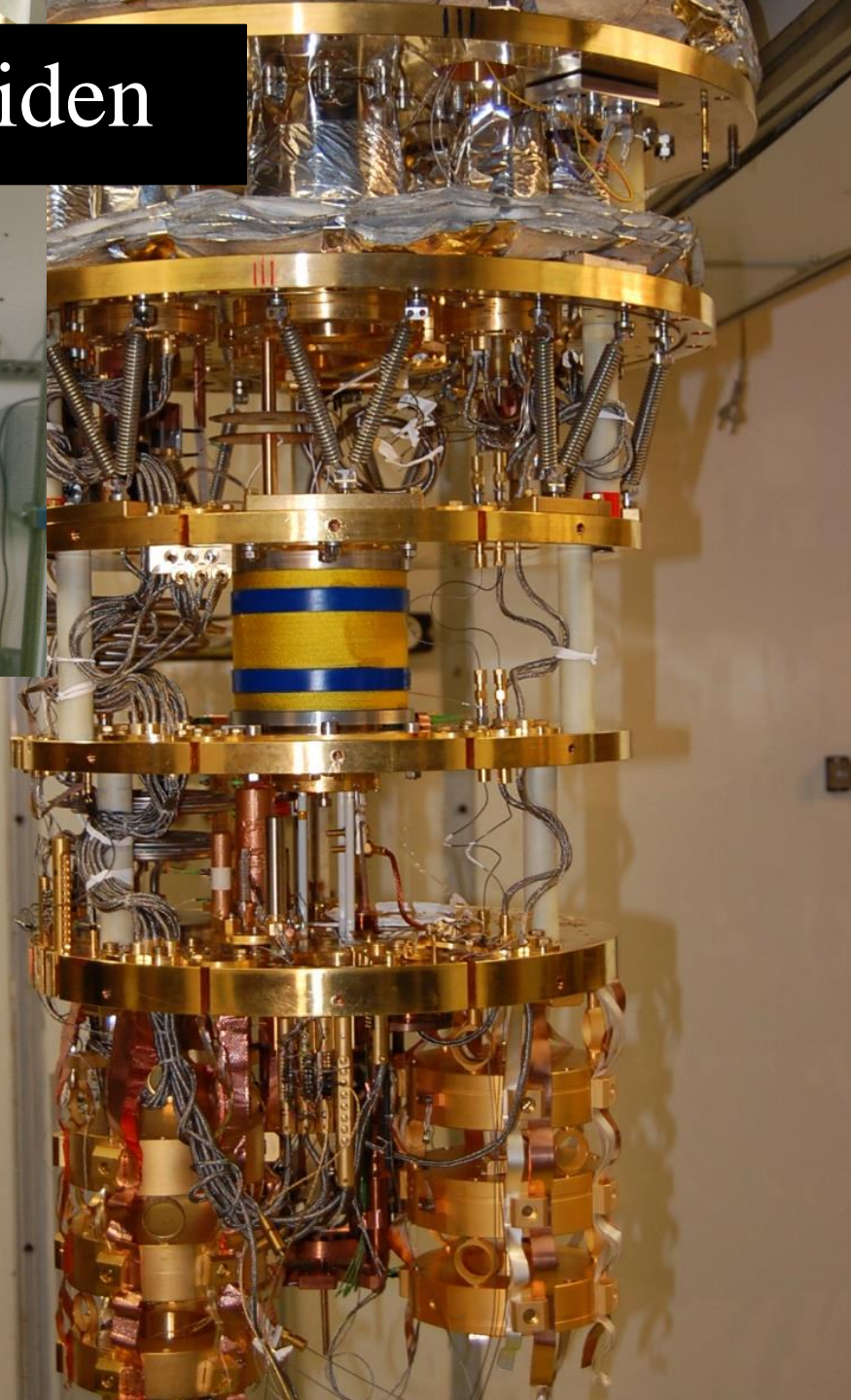
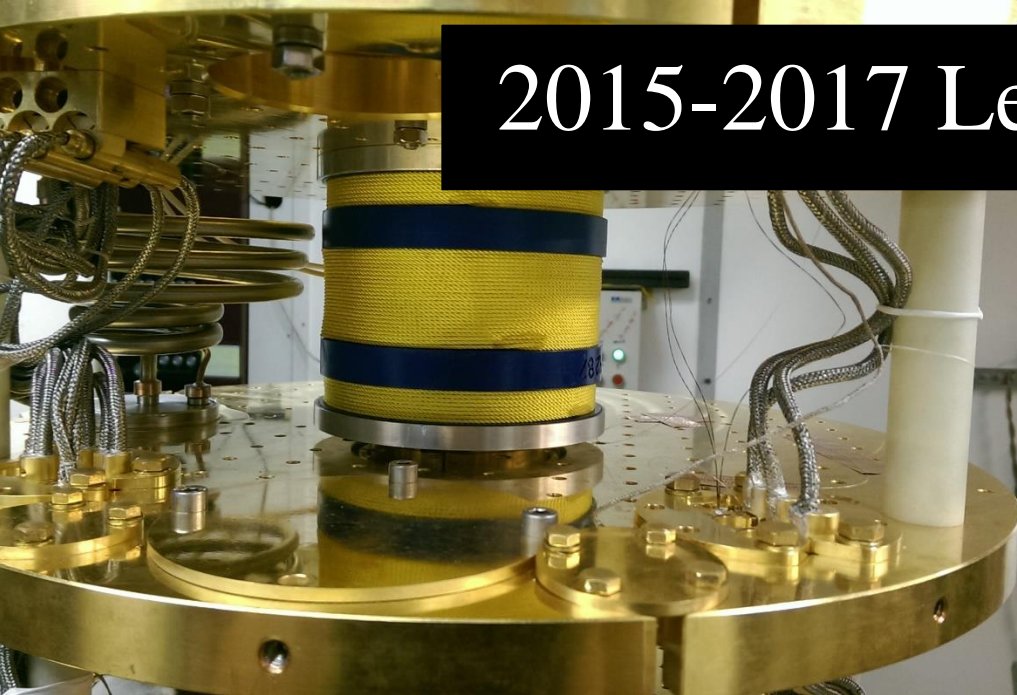
Finesse: 60.000

Q: 600.000





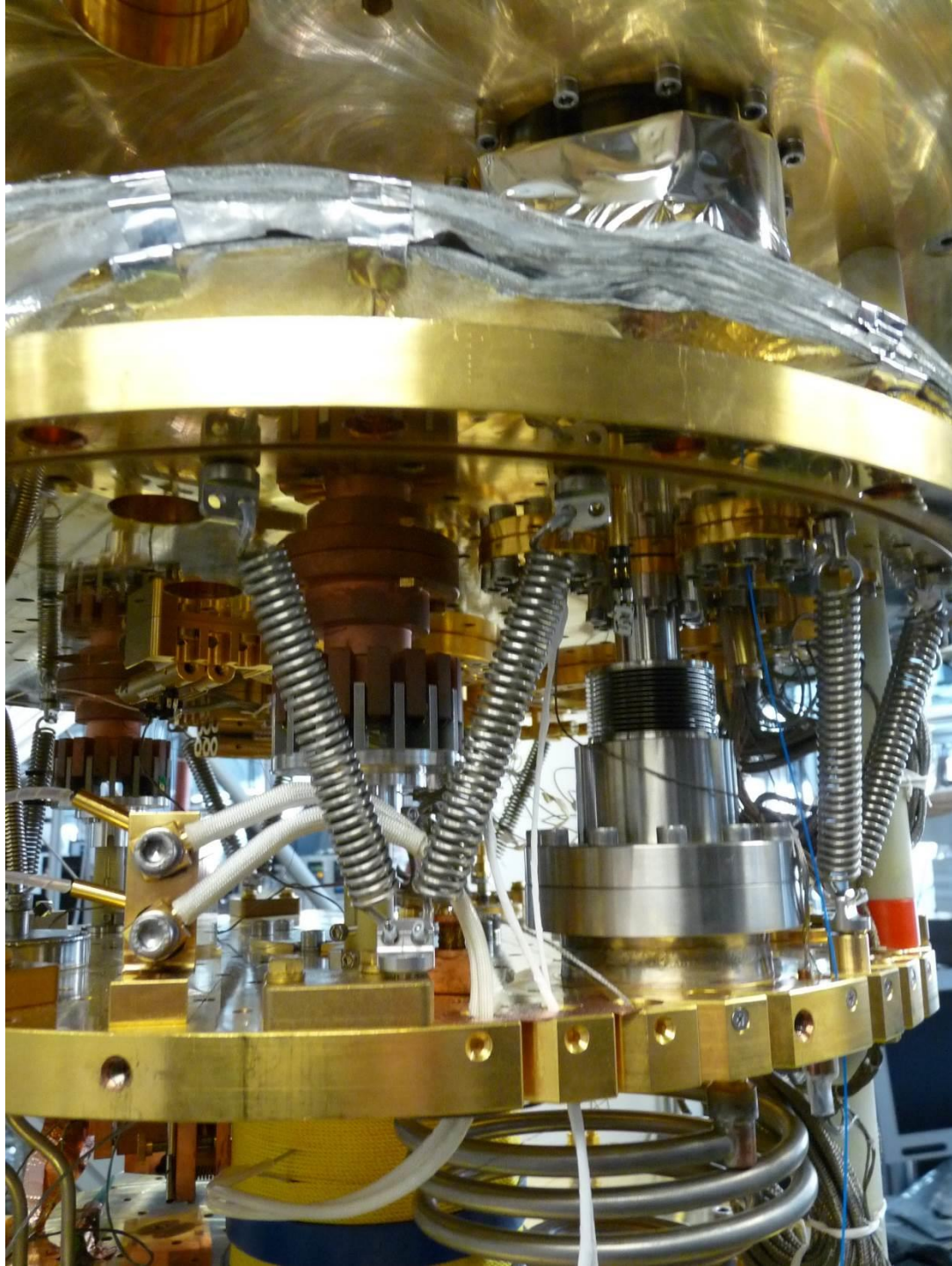
2015-2017 Leiden

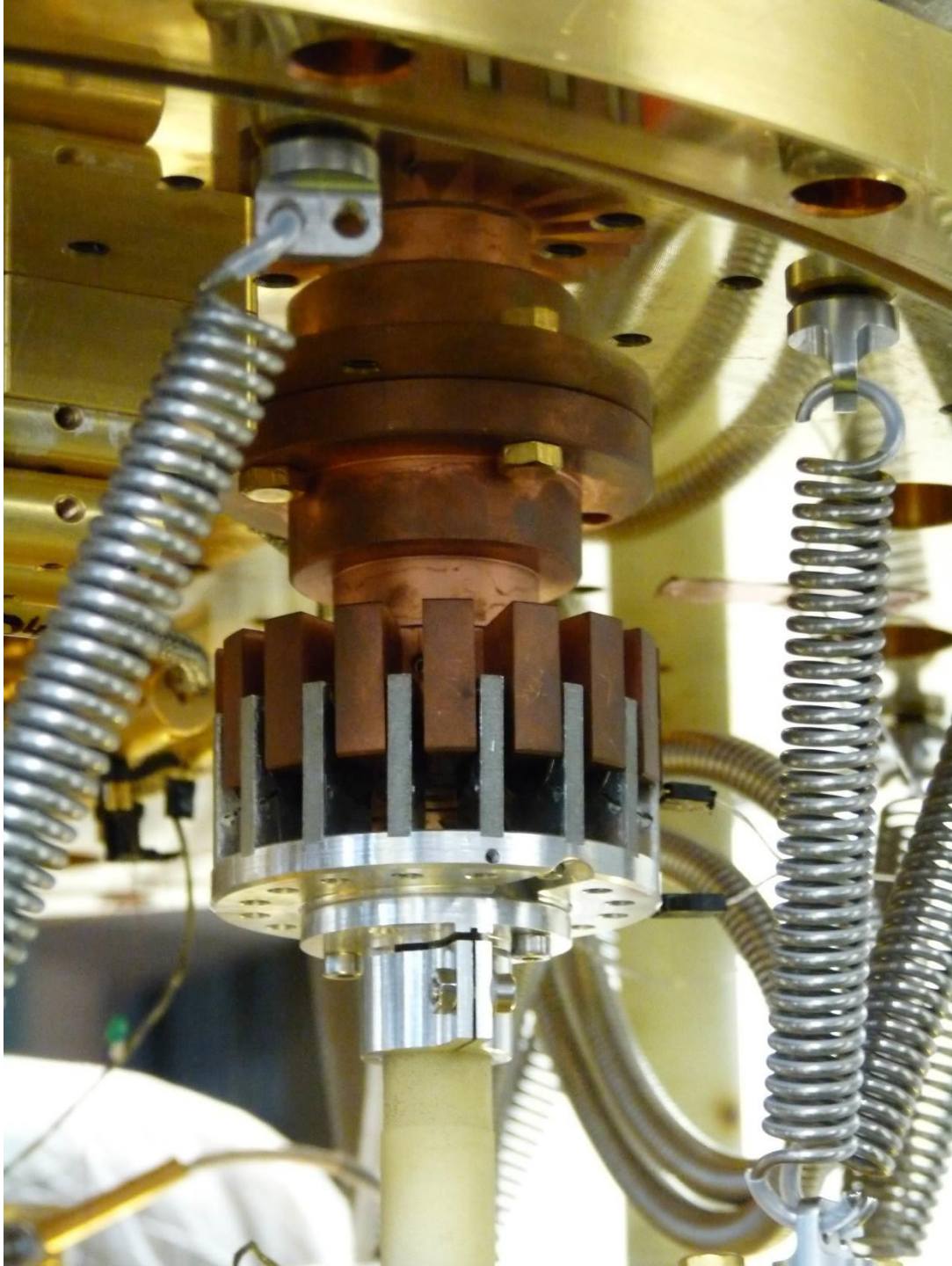




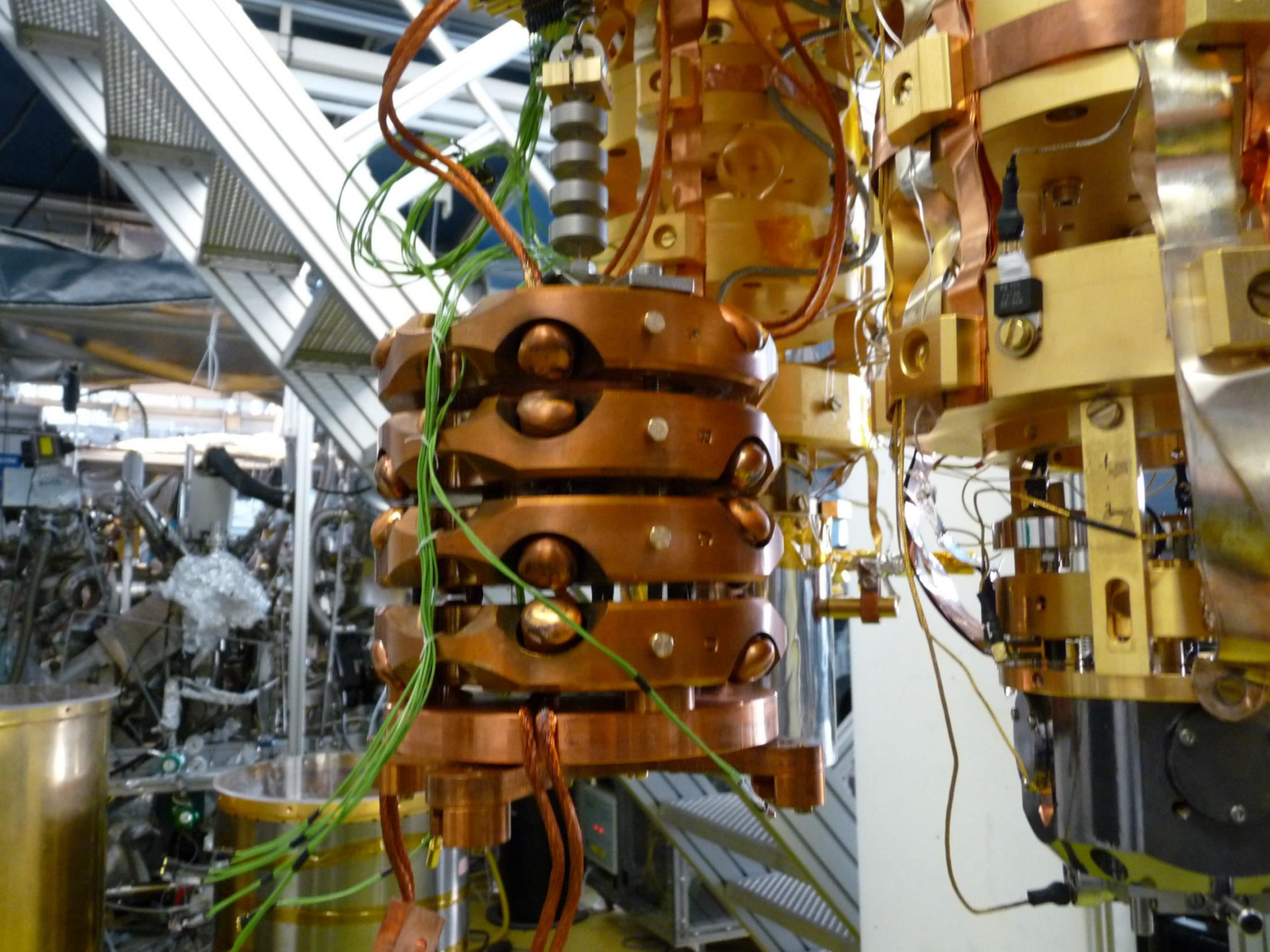




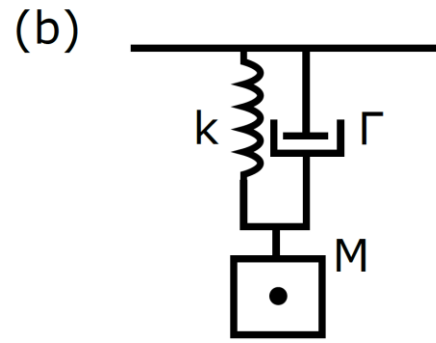
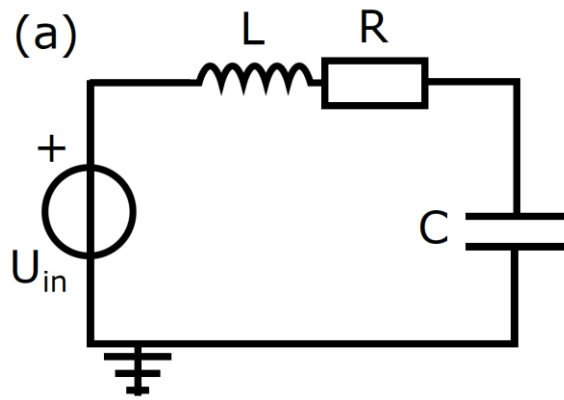






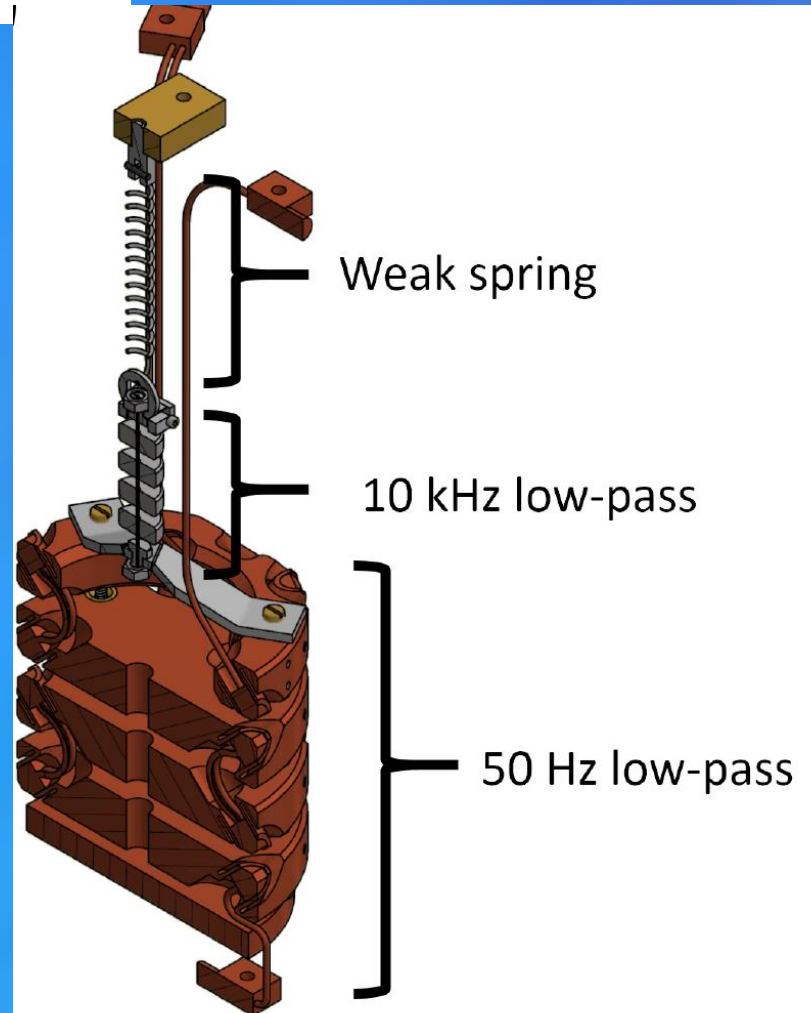


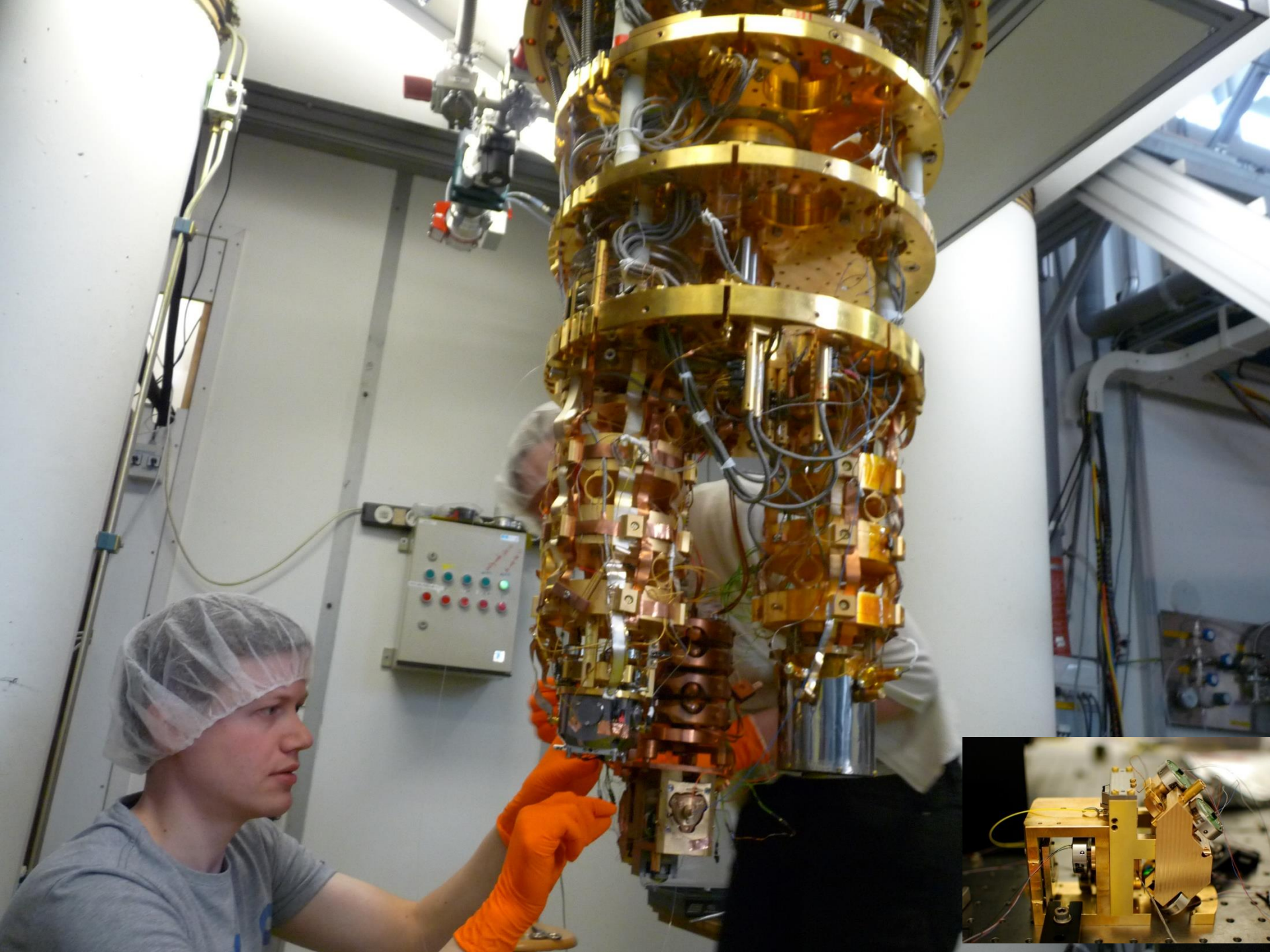




The responds of the LCR circuit in (a) and the damped harmonic oscillator in (b) can be described by the same differential equation. Use well-known electronic higher order low pass filter designs and translate to mechanics (design Kier Heeck).

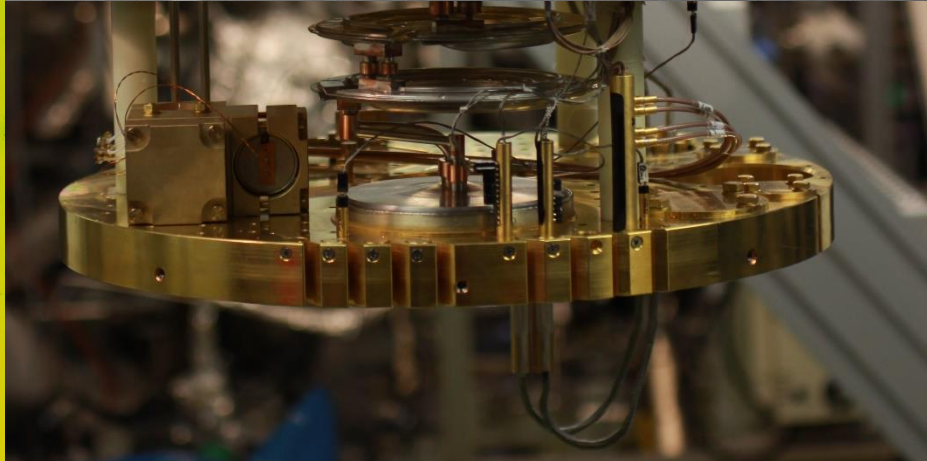
M. de Wit, G. Welkers et al.  
arXiv:1810.06847



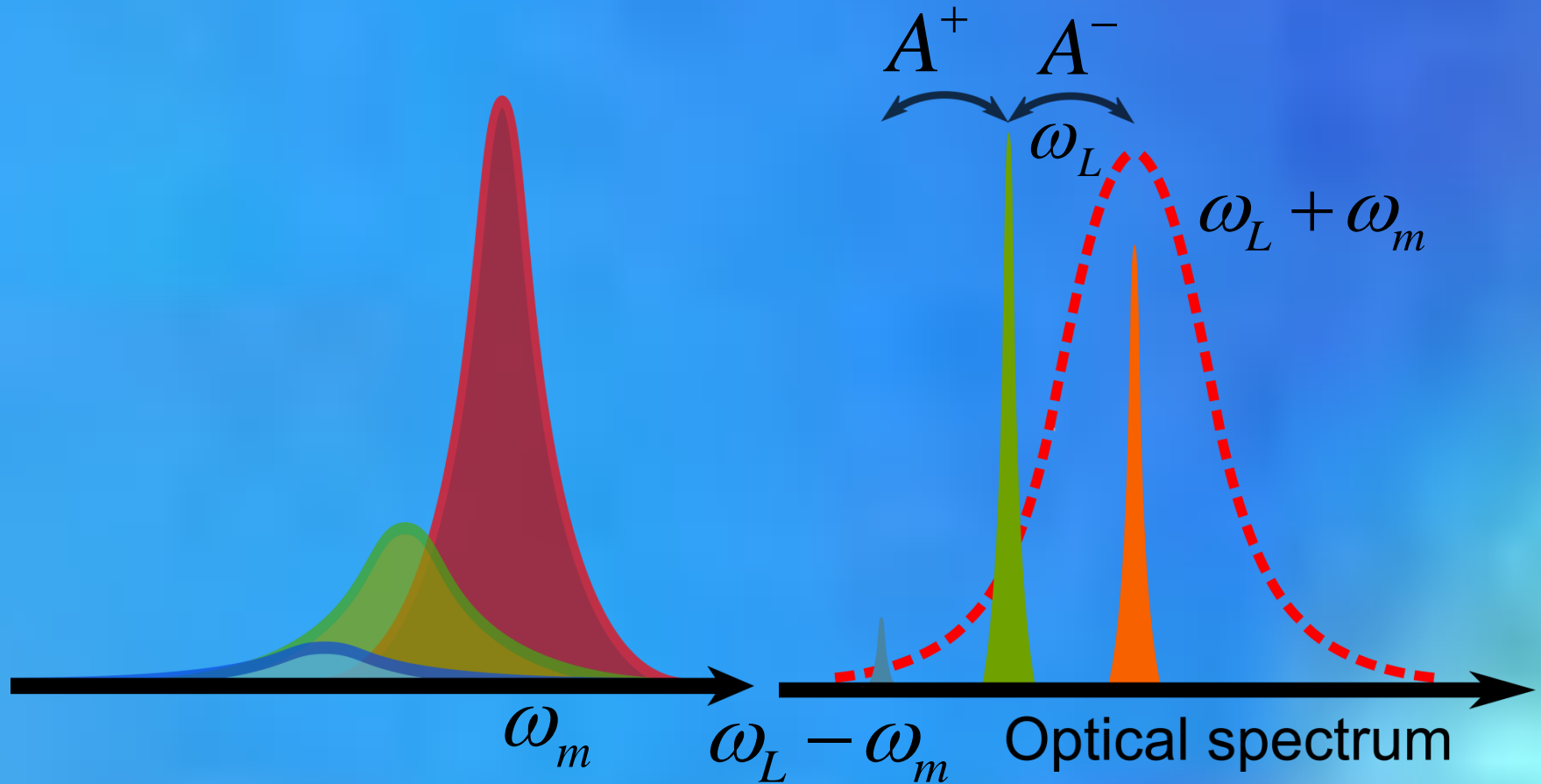




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# Passive optical cooling of the mechanical mode

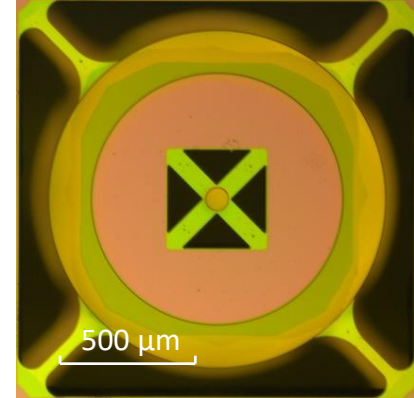
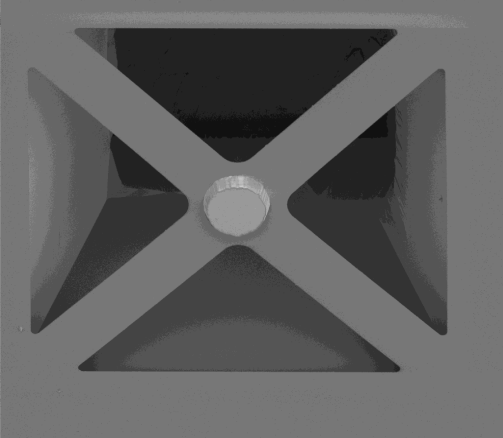


Needed for ground state cooling:

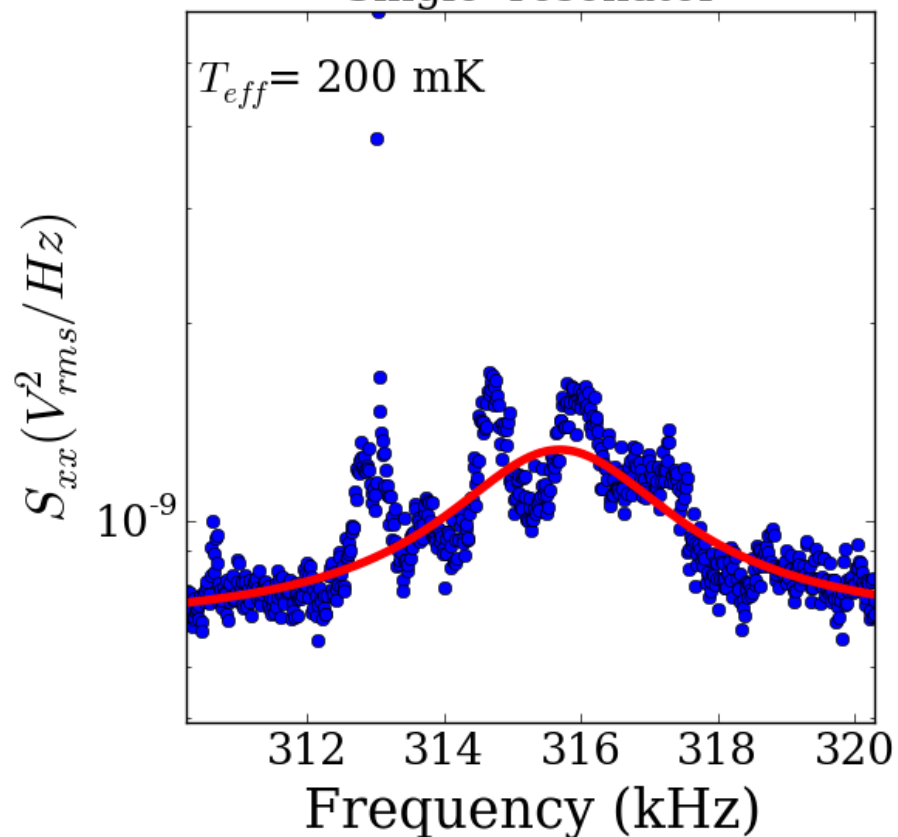
Work in side band resolved regime:  $\omega_m > \gamma_{\text{optical}}$ , Finesse  $> 20,000$

$T_{\text{bath}} \sim 100\text{mK}$  (compatible with previous requirements  $F > 10^5$ ,  $T_{\text{bath}} \sim 5\text{mK}$ )

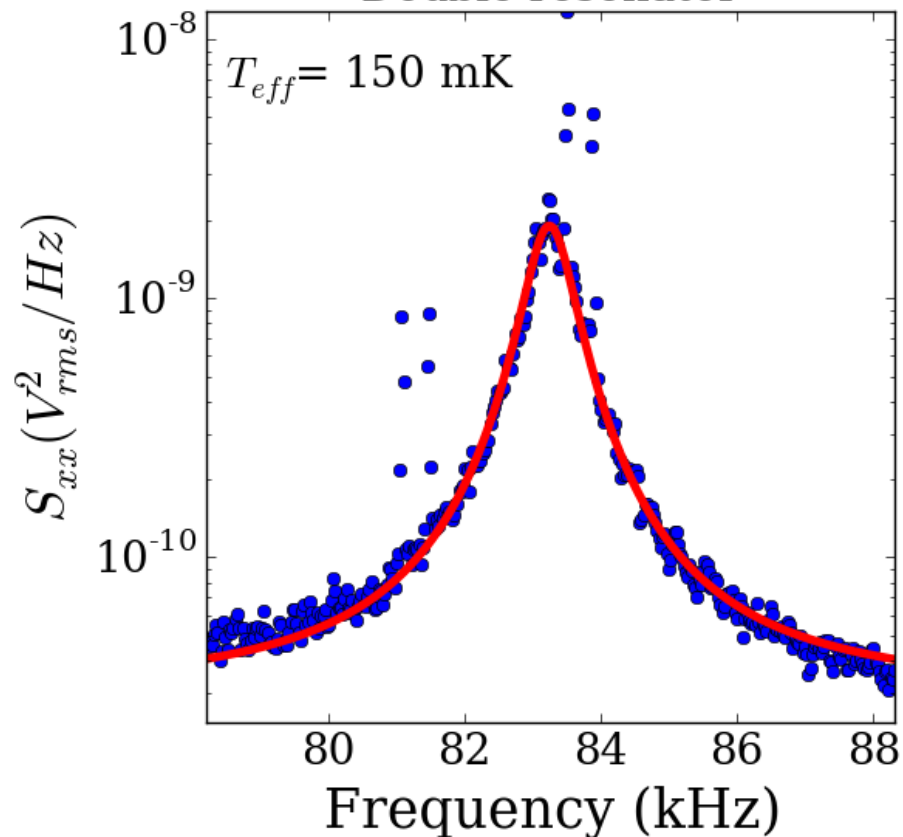
# Sideband resolved optical cooling from room temperature



Single resonator

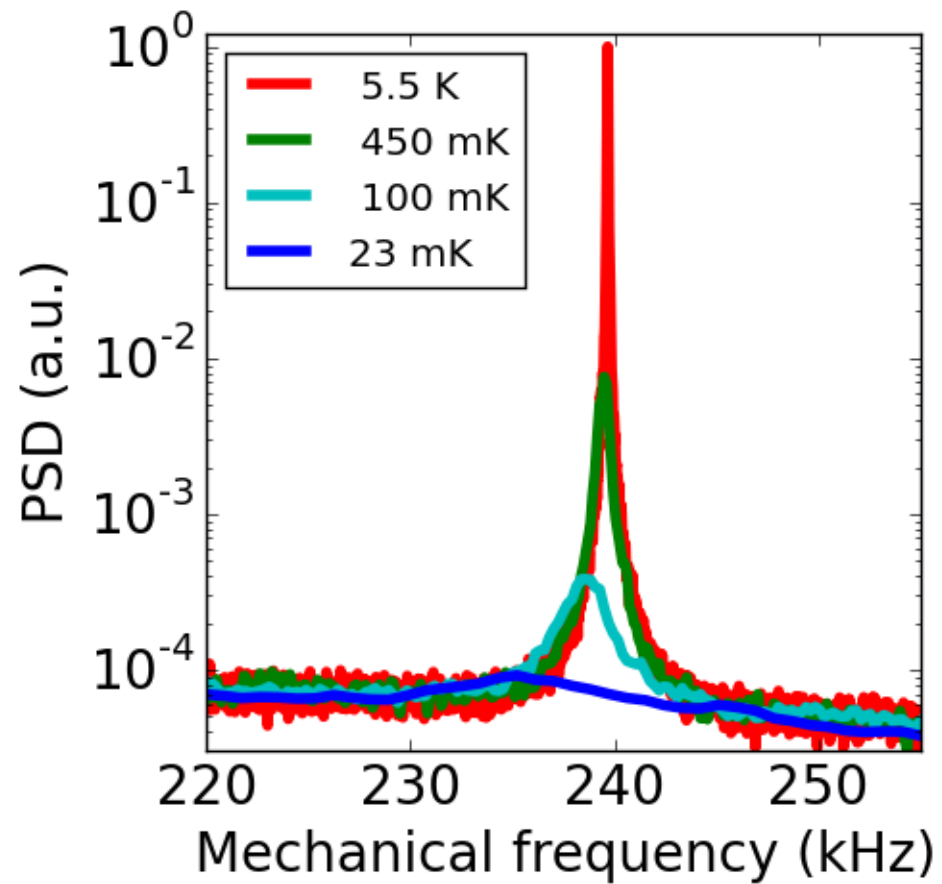
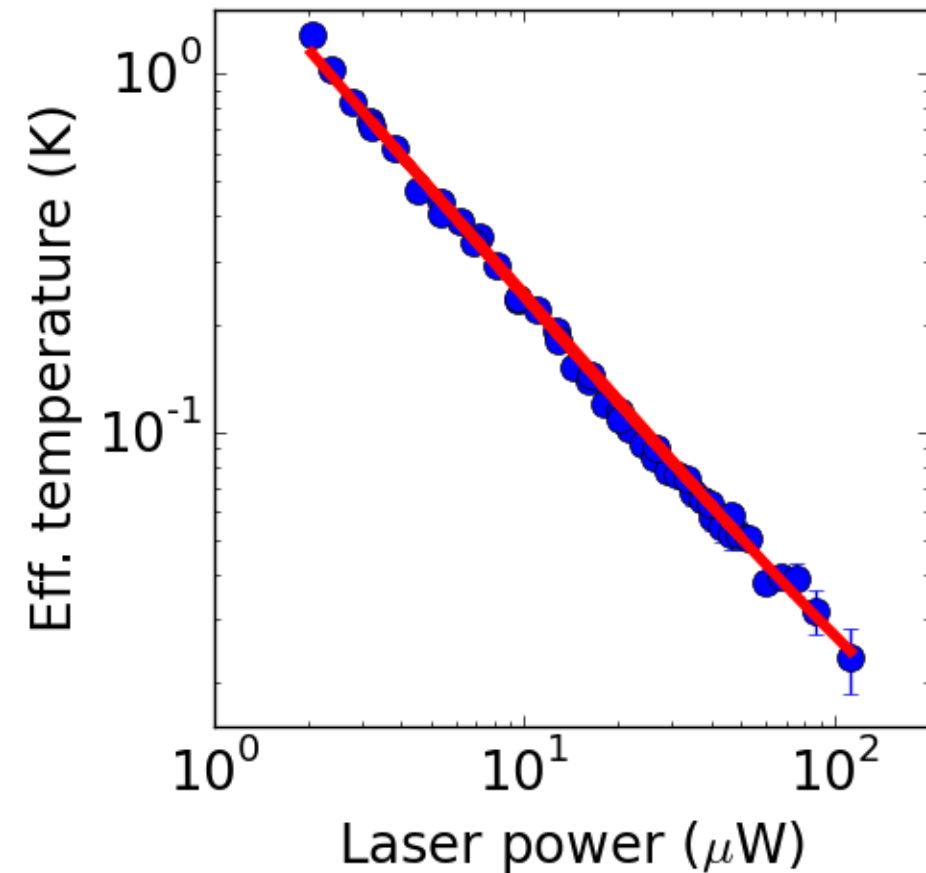
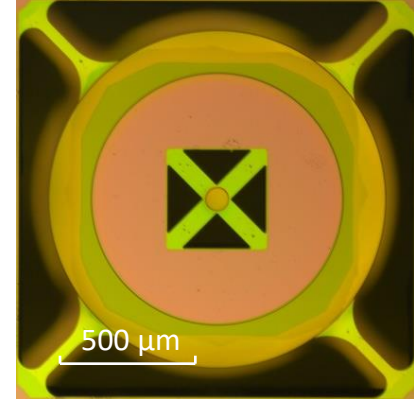
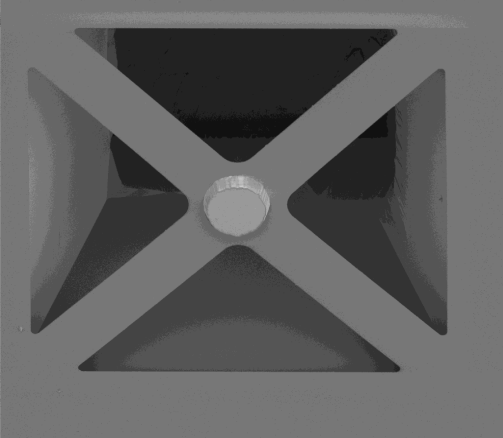


Double resonator

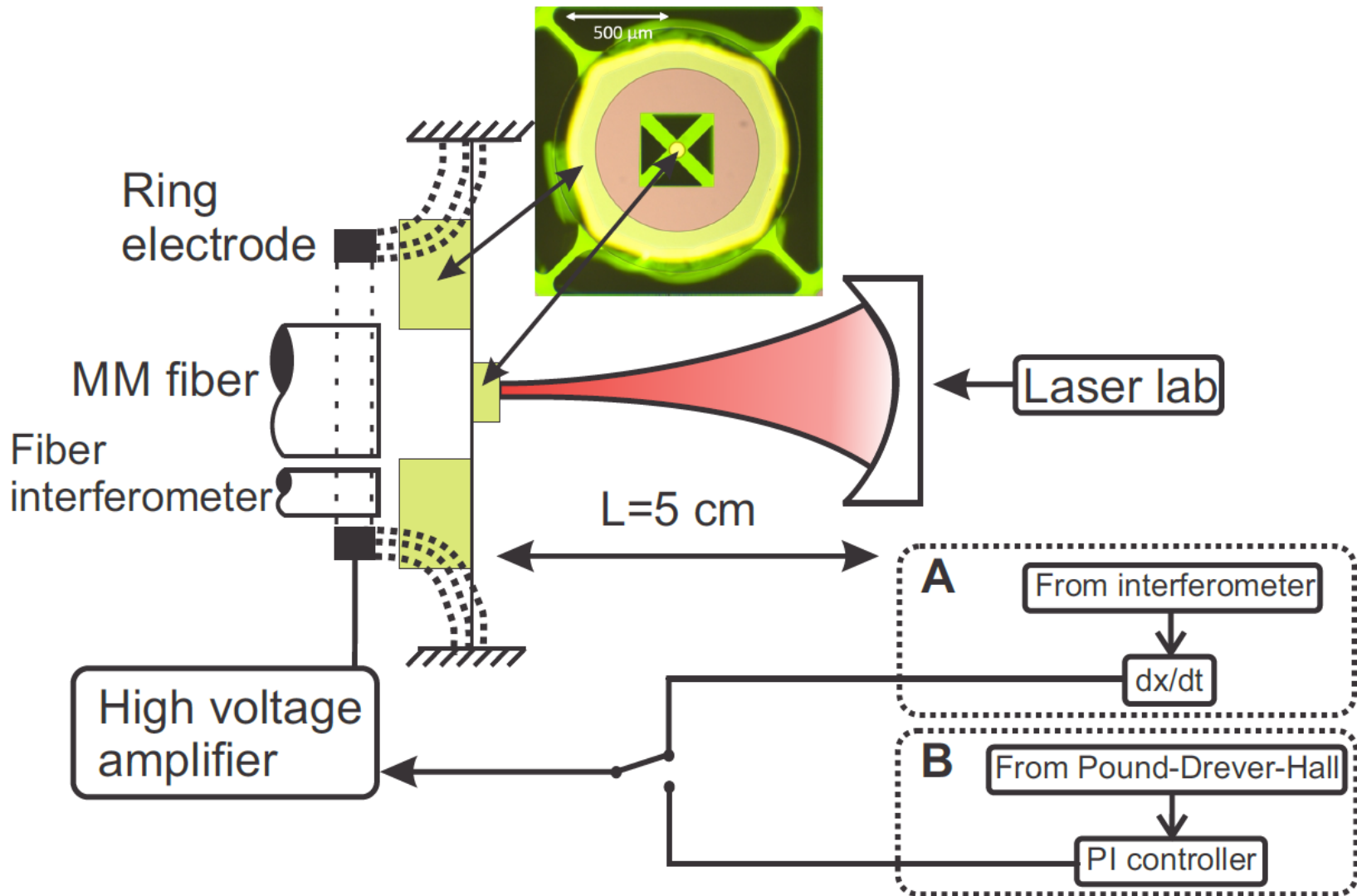




# Sideband resolved optical cooling from room temperature

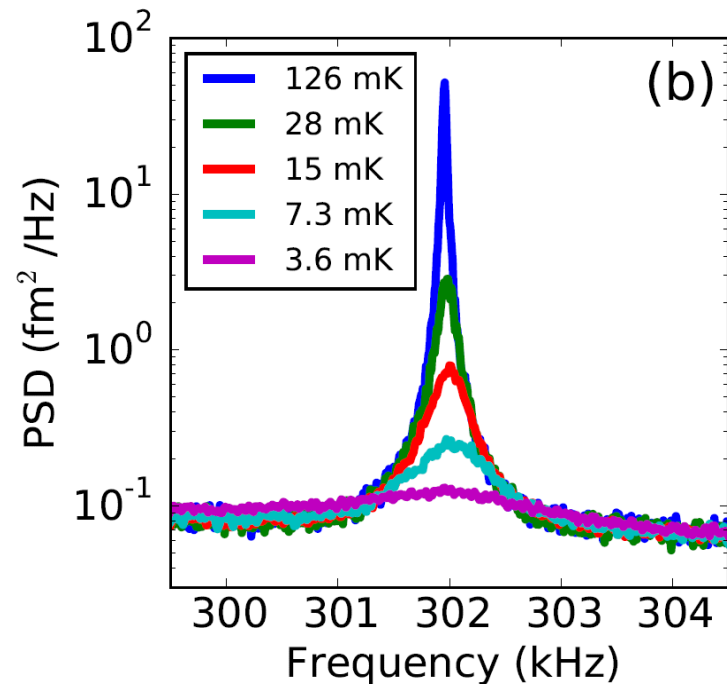
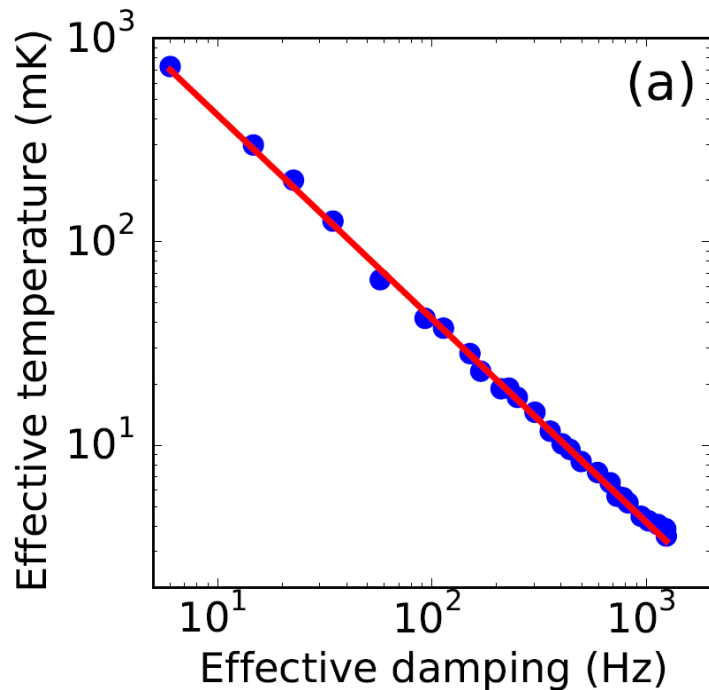


Outside resonator causes problems during cooldown.  
Solved by electrostatic feedback.

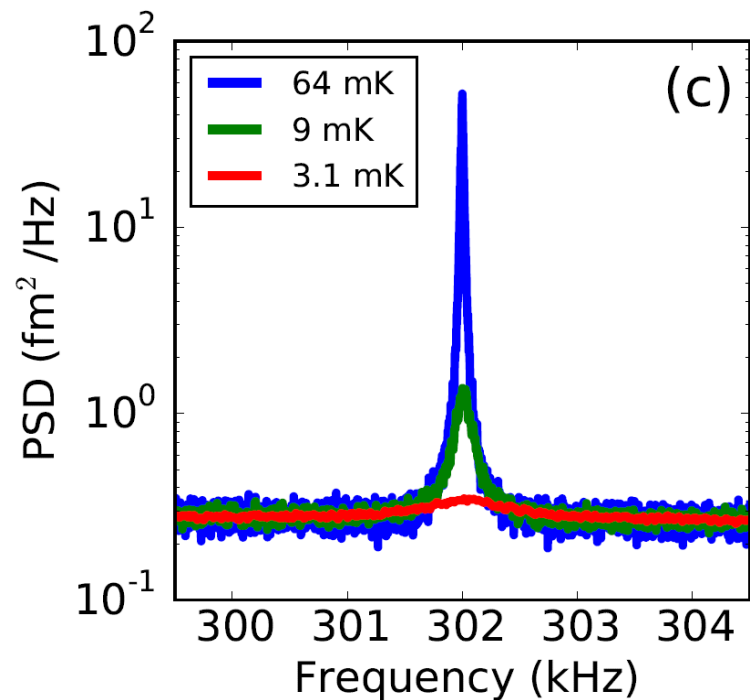
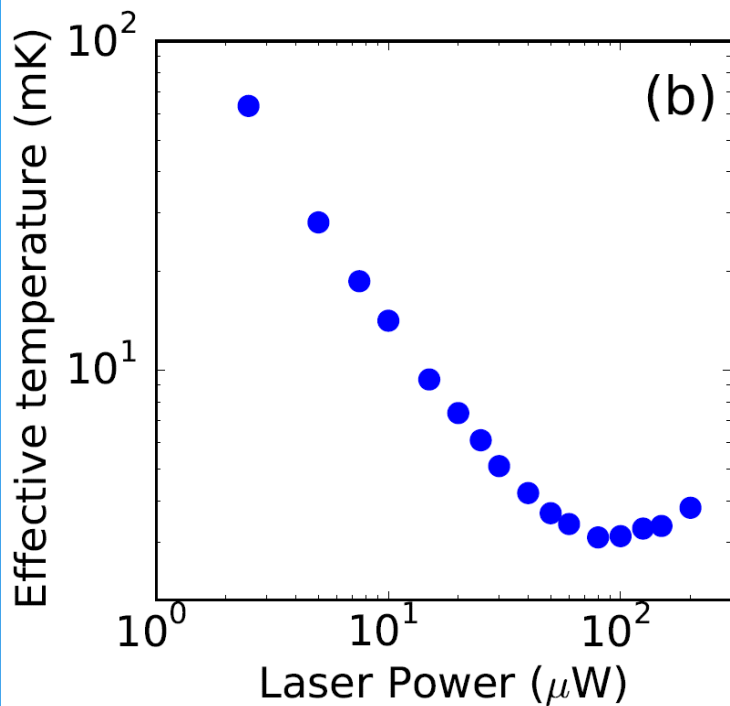


2017

Cryostat  
at 5K

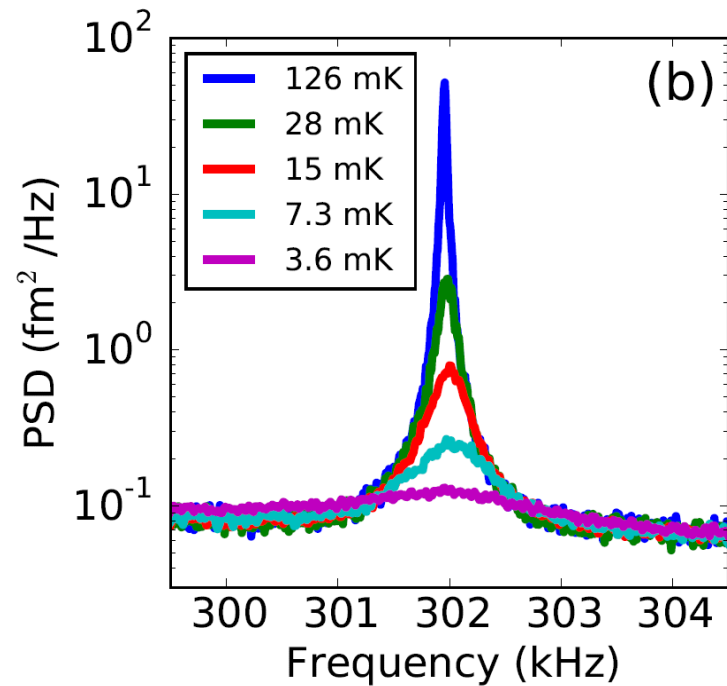
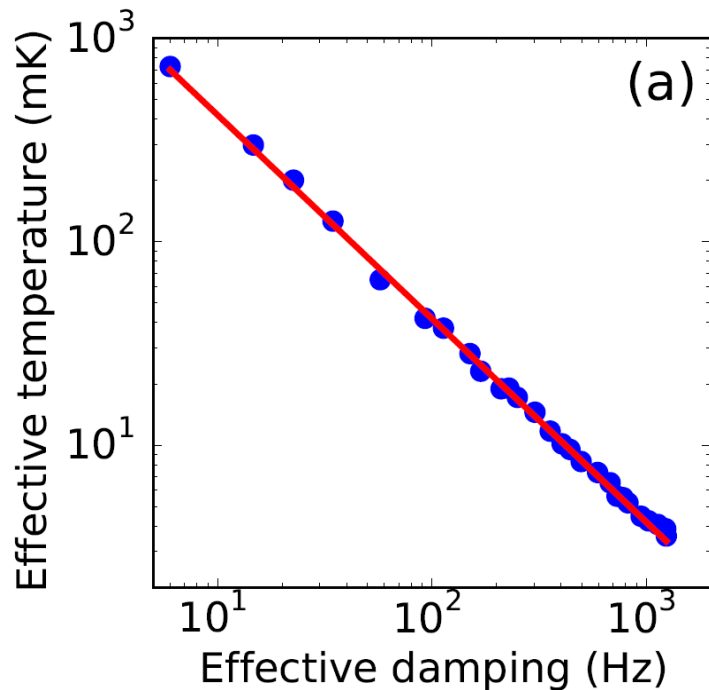


Cryostat  
at 100mK

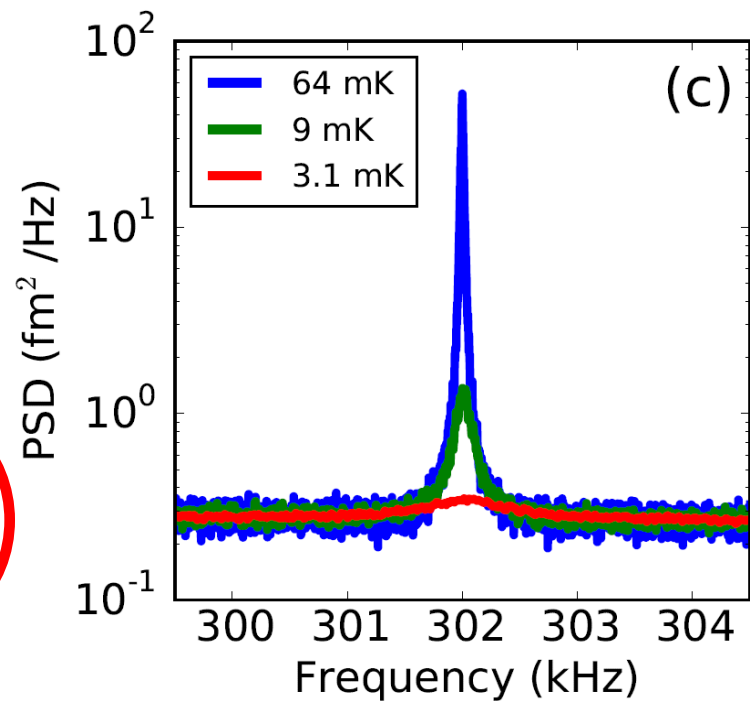
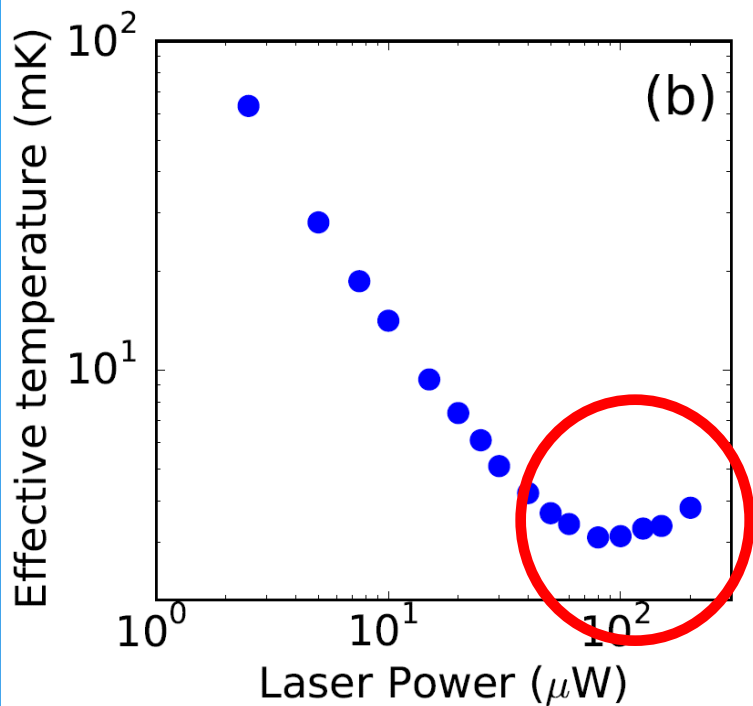


2017

Cryostat  
at 5K



Cryostat  
at 100mK



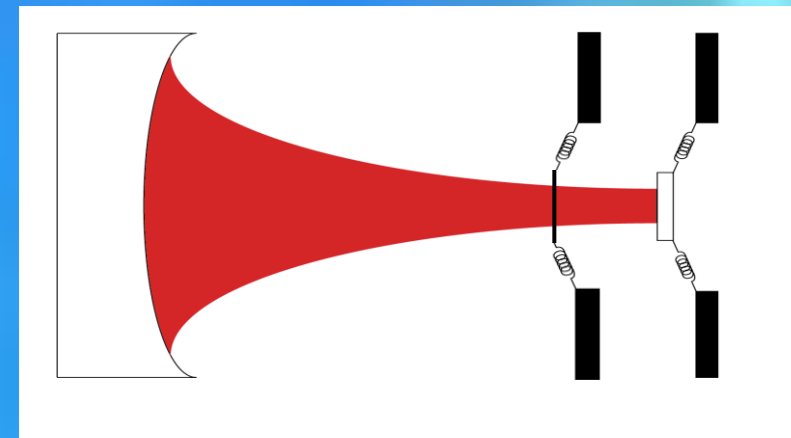
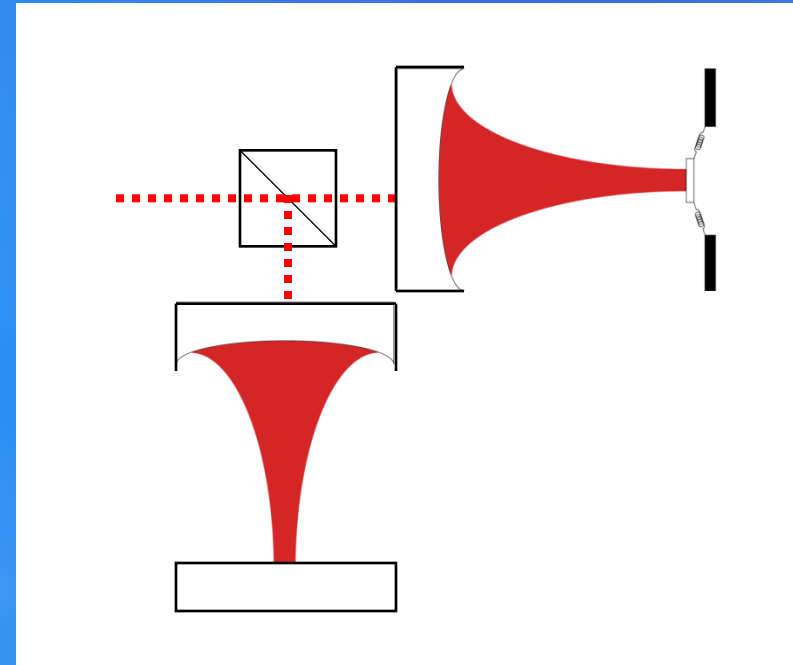
## **Three main technical complications:**

- 1) Optomechanical coupling not strong enough.
- 2) Mechanical Q's not high enough.
- 3) Optical heating.

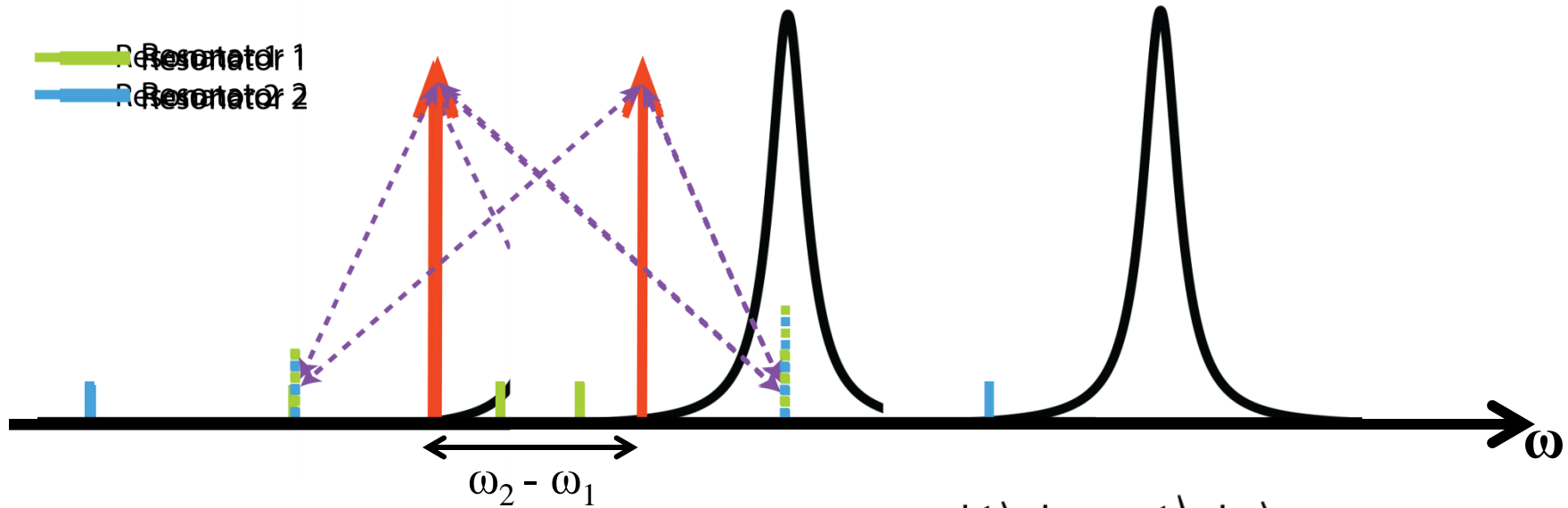


# New approach for creating and testing macroscopic superpositions

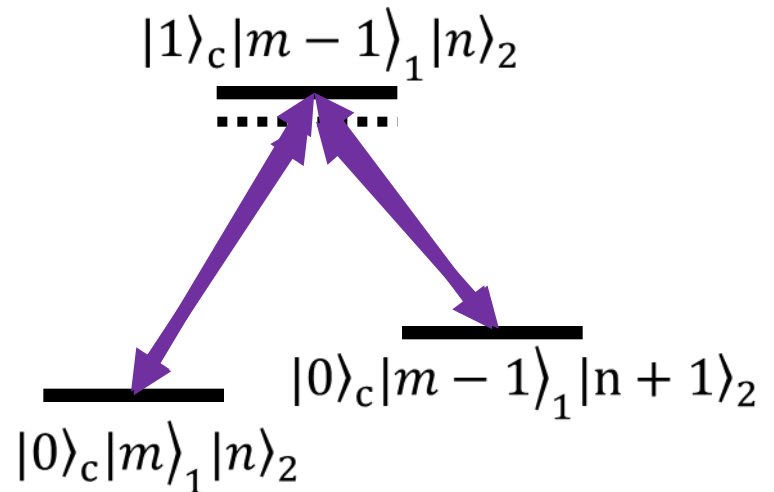
1. Initial proposal : entangle light with a mechanical mode
2. Many technical challenges arise from the scale difference between photons and phonons.
3. New scheme: Still use optomechanical systems but entangling two mechanical resonators.






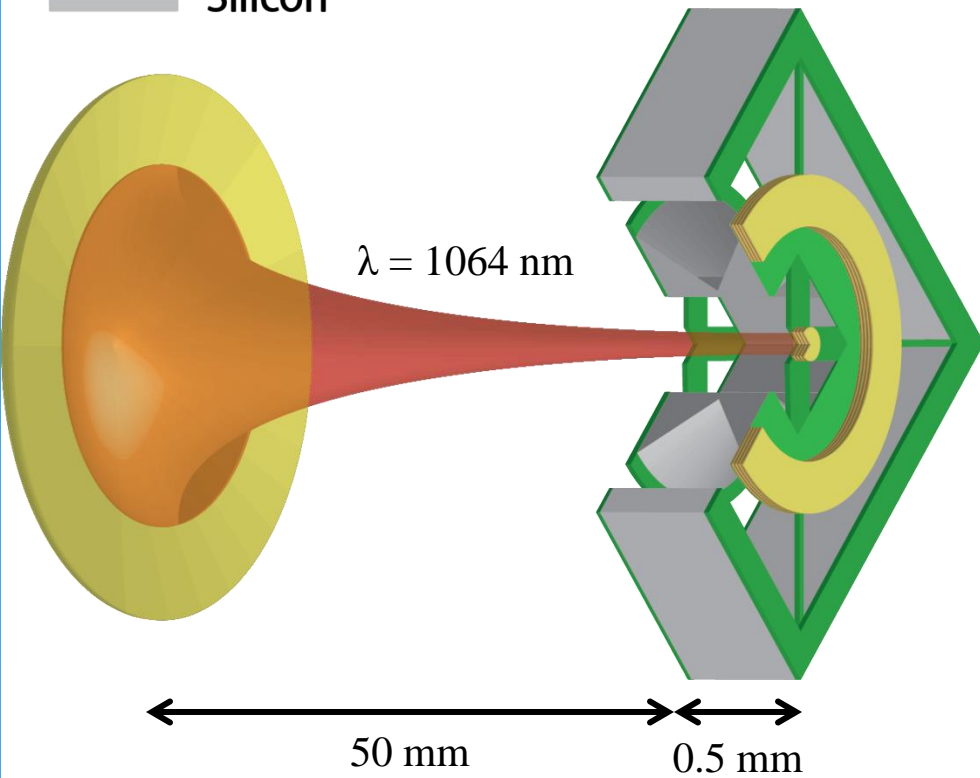
# Two Tone Driving



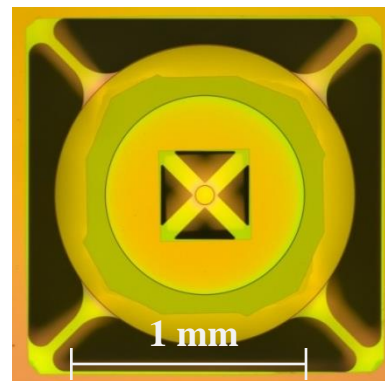
$$H_{int} = \hbar J (b_1^\dagger b_2 + b_2^\dagger b_1)$$



-  Ta<sub>2</sub>O<sub>5</sub>/SiO<sub>2</sub> DBR Mirror
-  High Stress LPCVD Si<sub>3</sub>N<sub>4</sub>
-  Silicon

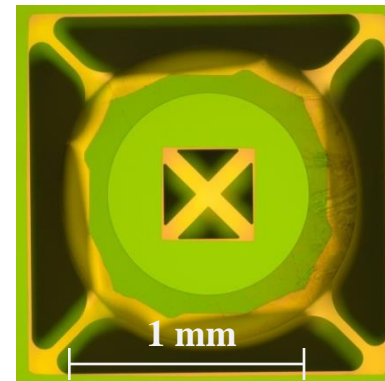


**Front Side**



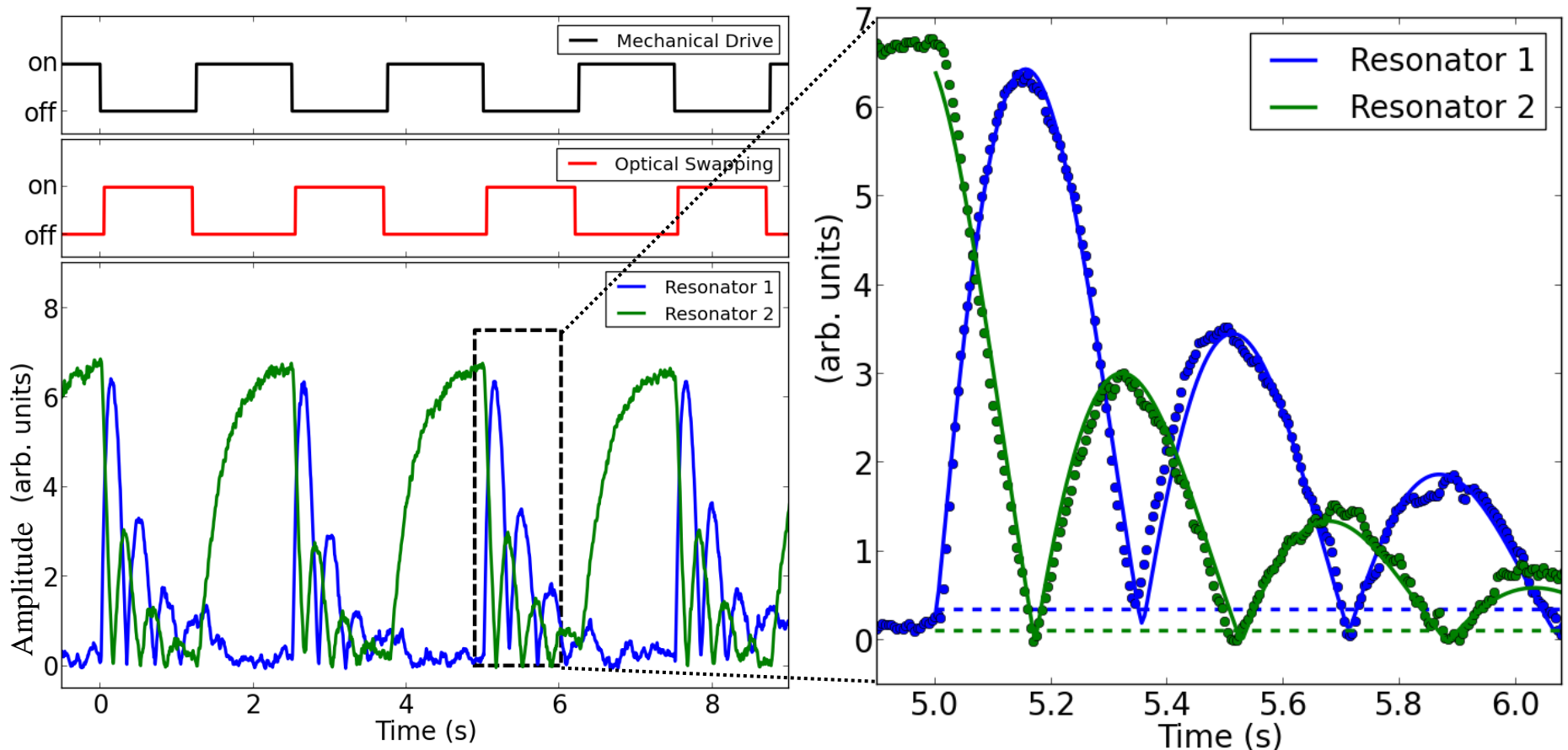
**Resonator 1**  
 $\omega_1/2\pi = 297 \text{ kHz}$   
 $g_1/2\pi = 0.8 \text{ Hz}$

**Back Side**



**Resonator 2**  
 $\omega_2/2\pi = 659 \text{ kHz}$   
 $g_2/2\pi = 1.1 \text{ Hz}$

# Single Shot Measurement of the Optomechanical Swapping Interaction

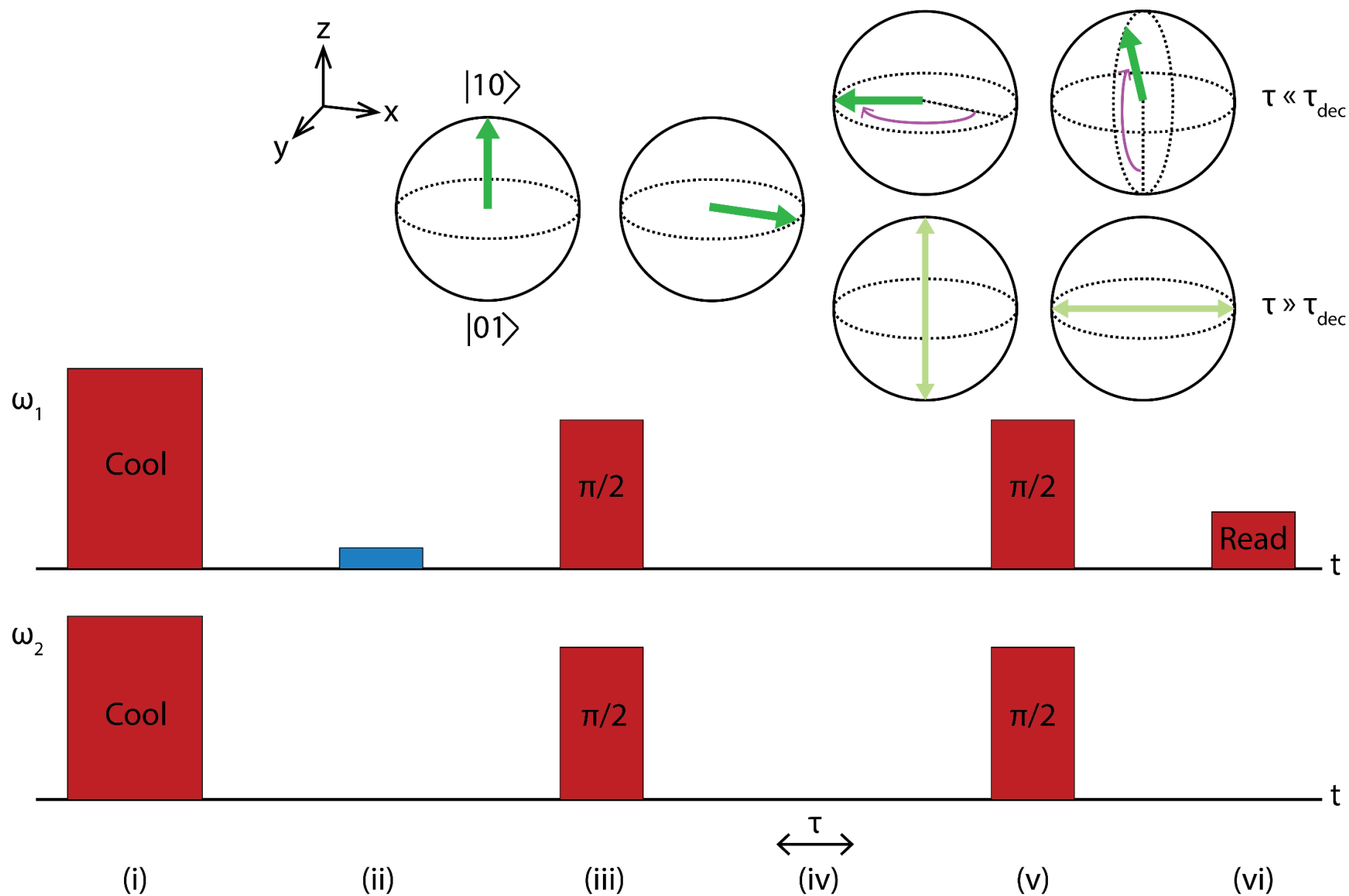


M. Weaver et al. Nature Comm. 2017

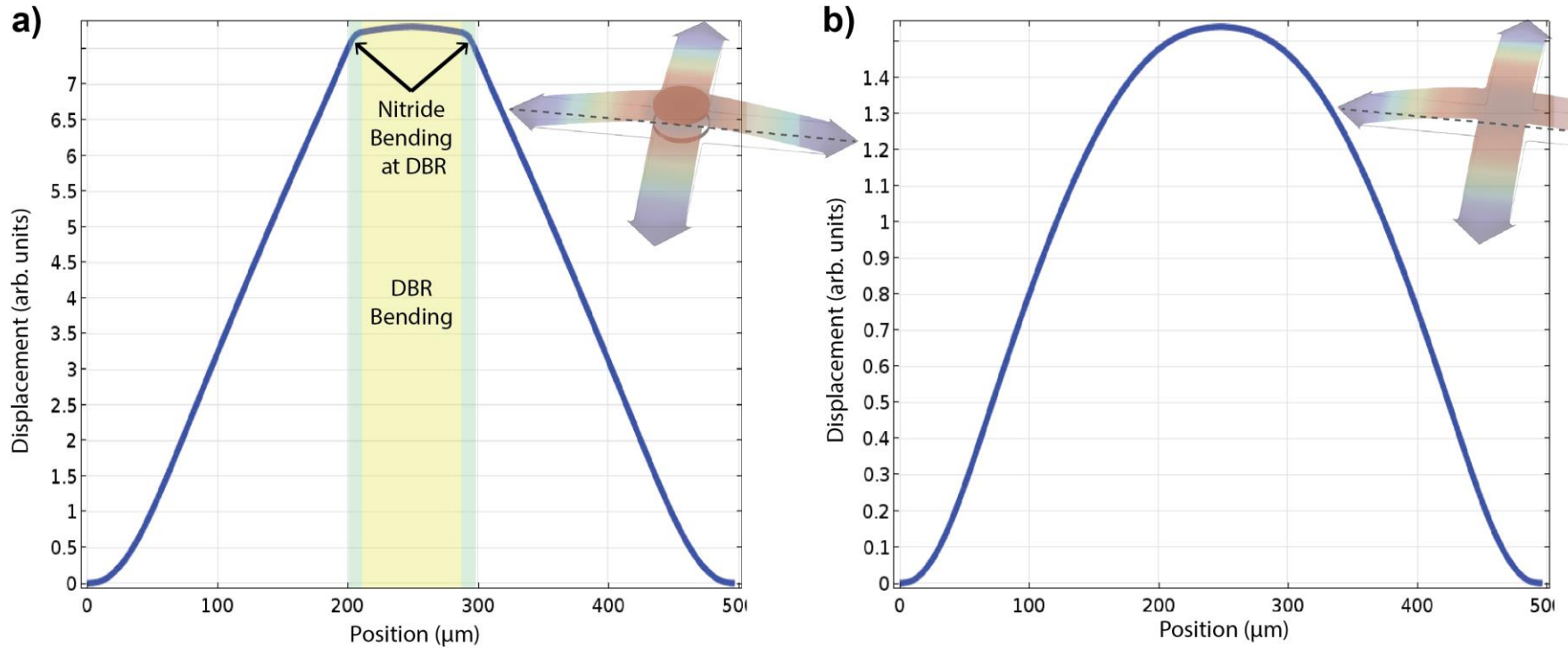
M. Weaver, et al. PRA 2018



# New scheme for creating and testing macroscopic superpositions

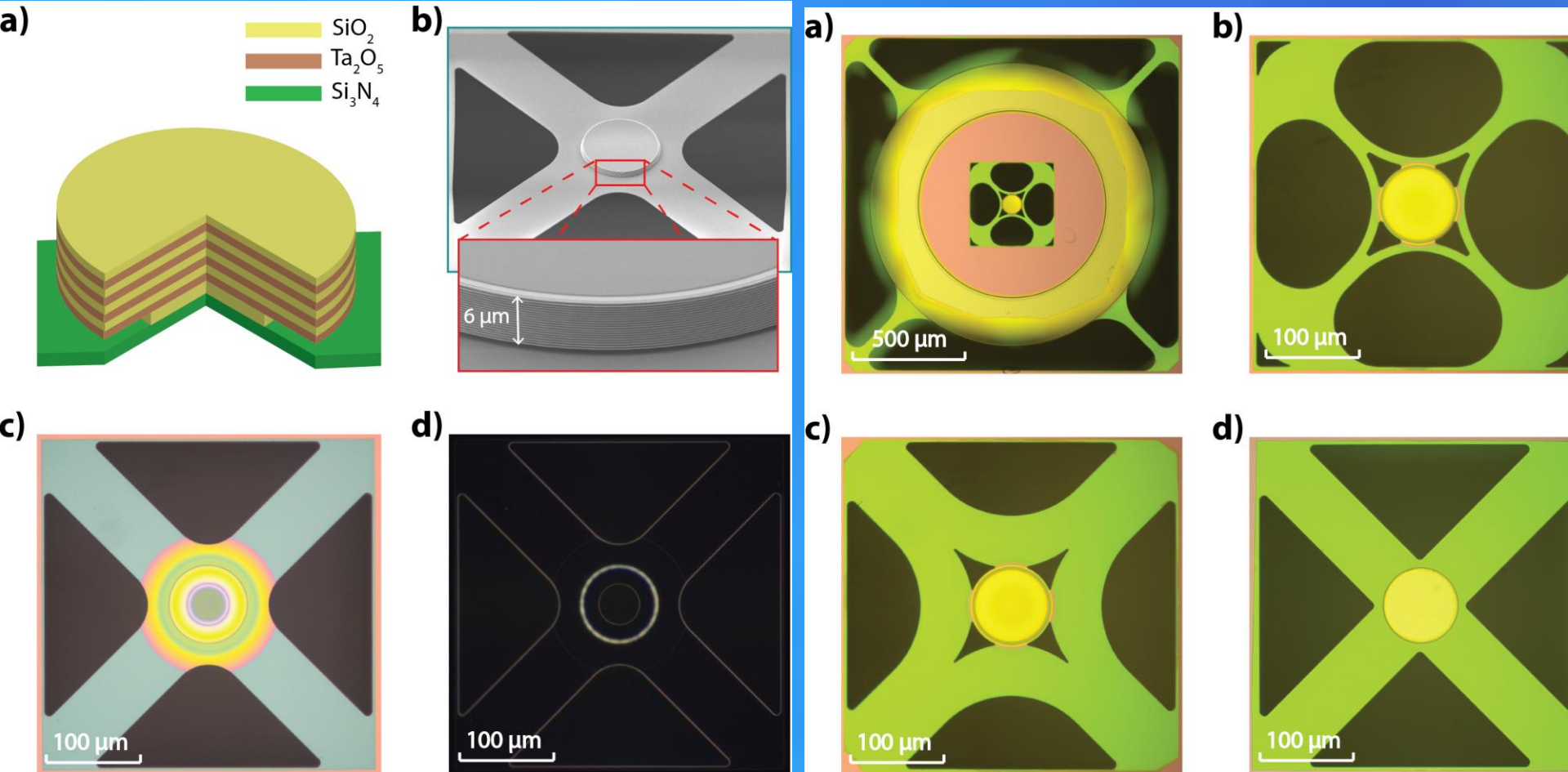


# Complication 2



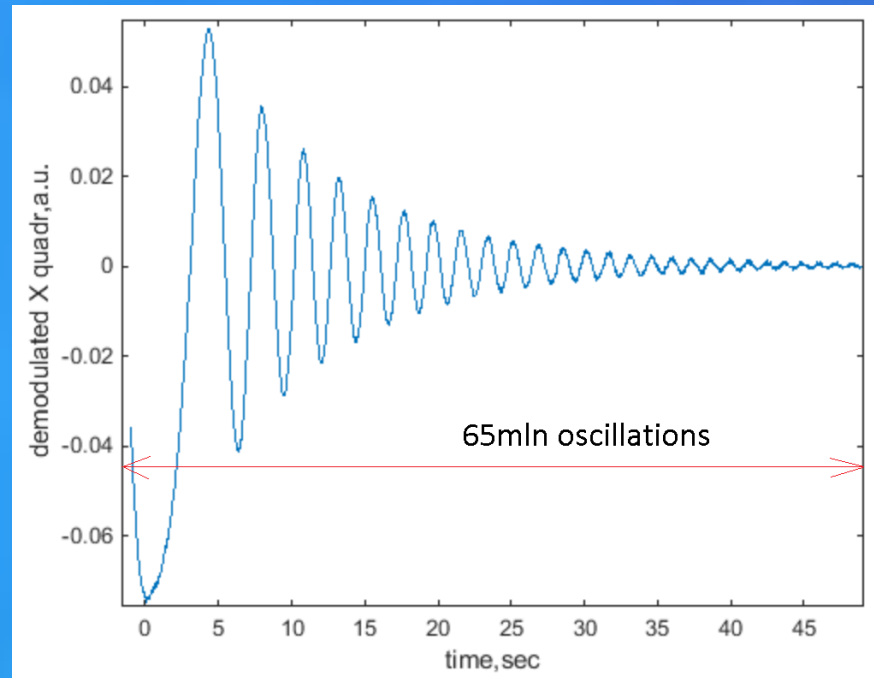
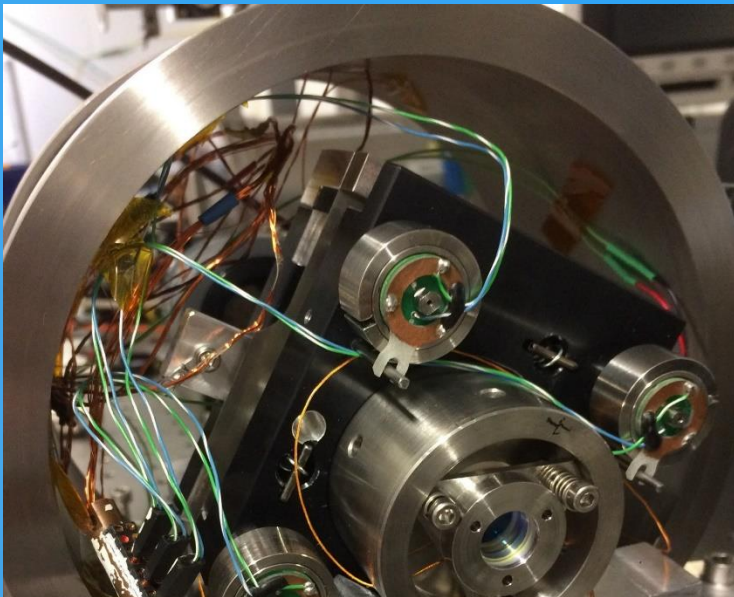
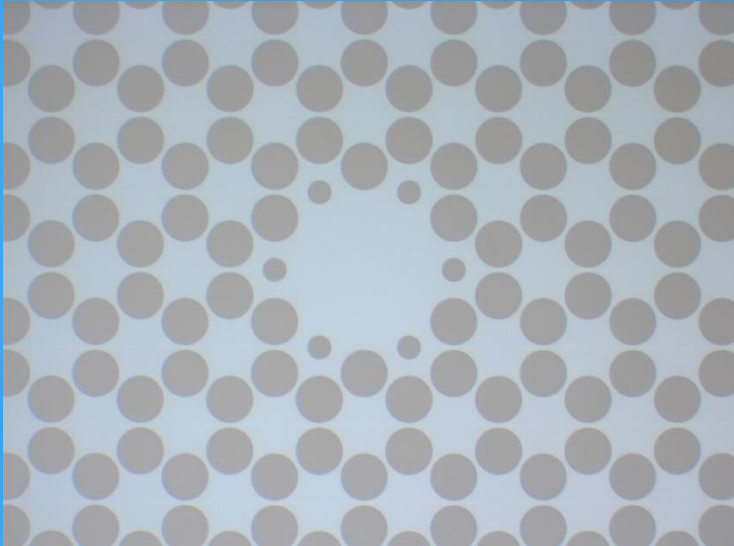
Bending in DBR mirror and clamping of mirror

# Investigate bending in DBR mirror and clamping of mirror (M. Weaver)



Mechanical quality factor stuck at max 1,000,000  
Limitation caused by multilayer structures

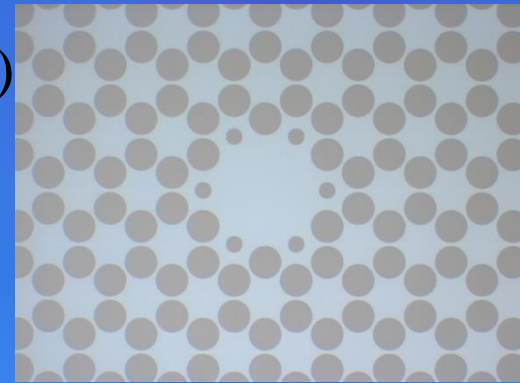
Phononic crystals membrane (F. Luna):  $Q = 50,000,000$  at room temp!  
(following Y. Tsaturyan, ... A. Schliesser, Nat. Nanotech. 12, 776 (2017))



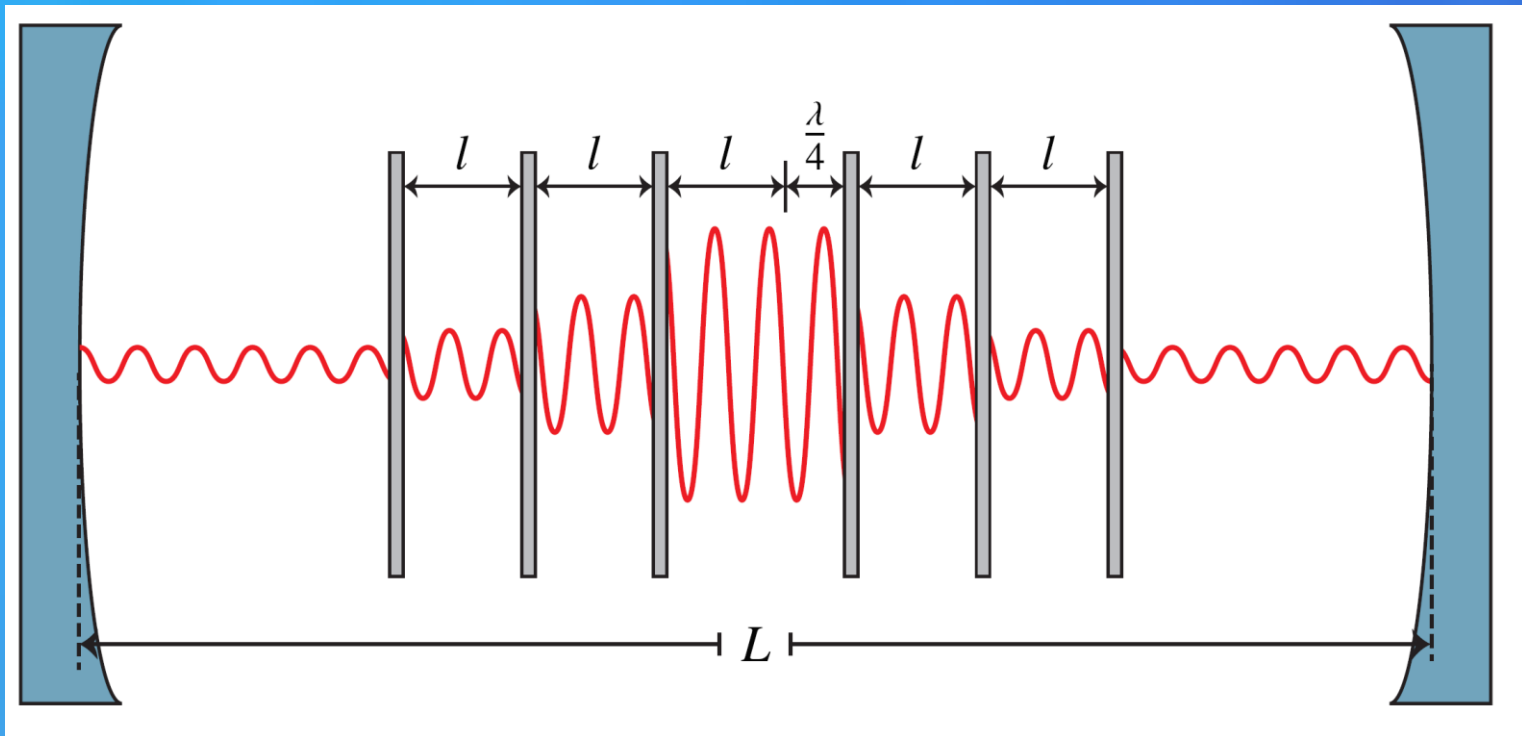


# Phononic crystals membrane (D. Newsom, F. Luna)

## Mass and interaction enhancement

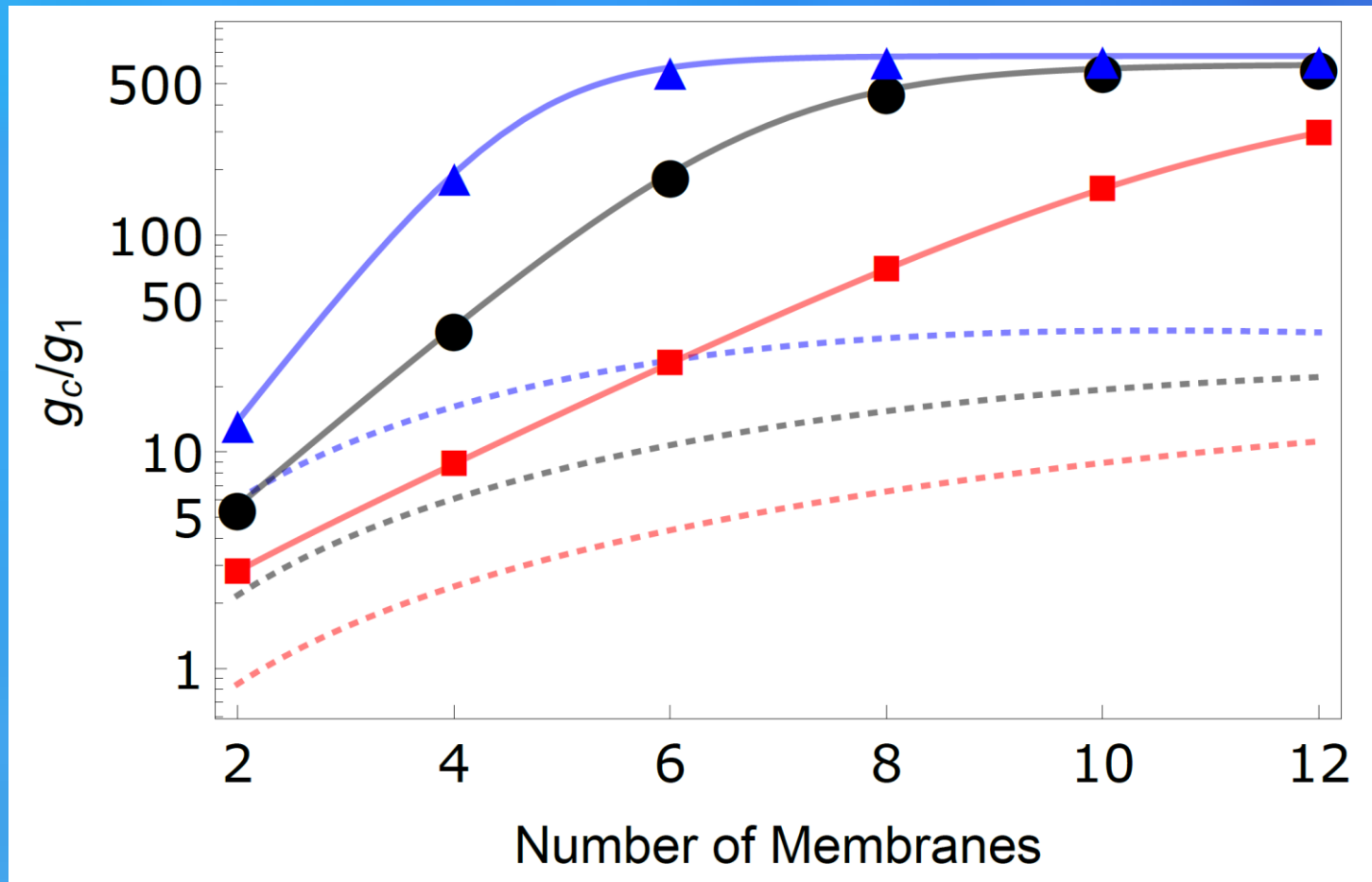
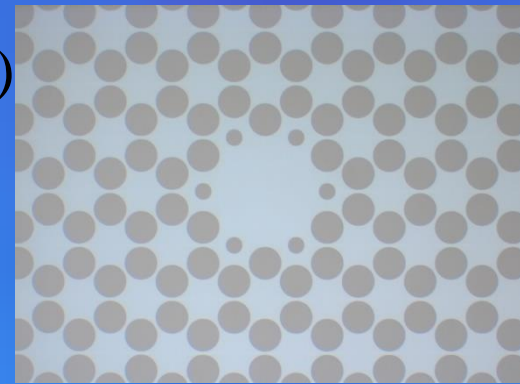


$$g_i = q_{\text{zpf}} \cdot A \frac{\omega}{L} (I_+^i - I_-^i)$$

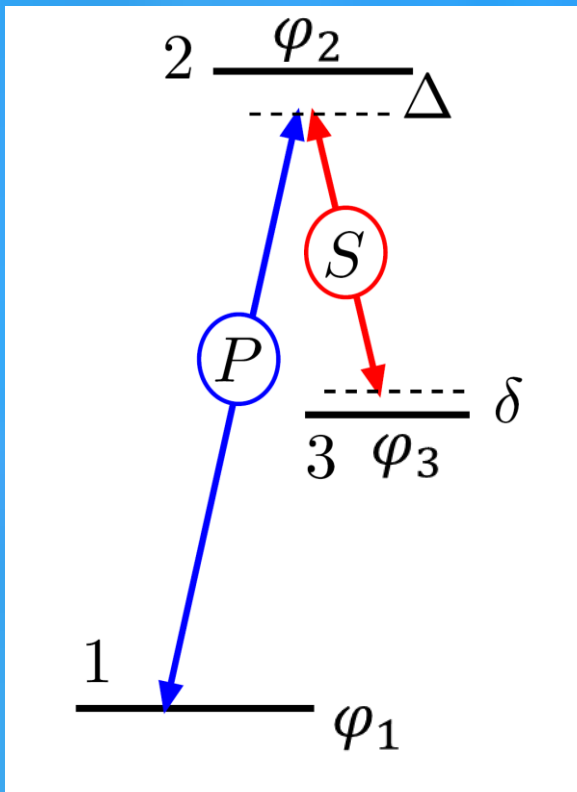


# Phononic crystals membrane (D. Newsom, F. Luna)

## Mass and interaction enhancement

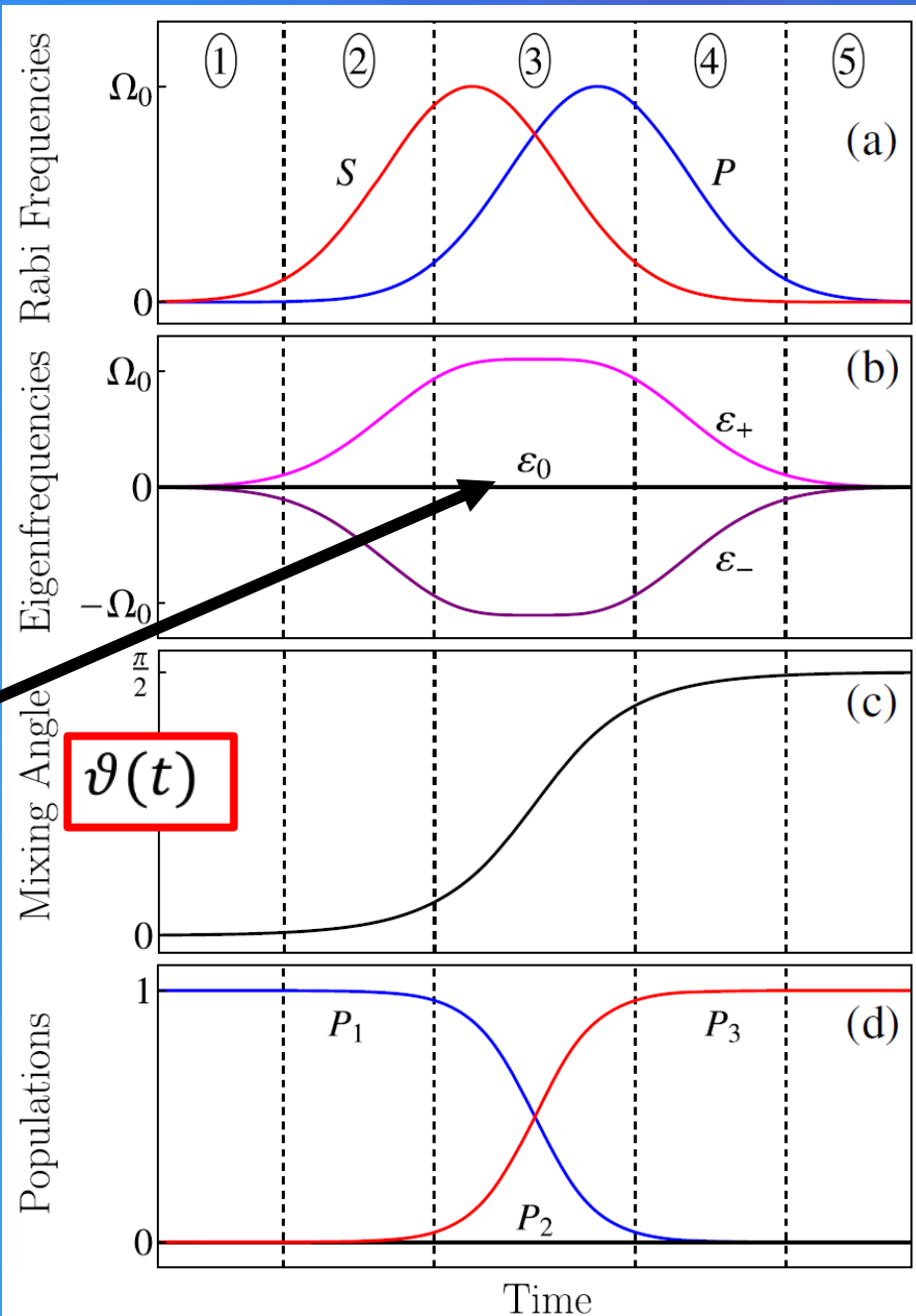


# Complication 3: STIRAP (Stimulated Raman Adiabatic Passage)

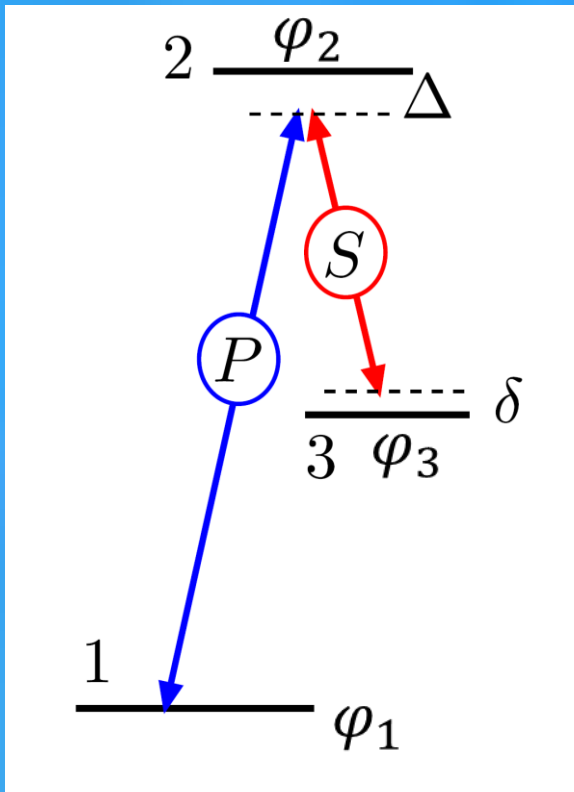


$$\varepsilon_0 = 0$$

$$\Phi_0(t) = \cos \vartheta(t) \varphi_1 + \sin \vartheta(t) \varphi_3$$



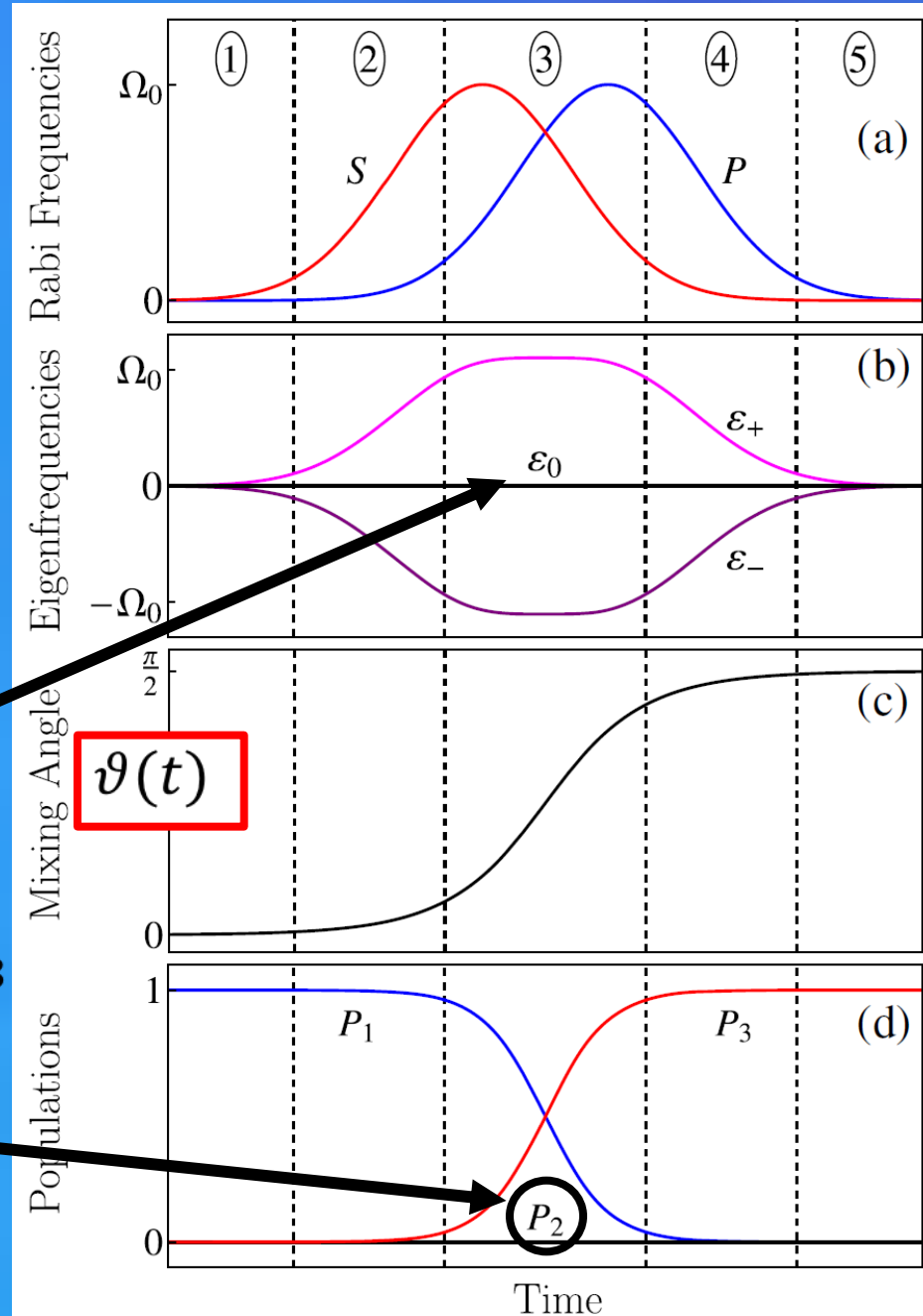
# STIRAP (Stimulated Raman Adiabatic Passage)



$$\varepsilon_0 = 0$$

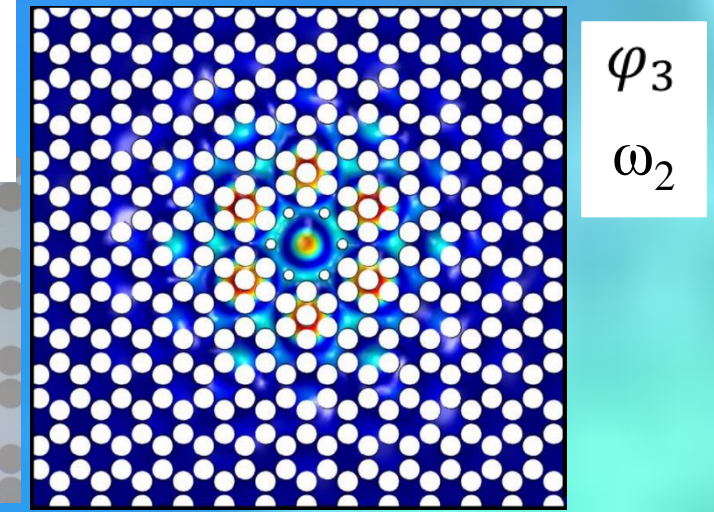
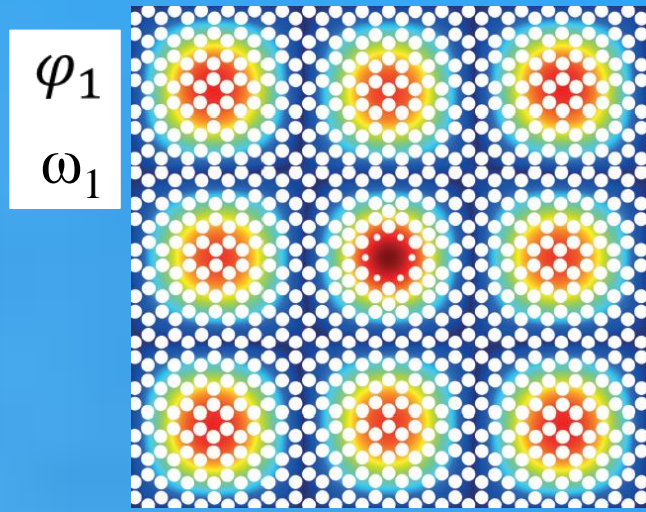
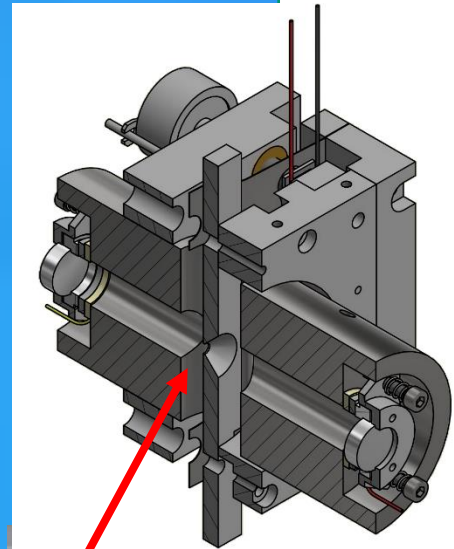
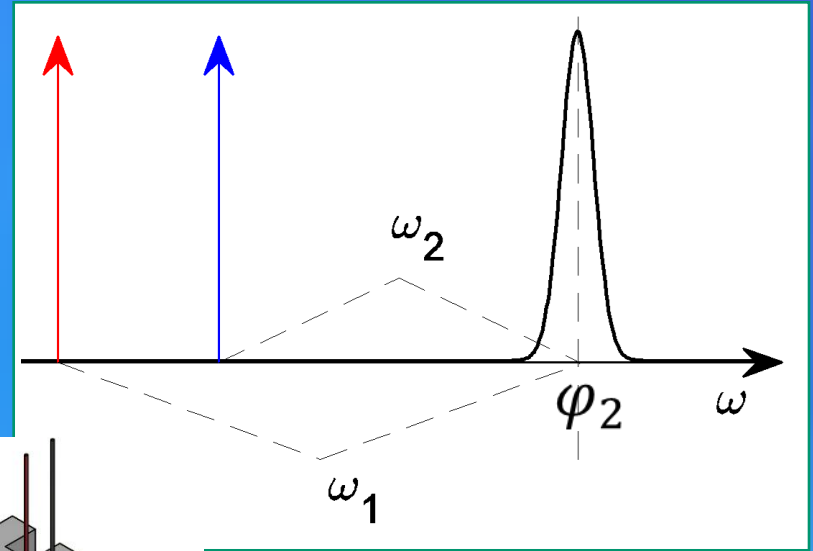
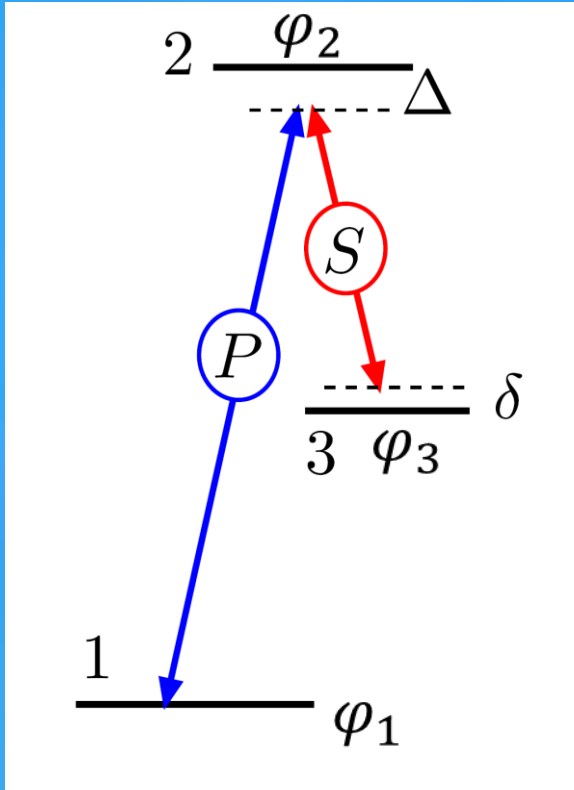
$$\Phi_0(t) = \cos \vartheta(t) \varphi_1 + \sin \vartheta(t) \varphi_3$$

Since  $P_2$  remains zero, the decay rate of level 2 is irrelevant!!!

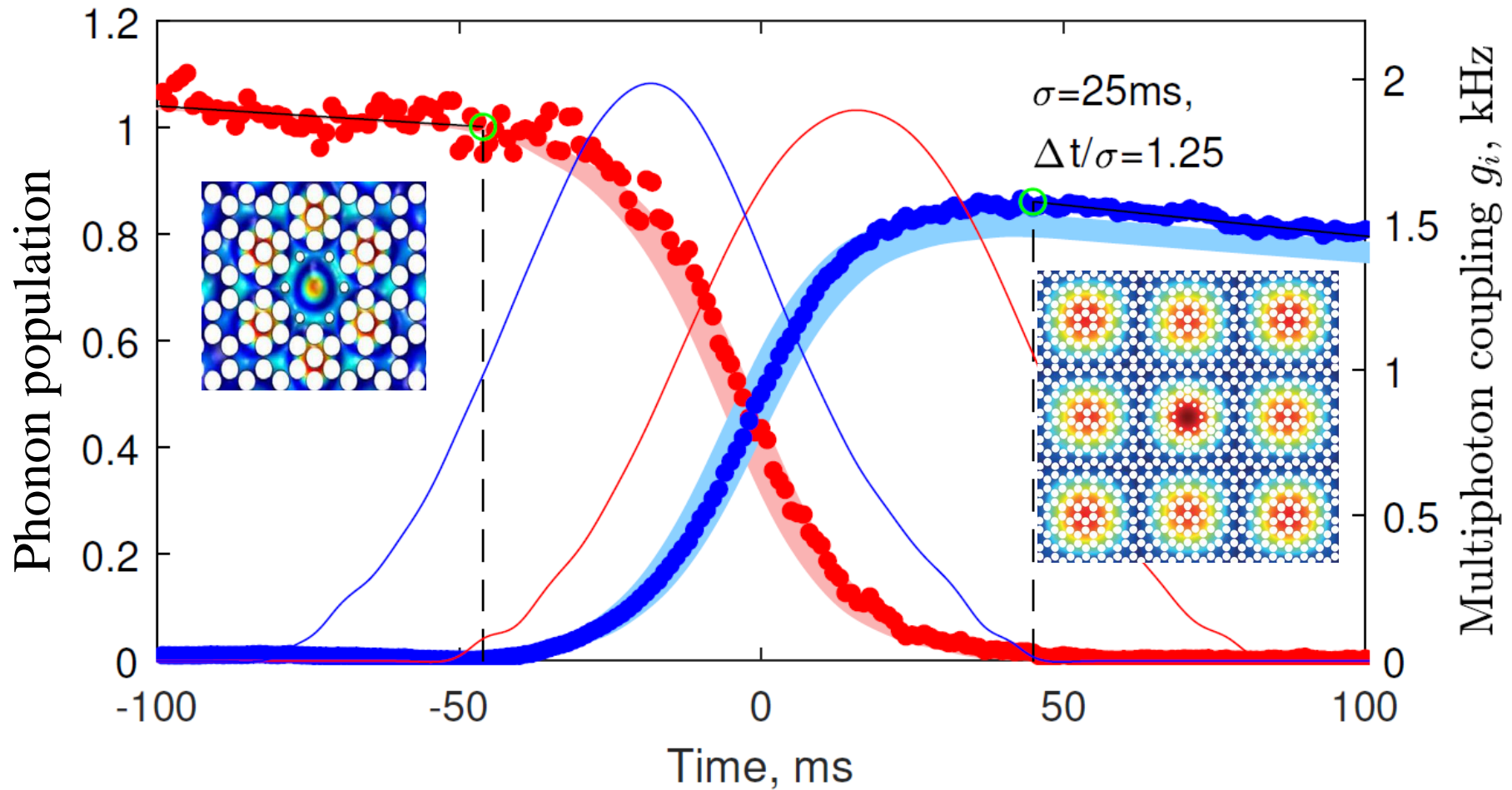




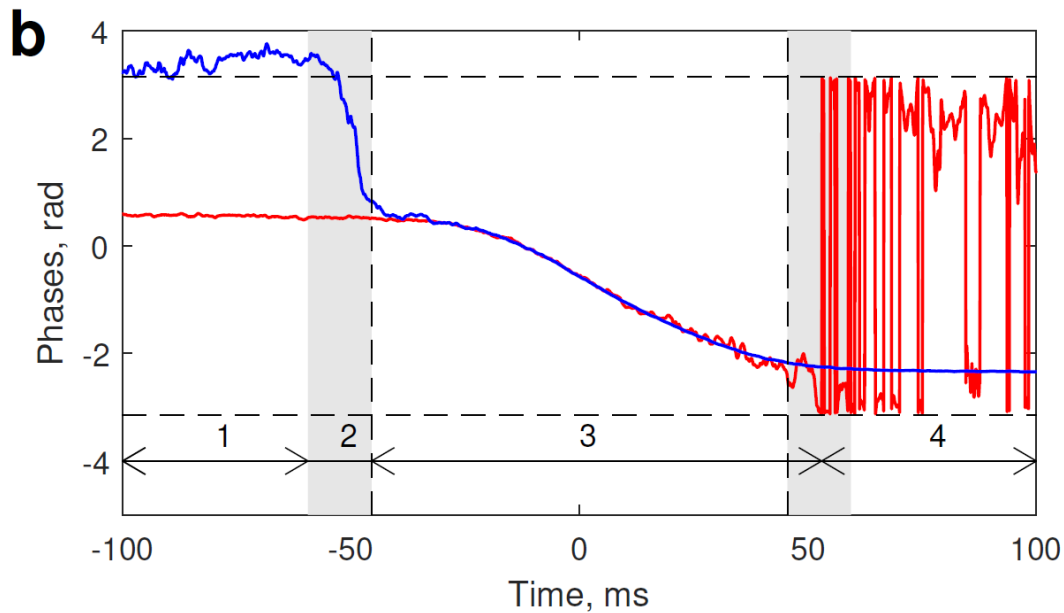
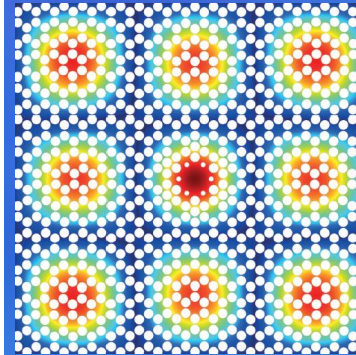
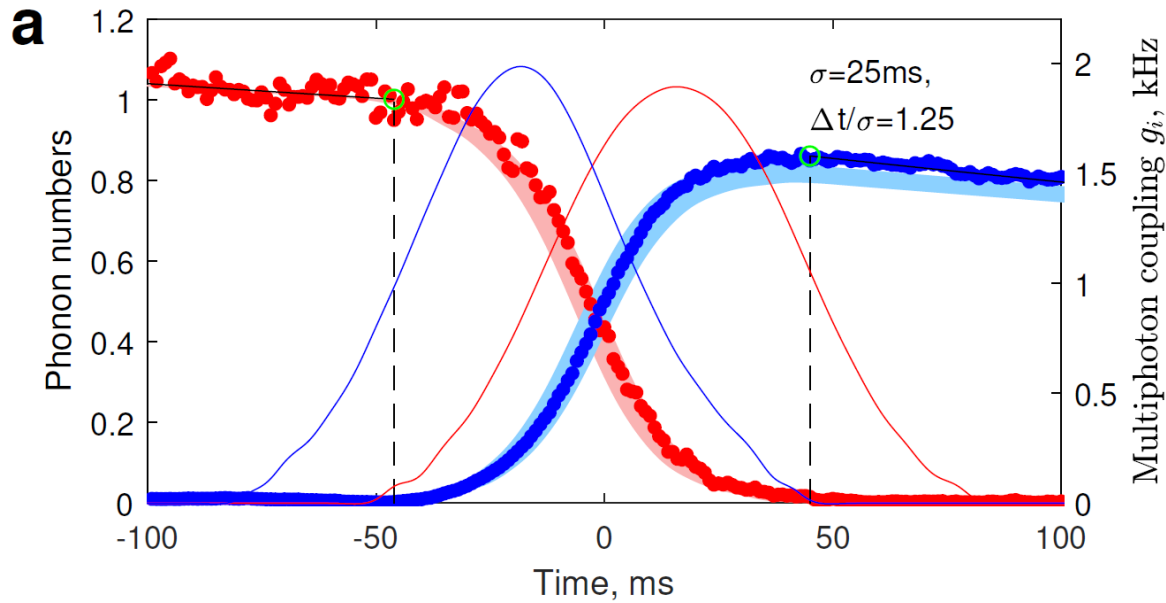
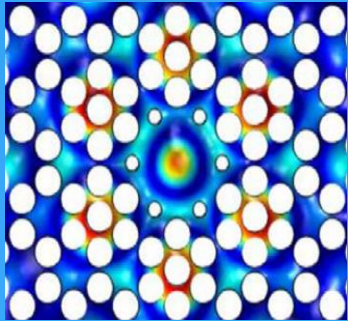
# STIRAP (Stimulated Raman Adiabatic Passage)



# STIRAP (Stimulated Raman Adiabatic Passage)



# STIRAP (Stimulated Raman Adiabatic Passage)

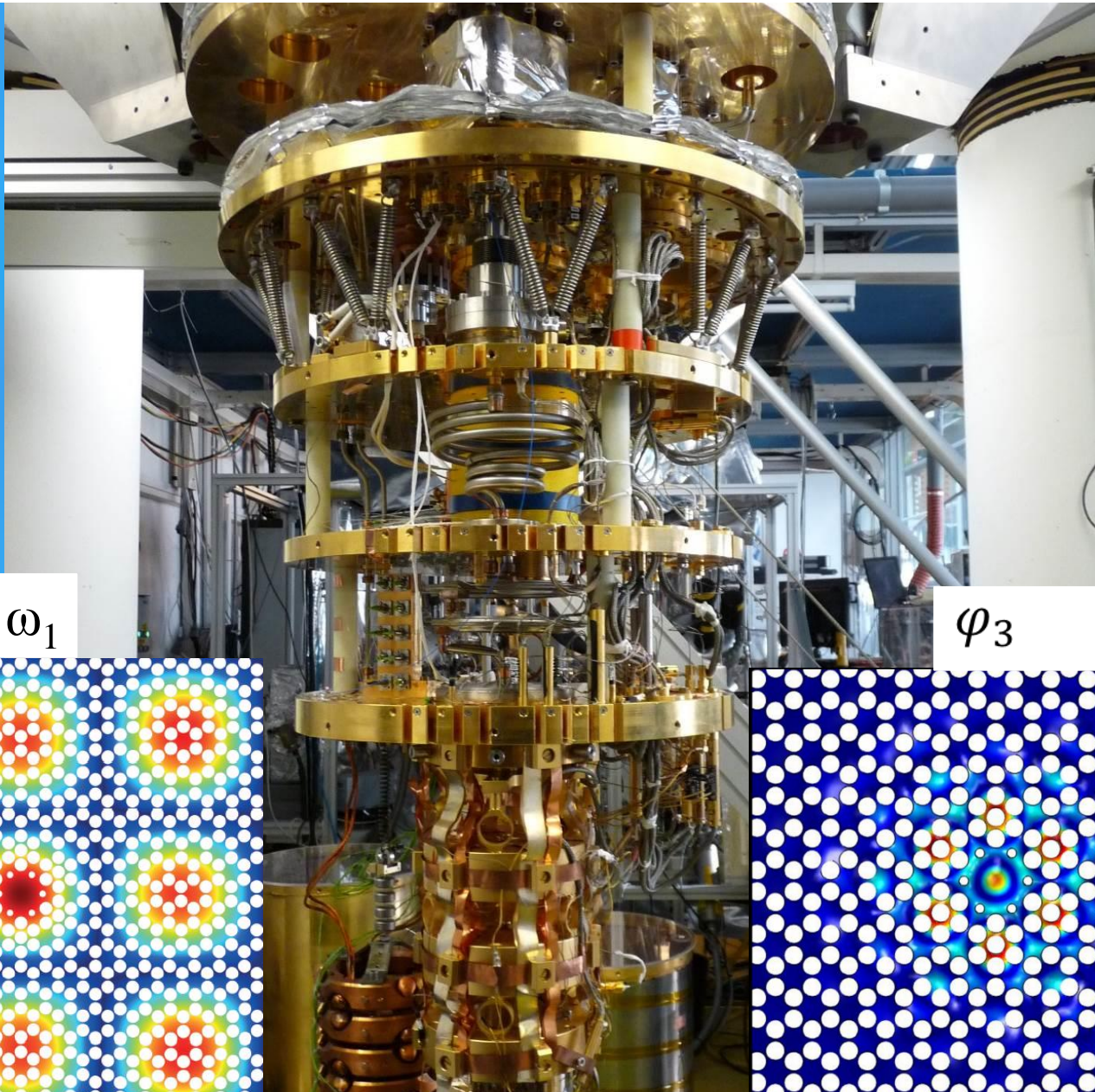


## Three Main technical Complications:

- 1) Optomechanical coupling not strong enough.  
**Solution:** entangle two or more mechanical modes
- 2) Mechanical Q's not high enough.  
**Solution:** use phononic photonic crystal membranes
- 3) Optical heating.  
**Solution:** use STIRAP method

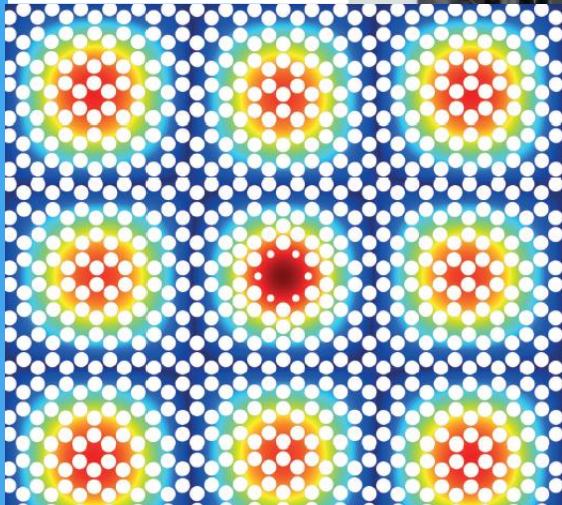


# Currently installed in fridge



$\varphi_1$

$\omega_1$



$\varphi_3$

$\omega_2$

